88/15/89 12882 MSG-THREE

08/25/09 12002 92L INVEST 08/25/09 12452 GOES-12 VIS 88/28/89 12882 94E INVEST 88/28/89 18882 GOES-11 VIS 89 1300Z MSG-2 VIS

# ROPING CALCULATION OF CONTROL OF

#### Andy Latto and Richard J. Pasch

rch Lab http://www.nrlmry.navy.mil/sat\_products.ht

MORA RAWORKshopeonelikericane Forecasting & Warnings

2 March 2022

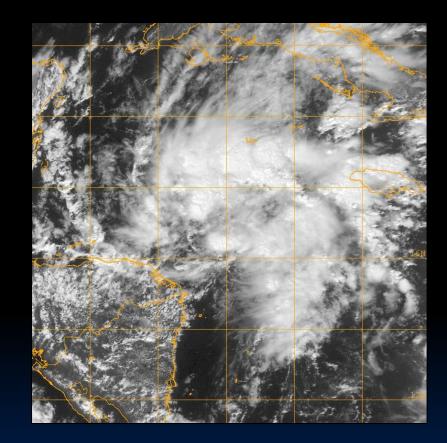
val Research Lab http://www.nrlmry.navy.mil/sat products.html <-- Visible ( Sun elevation at center is 79 degrees) --> Haval Research Lab http://www.nrlmry.navy.mil/sat products.html <-- Visible ( Sun elevation at center is 69 degrees) --> arch Lab http://www.nrlmry.navy.mil/sat\_products.html sible ( Sun elevation at center is 68 degrees) --> aval Research Lab http://www.nrlmry.navy.mil/sat products.html <-- Visible ( Sun elevation at center is 68 degrees) -->



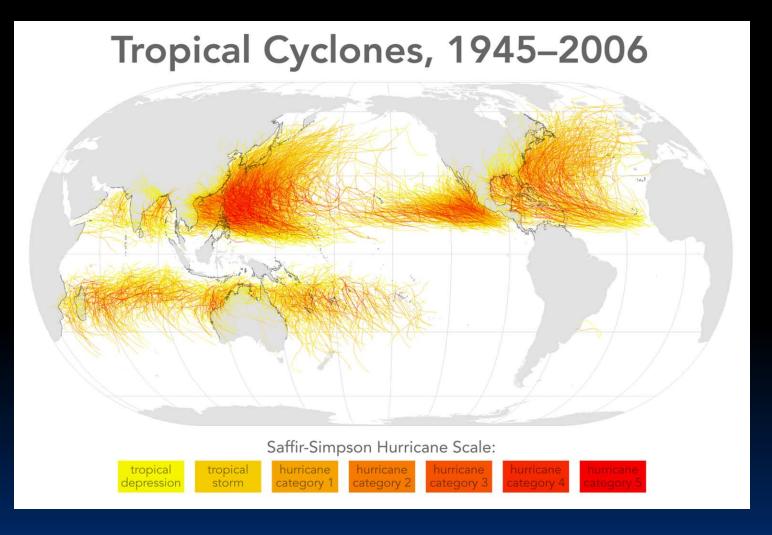
- Climatology
- Large-scale conditions associated with tropical cyclone (TC) formation
- Relation to ENSO, intraseasonal variability
- Theories of genesis
- Meso-scale aspects of genesis
- TC genesis in global models
- Web sites of genesis parameters
- Operational (NHC) genesis forecasting

#### WMO Definition of a Tropical Cyclone:

"A warm-core, nonfrontal synoptic-scale cyclone, originating over tropical or subtropical waters, with organized deep convection and closed surface wind circulation about a well-defined center."



#### **Principal Areas of Tropical Cyclone Formation**



### Factors Governing the Climatology of Tropical Cyclone Formation in the Atlantic Basin

- In the long-term mean, typically, there is a <u>lag</u> between the occurrence of the most favorable thermodynamic conditions (in terms of static stability) and the most favorable dynamical conditions (in terms of vertical wind shear).
- The atmosphere tends to be more unstable <u>later</u> in the season.
- The vertical shear tends to be weaker <u>earlier</u> in the season.

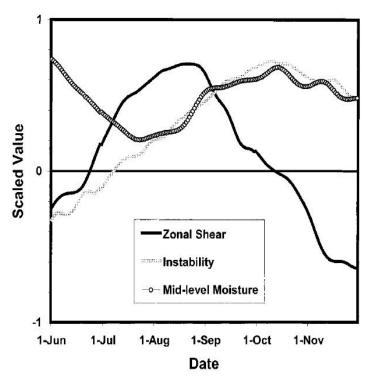
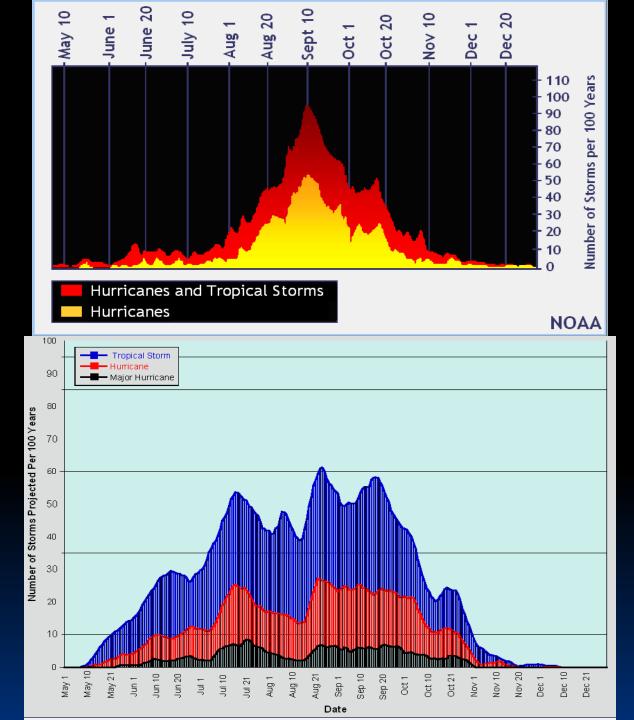


FIG. 7. Climatological time series of the scaled shear, instability, and moisture variables.

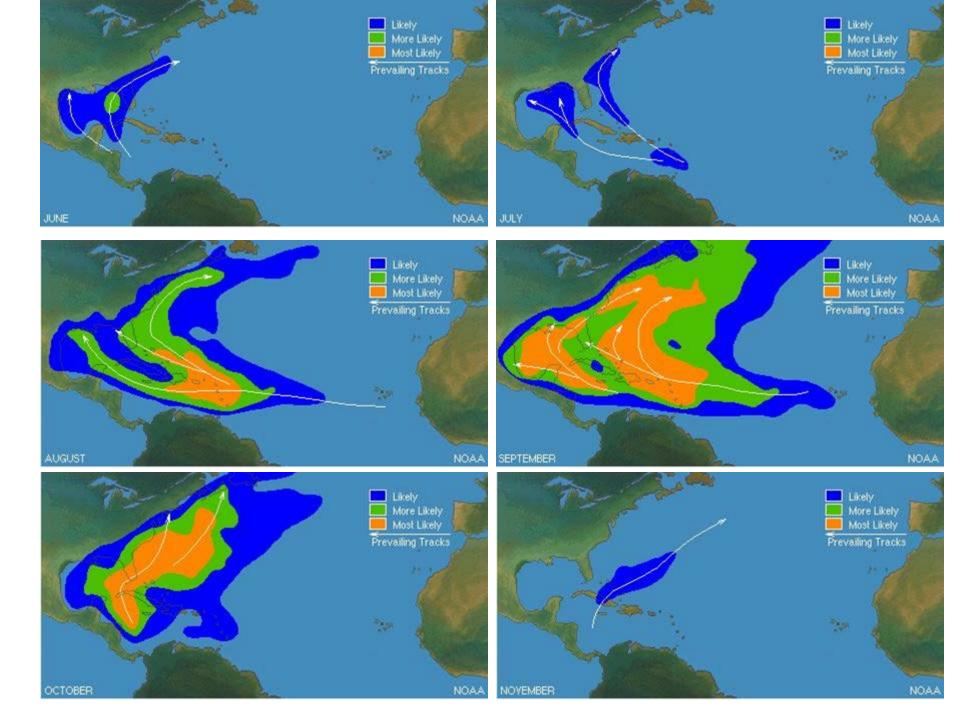


#### **Atlantic**

Highly peaked with a secondary peak in mid-October

> Eastern North Pacific

Bimodal distribution



### Time For a Quiz Question!

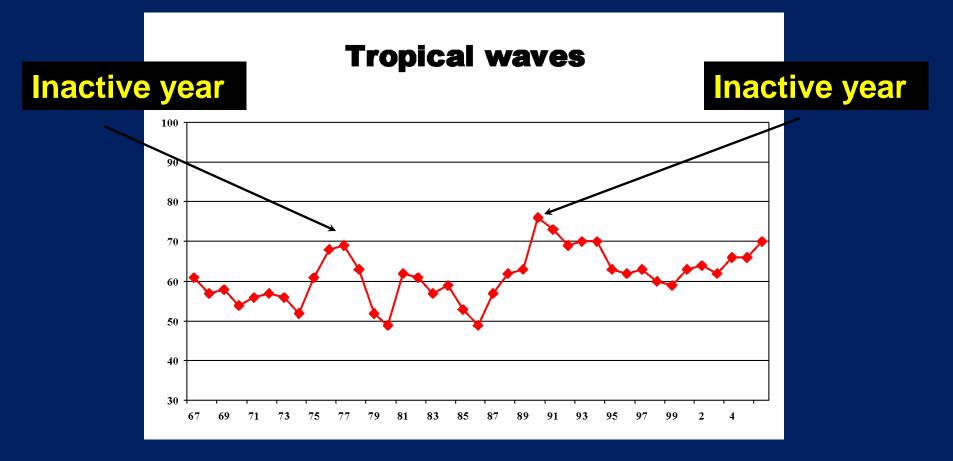
Out of ~60 tropical waves transiting the Atlantic basin each season, less than 1/10 develop. Why?

- A) Waves lose convection off of Africa due to cool waters and have less potential for development
- B) many of them are too close to the equator
- C) environmental factors are generally marginally conducive for development
- D) Waves are closely spaced together and constructively interfere with one another
- E) Both A and C

De las 60 ondas tropicales que transitan la cuenca del Atlántico cada temporada, se desarrollan menos de 1/10. ¿Por qué?

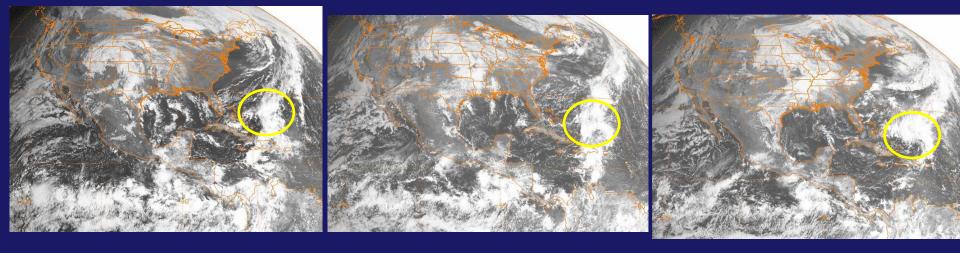
- A) Las ondas tropicales pierden la convección al moverse fuera de África debido a las aguas frías y tienen menos potencial de desarrollo
- B) Muchas de ellas están demasiado cerca del ecuador.
- C) Los factores del medio ambiente generalmente no conducen al desarrollo.
- D) Las ondas tropicales en ocasiones están tan cerca que pudieran interferirse entre si.
- E) Tanto A como C

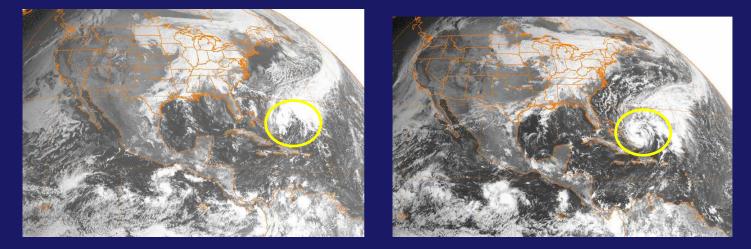
### Interannual variability of the frequency of Atlantic tropical waves, 1967-2005



### Note that TC genesis is <u>not</u> a function of the number of available disturbances.

### Typical Non-Tropical TC formation in the North Atlantic (fronts, upper-level lows)





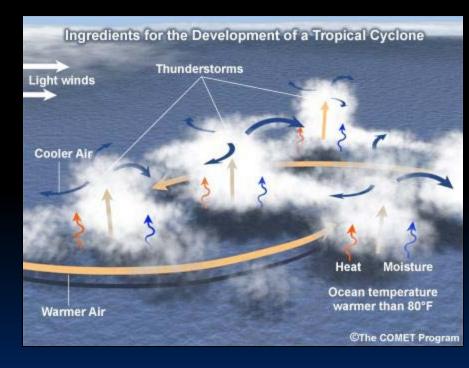
On average, about 25% of Atlantic TCs form from non-tropical sources

### Large-Scale Conditions and Other Characteristics Associated with TC Formation

#### **Necessary but not sufficient conditions!**

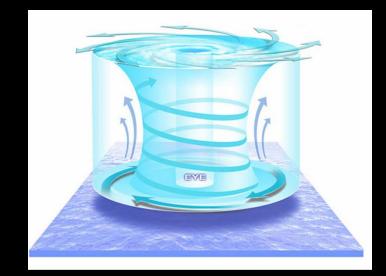
- A pre-existing disturbance containing abundant deep convection
- Latitudes poleward ~5°
- Adequate ocean thermal energy

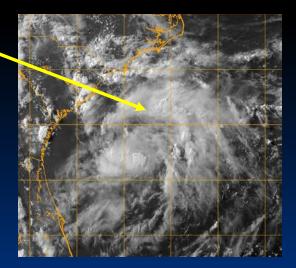
   SST > 26°C extending to a depth of 60 m
- A "sufficiently" unstable atmosphere & deep layer of moist air
- Small vertical shear of the horizontal wind



### Large-Scale Conditions and Other Characteristics Associated with TC Formation (cont'd)

- Upper-tropospheric anticyclonic outflow over the area
- Enhanced lower tropospheric relative vorticity
- Appearance of curved banding features in the deep convection
- Falling surface pressure: 24-hour pressure changes (falls) of usually 3 mb or more





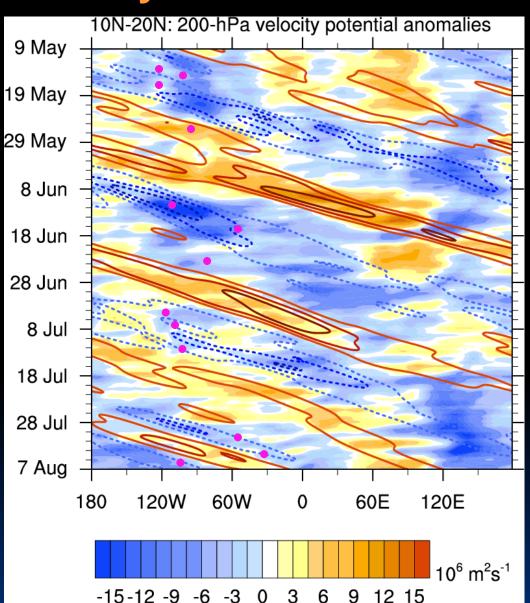
"We observe universally that tropical storms form only within pre-existing disturbances...An initial disturbance therefore forms part of the starting mechanism. A weak circulation, low pressure and a deep moist layer are present at the beginning. The forecaster need not look into areas which contain no such circulations."

Herbert Riehl (1954)

#### Important Intraseasonal Predictors for 5-Day Genesis Forecasts

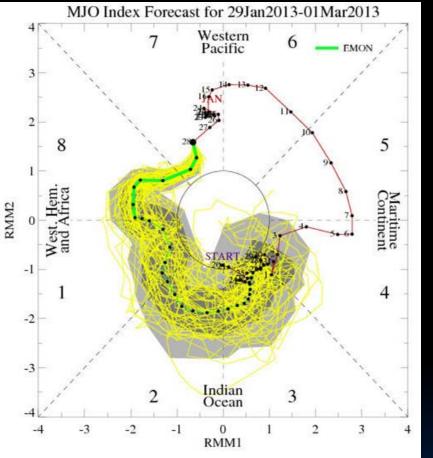
Blue– favorable upper-level conditions (lower shear and more unstable)

Magenta dots are TC genesis points in early 2012

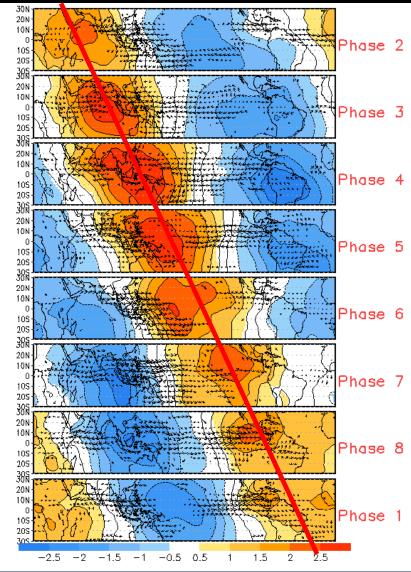


Diagnostic tools involving the MJO and other intraseasonal oscillations are becoming increasingly important but are still used qualitatively

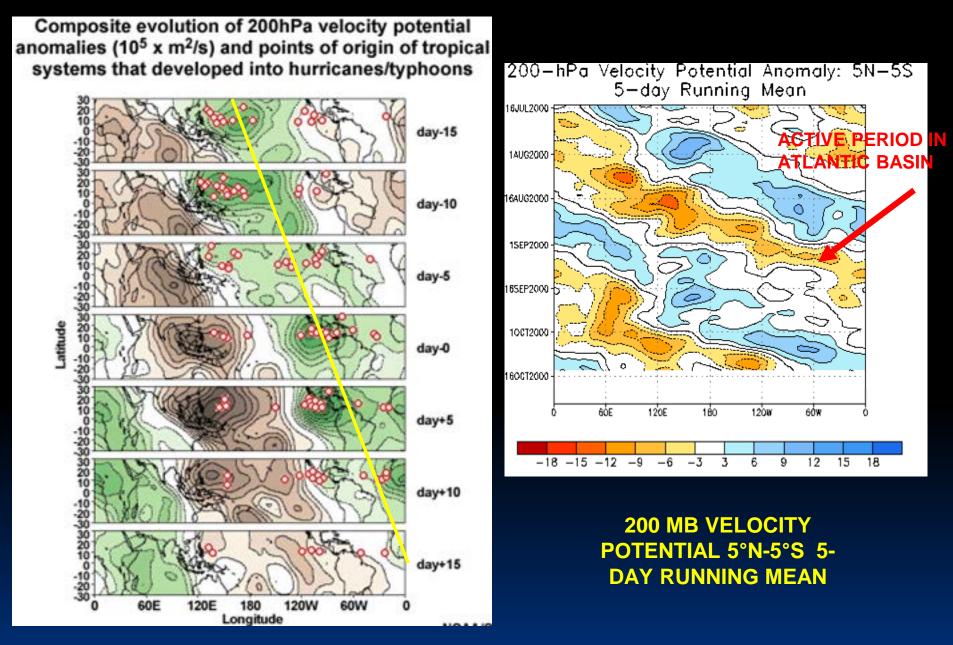
## A Tool for Tracking and Forecasting the MJO



- Conceptual model showing idealized phases of MJO progression
- Phases 8 through 3 most active phases for the Atlantic



#### MADDEN-JULIAN OSCILLATION: RELATED TO INTRASEASONAL VARIABILITY IN TC ACTIVITY?



## How are Intraseasonal Oscillations Used at NHC?

- Used as a way to increase forecaster confidence in a given situation if conceptual model of MJO and genesis matches model solutions.
- Any adjustments to 5-day genesis probabilities based on intraseasonal signals are small and subjectively determined.
- Global models handle the MJO much more accurately than other intraseasonal signals such as the Convectively Coupled Kelvin Wave (CCKW), and the forecaster can add value to the deterministic models.
- No operational standard on use of CCKW in genesis forecasts (about half of forecasters use it).

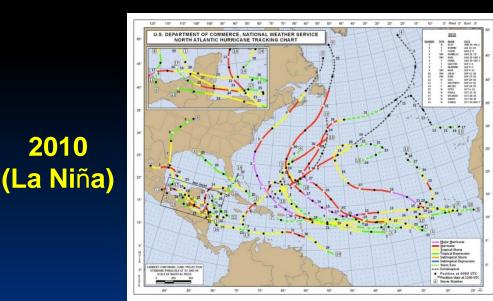
### Influence of El Niño/La Niña on **TC Genesis**

2010

• During El Niño episodes, fewer TCs form over the deep tropical Atlantic and Caribbean; tendency for more to form at subtropical latitudes. The opposite generally occurs during La Niña years.

 In the eastern North Pacific, El Niño typically enhances TC activity, with a tendency for stronger hurricanes during El Niño (e.g., 1997, 2006).

2006 (El Niño)



### 2 Formal Theories of TC Genesis

- CISK (Ooyama, Charney and Eliasen)
- WISHE (Emanuel)



Acronym for:

Conditional Instability of the Second Kind

- A cooperative feedback between small-scale convection (frictionally-induced convergence and latent heat release) and the larger-scale circulation (a growing disturbance)

- A simplified linear theory which assumes that flow is in gradient balance

- When latent heat release balances surface frictional dissipation, the cyclone maintains its intensity

NOTE: ALTHOUGH THIS THEORY IS <u>FREQUENTLY</u> ATTACKED, IT STILL HAS SOME INTUITIVELY APPEALING ASPECTS! LARGE-SCALE WAVE



EKMAN PUMPING (FRICTIONALLY- INDUCED CONVERGENCE)

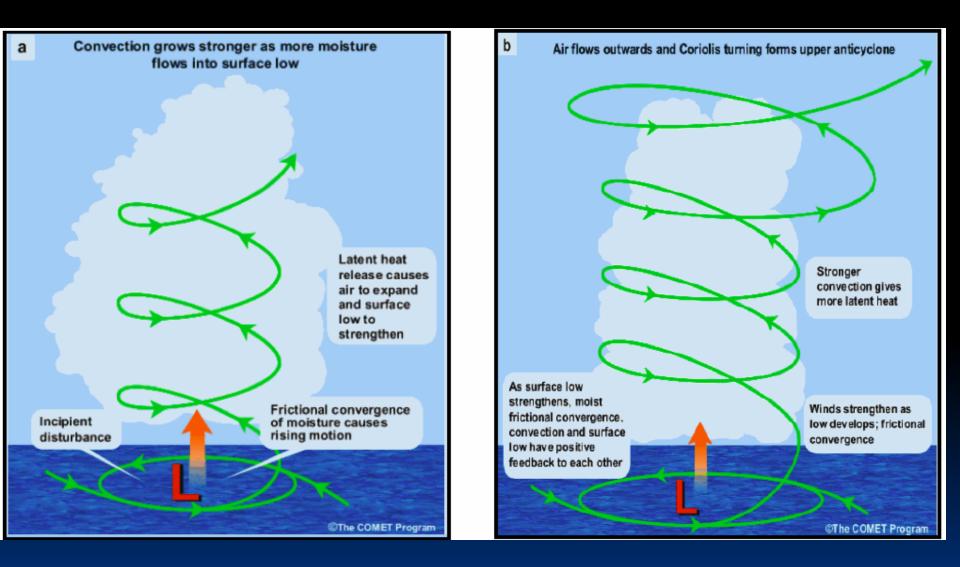
TRANSVERSE (SECONDARY) CIRCULATION

**RELEASE OF LATENT HEAT** 

**VORTEX TUBE STRETCHING** 

INCREASE OF LOW-LEVEL CYCLONIC VORTICITY

### **CISK** Schematic



"The more fundamental question about the CISK concept is how can cooperation between cyclone-scale and convective-scale circulations produce their simultaneous development including the formation and intensification of a warm core? It is difficult to see how it can happen because, if there are no sources,  $\theta_{e}$  is simply redistributed by these motions individually, and therefore by the total motion, without creating a new maximum. Conditional instability simply converts the vertical variation of  $\theta_e$  to the horizontal variation while the mass distribution in  $\theta_e$  space is conserved. Any instability that changes this distribution, therefore, inevitably involves processes other than cooperation between cyclone-scale circulation and convective clouds. Since the cooperation alone does not produce new instability, the concept of CISK as distinguished from the usual conditional instability can hardly be justified."

(Arakawa, 2004 J. Climate)

This suggests that another mechanism for TC genesis, that involves thermodynamics and a source of heat, should be invoked.

WISHE is such a mechanism.



- Wind Induced Surface Heat Exchange
- -Heat release and instability in the free troposphere is governed by the evaporation of moisture from the sea (i.e., the extraction of energy from the underlying ocean surface)
- -Evaporation is primarily determined by the magnitude of the surface winds

#### <u>WISHE</u>

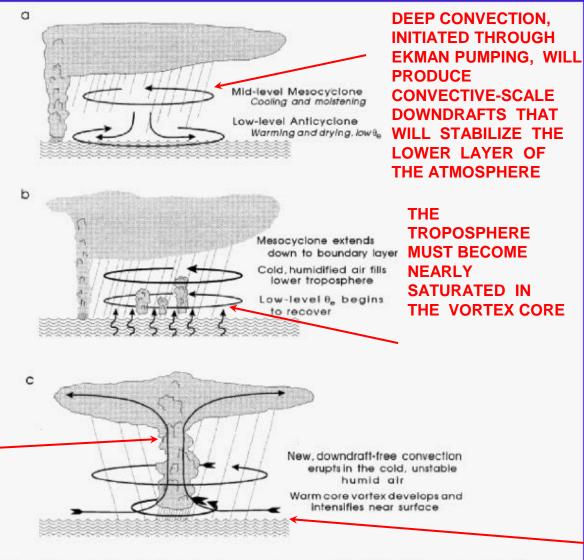


Figure 8. Conceptual model of tropical cyclogenesis from a preexisting MCS. (a) Evaporation of stratiform precipitation cools and moistens the upper part of the lower troposphere; forced subsidence leads to warming and drying of the lower part. (b) After several hours there is a cold and relatively moist anomaly in the whole lower troposphere. (c) After some recovery of the boundary layer  $\theta_c$  convection redevelops (From Bister and Emanuel 1997, Copyright American Meteorological Society).

THE ENHANCED SURFACE FLUXES ASSOCIATED WITH STRONG SURFACE WINDS NEAR THE CORE CAN INCREASE THE SUBCLOUD MOIST STATIC ENERGY.

CONVECTION CAN INCREASE THE TEMPERATURE OF THE **VORTEX CORE.** A MOIST **FROPICAL** ATMOSPHERE. WISHE PROCESS CAN ACT AS A POSITIVE FEEDBACK TO THE WARM-CORE CYCLONE.

### Another Quiz Question!

As a general rule, pressures falls of what magnitude, associated with a tropical disturbance, are indicative that TC genesis is imminent ?

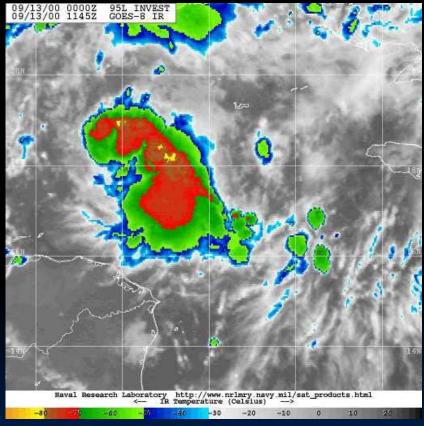
- A) 1 mb/24 h
- B) 2 mb/24 h
- C) 3 mb/24 h or more
- D) 0.5 mb/24 h

Como regla general, que caída de la presión durante las pasadas 24 horas es un indicativo inminente de la formación de un CT?

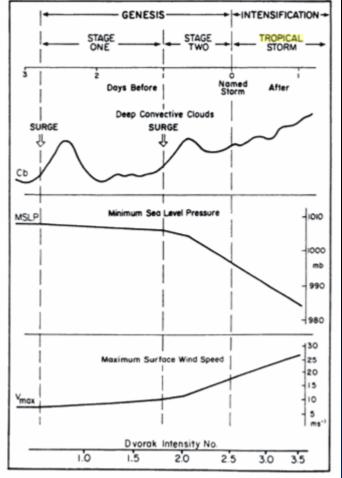
- A) 1 mb/24 horas
- B) 2 mb/24 horas
- C) 3 mb/24 horas o más
- D) 0.5 mb/24 horas

### Stage 1-Stage 2 Genesis

#### INNER CORE MAY ORIGINATE AS A MID-LEVEL MESO-VORTEX (NEAR 700 MB) THAT FORMS IN ASSOCIATION WITH A MESOSCALE CONVECTIVE SYSTEM (MCS)



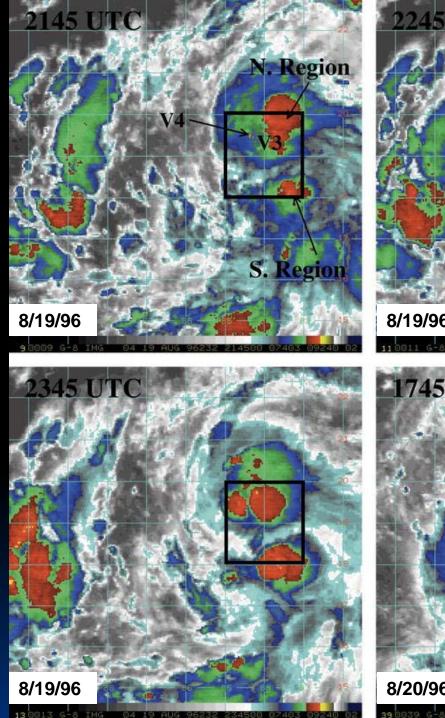
#### PRE-GORDON DISTURBANCE, 9/13/00 1145 UTC (~24 HOURS PRIOR TO GENESIS)

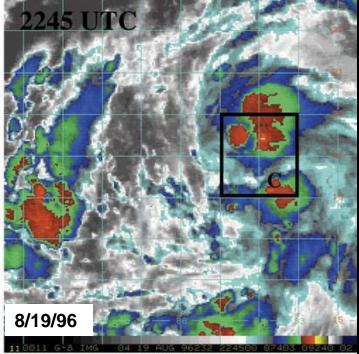


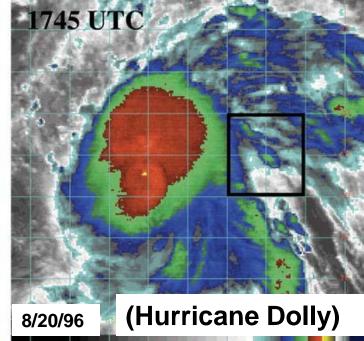
Zehr (1992)

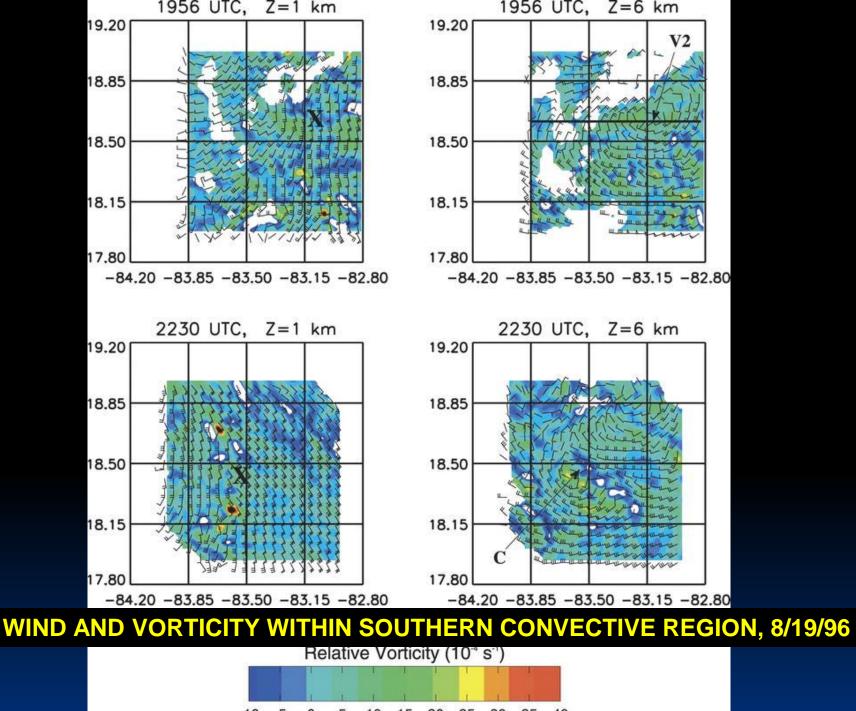
Multiple midlevel mesoscale vortices during genesis stage.

(Reasor et al. 2005 *J. Atmos. Sci.*)









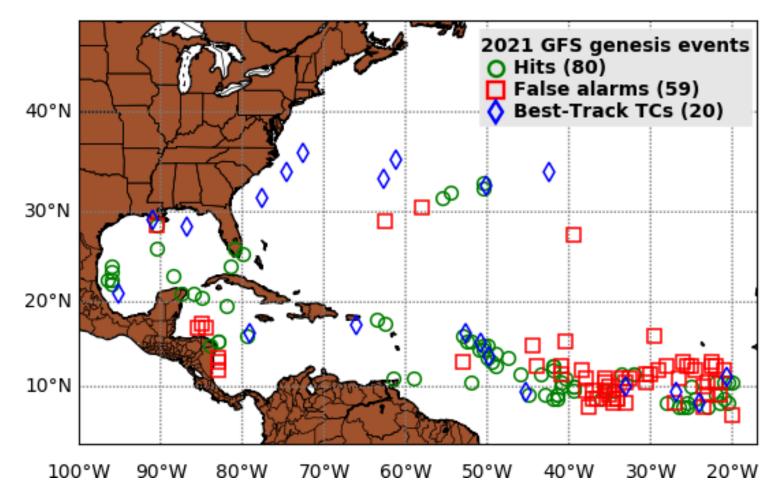
-10 -5 0 5 10 15 20 25 30 35 40

### <u>Use of global models relevant for TC</u> <u>genesis forecasting:</u>

- Global models, especially the ECMWF, GFS, and UKMET along with their ensembles are our primary tool for predicting TC genesis.
- The forecaster looks for consistency among the different models, as well as run-to-run consistency, to assess the likelihood of genesis.
- Recent upgrades to the ECMWF have probably improved that model's performance, GFSv16 has taken over for the GFSv15. Both of those models will be discussed on next slides.
- The UKMET model has a high detection rate for genesis but also has an abundance of "false alarms". Therefore, when we see no development in the UKMET forecast, the probability of genesis is low.
- Of all the global models used by the NHC, the Canadian global model typically shows the highest number of false alarms.

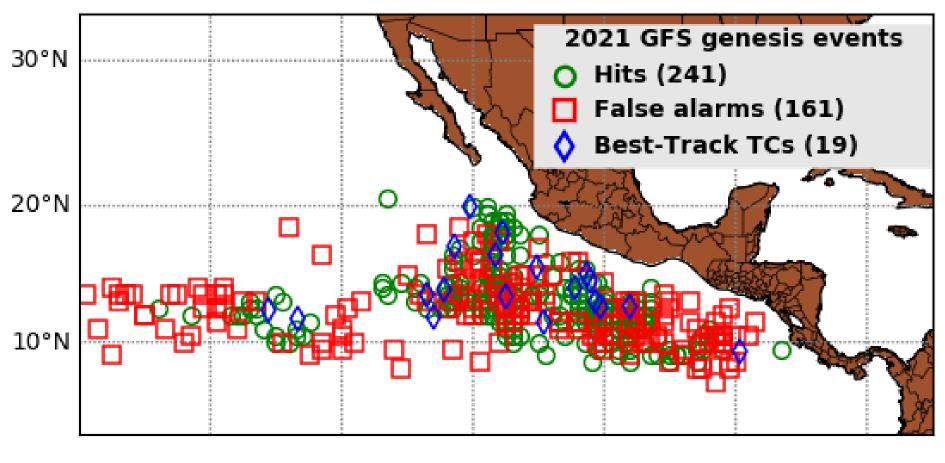
#### **Genesis Verification** Atlantic

Figures and data provided by Dan Halperin and Bob Hart



#### **Genesis Verification East Pacific**

Figures and data provided by Dan Halperin and Bob Hart



140°W 130°W 120°W 110°W 100°W 90°W 80°W

#### **GFS Genesis Forecasts Summary**

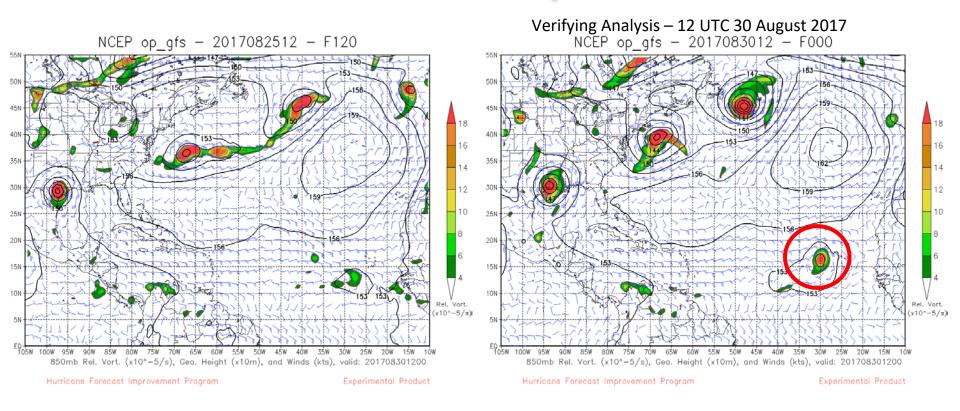
#### Atlantic

- Correctly forecast genesis on several occasions over the eastern Atlantic, however the false alarm rate there was rather high
- Performed very well in tropical Central Atlantic, Caribbean, and Gulf of Mexico.
- Did not perform as well in the subtropical Atlantic, with several systems not anticipated.

#### **Eastern North Pacific**

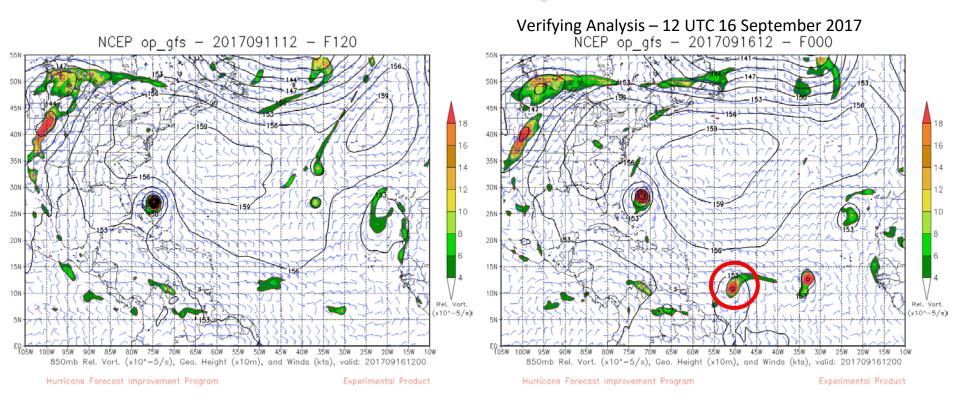
- Performed fairly well in the eastern portion of the basin
- False alarm rate appears higher in the western portion of the basin

#### **GFS Genesis Example – Irma**



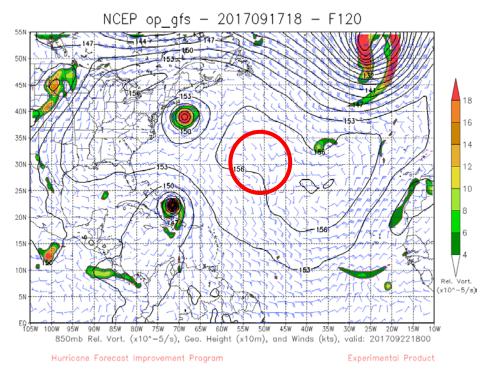
Some signal early (4-5 days), but signal weakened inside of 60 hours until genesis

#### **GFS Genesis Example – Maria**

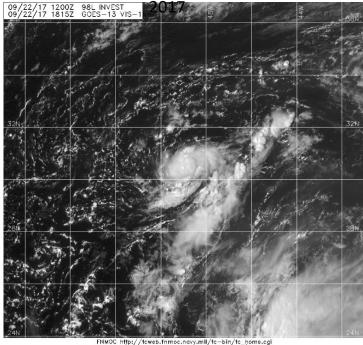


#### Weak/No signal until 42 h prior to genesis

#### **GFS Genesis Example – Lee (Genesis #2)**



GOES-13 Visible Imagery – 1815 UTC 22 September



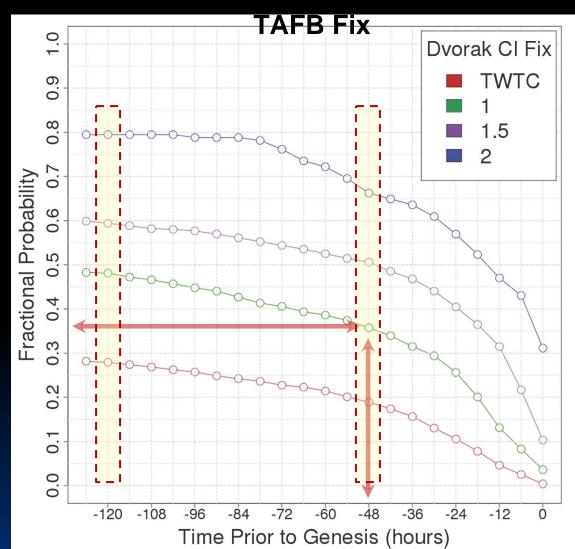
FNMOC http://tcweb.fnmoc.navy.mil/tc-bin/tc\_home.cgi <-- Visible ( Sun elevation at center is 35 degrees) -->

Little/No Signal Prior to Genesis

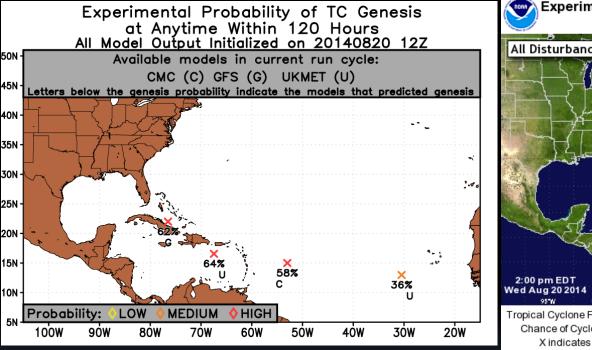
Web site for monitoring real-time model forecasts of cyclogenesis: http://www.emc.ncep.noaa.gov/gmb/tpm/emchurr/tcgen/

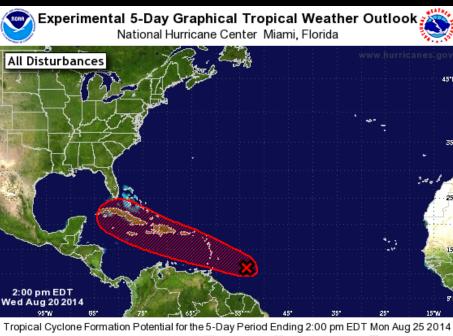
## Genesis Probability by Dvorak Number

- Uses Dvorak intensity estimates from all invests/disturbances (both developing and non-developing) from 2001-2011.
- Example: Invest with a 1.0 TAFB CI Number has 35% chance of genesis within 48 h.
- Real-time guidance at moe.met.fsu.edu/genesis
- More information in Cossuth et al. (Wea. & Forecasting 2013)



## FSU Guidance (http://moe.met.fsu.edu/modelgen)





Chance of Cyclone Formation Potential for the 5-Day Period Ending 2.00 pm EDT Mon Aug 25 2014 Chance of Cyclone Formation in 5 Days: Low < 30% Medium 30-50% High > 50% X indicates current disturbance location; shading indicates potential formation area.

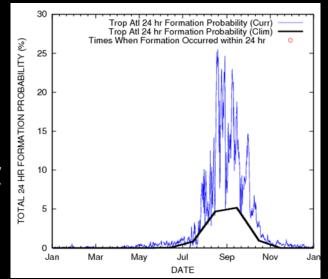
- Best objective genesis guidance to date
- Uses statistics on dynamical model forecasts of genesis to develop probabilities
- Multi-model consensus gives most reliable forecasts
- Scheme provides guidance on many more systems than are mentioned in the TWO

## **Other Tools**

 CIRA Tropical cyclone-based formation probabilities:

http://www.ssd.noaa.gov/PS/TROP/ TCFP/index.html

- Single-model ensemble-based probabilities can provide guidance
- Several projects (e.g. Joint Hurricane Testbed), with the goal to provide objective genesis guidance



						C TC G 13 10/								
			-		ME9720	13 10/1	31/13	18 010		-				
TIME	(hr)	0	6	12	18	24	36	48	60	72	84	96	108	12
TCGI	(%)							45.1						65.
HDIV	(x10-7s-1)	-3.0	-4.0	-1.0	-3.0	-5.0	0.0	-6.0	1.0	-5.0	0.0	-4.0	0.0	0.0
		1.3			1.7			1.1		1.0	0.5	1.1		1.
DV24	(x10-6s-1)	0.3	0.0	-0.1	-0.7	-0.5	-0.7	-0.1	-0.3	0.1	0.6	0.0	-0.1	-0.
VSHD	(kt)	5	9	11	9	9	17	19	19	19	26	24	28	2
MLRH	(5)	67	67	64	63	67	64	68	62	64	52	54	52	5
PCCD	(5)	42	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/3
TNUM		1.00	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/J
LAT	(deg N)	16.8	17.2	17.8	18.5	20.3	22.9	25.0	26.3	27.6	28.3	29.2	30.1	31.
LON	(deg W)	83.0	83.5	84.4	85.1	85.8	87.0	87.4	87.5	86.8	86.5	85.5	84.4	82.
DTL	(km)	169	172	217	259	132	154	382	358	270	188	56	-5	-14
TRACK	SOURCE	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVNO	AVN
Prob	of Genesis	(t= 48	h) = 4	5.1 1	1.6	times	the s	ample	mean (	27.9)				
Prob	of Genesis	(t=120	h) = (	5.0 i	s 1.6	times	the s	ample	mean (	40.3)				
	IBUTIONS OF	P CLIMA	TOLOGY 48-H	AND II	DIVID	UAL PRI	EDICTO 120-H	RS TO	TCGI P					
		AVG	PCS:			AVG	FCS							
CLIM				27.					.3					
	(x10-7s-1)					-1.2								
	(x10-6s-1)						-0.							
DV24	(kt)	16.8		8 4		19.0								
DV24 VSHD			66.1	0.0	.1	61.3								
DV24 VSHD MLRH	(%)													
DV24 VSHD MLRH	(%) (%)	29.1		2		28.7		8 2						

PREDICTOR DEFINITIONS (Averaged Over 500 km Radius)

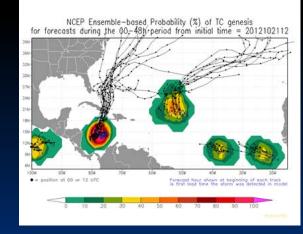
CLIM = Climatological Probability of Genesis (Source: NHC-TAFB Invest Database)

HDIV = 050-mb GPS Horizontal Divergence DV24 = 24-hr Change in GPS 050-mb Vorticity (VORT)

VSHD = 850-200 mb GPS Vertical Shear

MLRH = 600-mb GPS Relative Humidity

PCCD = % GOES WV Pixels Colder Than -400 TNUM = TAFE T-Number





### **NHC Tropical Weather Outlook**



- General assessment of activity in the tropics
- Assesses tropical cyclone formation potential during the <u>next 5 days</u>
- Chance of formation during the first 48 hours and the entire 5-day period are provided

# Issued at 0000 UTC, 0600 UTC, 1200 UTC, 1800 UTC

#### **Tropical Weather Outlook Text**

TROFICAL WEATHER OUTLOOK NWS NATIONAL HURRICANE CENTER MIAMI FL 800 FM EDT THU OCT 9 2014

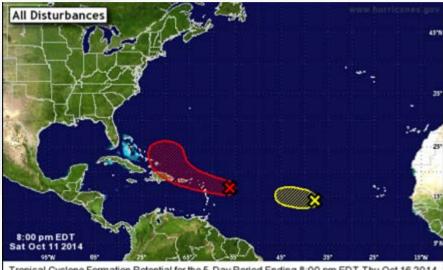
For the North Atlantic ... Caribbean Sea and the Gulf of Mexico:

1. Shower and thunderstorm activity, associated with a broad surface low pressure area and an upper-level low, continues to gradually organize several hundred miles north-northeast of the northern Leeward Islands. Environmental conditions appear generally conducive for additional development, and a tropical or subtropical depression could form during the next day or two while the system moves northwestward or north-northwestward at about 10 mph.

Formation chance through 48 hours...high...60 percent.

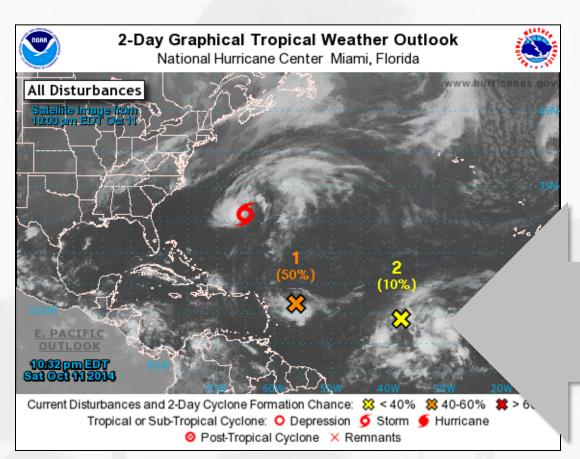
Formation chance through 5 days...high...60 percent.

Forecaster Canglalosi



Tropical Cyclone Formation Potential for the 5-Day Period Ending 8:00 pm EDT Thu Oct 16 2014 Chance of Cyclone Formation in 5 Days: □ Low < 30% ■ Medium 30-50% ■ High > 50% X indicates current disturbance location; shading indicates potential formation area.

# **Graphical Tropical Outlook** 2-Day Formation Chance

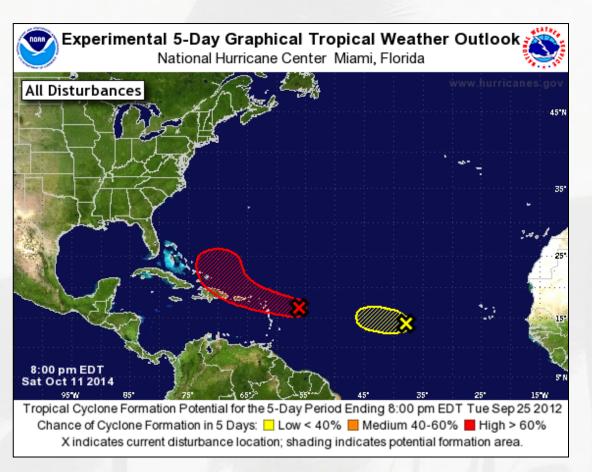


Identifies <u>current</u> location of disturbed weather (discussed in the Tropical Weather Outlook)

## Formation chance during the next 48 hours

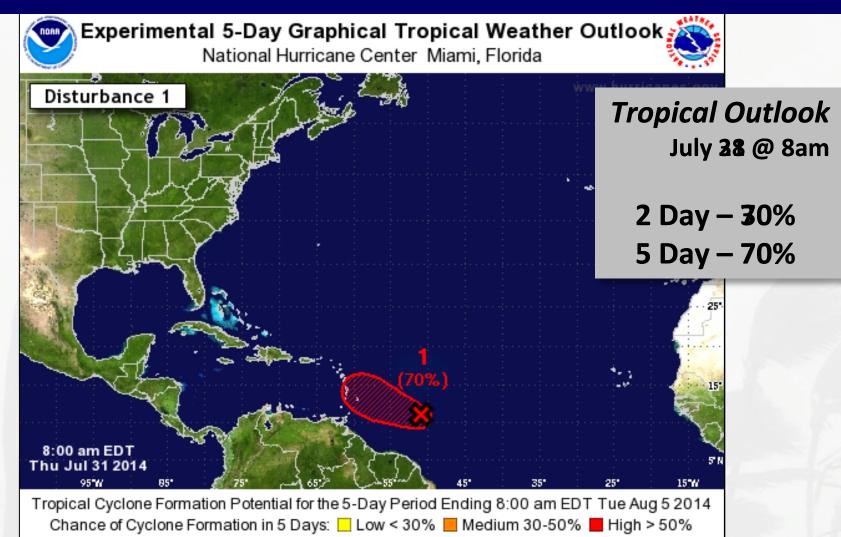
- Categorical (Low, Medium, and High)
- Probabilities

# **Graphical Tropical Outlook** 5-Day Formation Potential

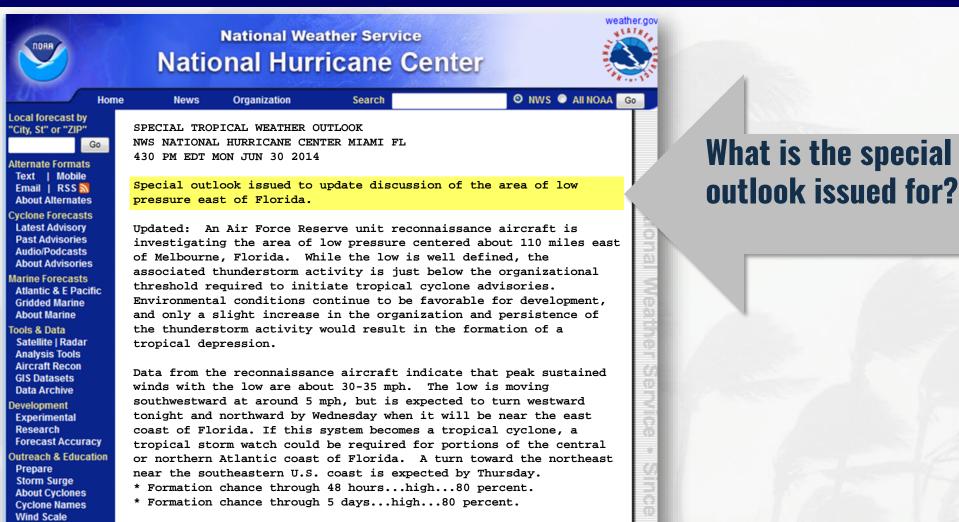


- Shows formation potential during the next 5 days
- Initial location of disturbance (X) indicated, if existing at issuance time
- Shading represents potential formation area
- Graphic also shows the location of active tropical cyclones

# Situational Awareness Graphical Tropical Outlook



## **Special Tropical Outlook** *Significant or unexpected changes.*



....

Moet Extron

## **Special Tropical Outlook** *Significant or unexpected changes.*

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Hom	e News	Organization	Search	O NWS	All NOAA Go	
Local forecast by "City, St" or "ZIP" Go Alternate Formats Text   Mobile Email   RSS About Alternates Cyclone Forecasts	NWS NATIONAL 430 PM EDT M Special outl	PICAL WEATHER OU HURRICANE CENT NON JUN 30 2014 Cook issued to to st of Florida.	What's the new			
Latest Advisory Past Advisories Audio/Podcasts About Advisories Marine Forecasts Atlantic & E Pacific Gridded Marine About Marine Tools & Data Satellite   Radar Analysis Tools Aircraft Recon GIS Datasets Data Archive Development Experimental Research Forecast Accuracy Outreach & Education Prepare Storm Surge About Cyclones	investigatin of Melbourne associated t threshold re Environmenta and only a s the thunders tropical dep Data from th winds with t southwestwar tonight and coast of Flo tropical sto or northern near the sou	the area of 3 c, Florida. Whith chunderstorm activation of 3 cquired to init: and conditions control of 3 clight increase storm activity of 3 cression. The reconnaissand the low are about an around 5 monthward by We prida. If this storm watch could Atlantic coast otheastern U.S.	low pressure cen ile the low is w tivity is just b iate tropical cy ontinue to be fa in the organiza would result in ce aircraft indi ut 30-35 mph. T mph, but is expe ednesday when it system becomes a be required for	cted to turn westw will be near the tropical cyclone, portions of the o turn toward the no ed by Thursday.	iles east tional opment, nce of a stained ward east , a central	information? Aircraft?

\* Formation chance through 5 days...high...80 percent.

~ ~

Cyclone Names Wind Scale

## Yet Another Quiz Question!

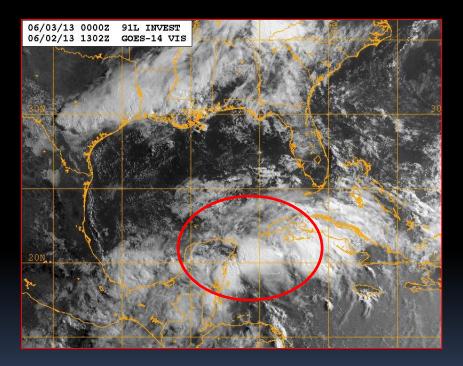
If the 2- and 5-day genesis probabilities are equal in the TWO, what does this mean?

- A) TC genesis, if it occurs, is likely to occur within 2 days
- B) TC genesis, if it occurs, is likely to occur within 5 days
- C) TC genesis, if it occurs, is likely to occur within 3 to 5 days
- D) TC genesis, if it occurs, is likely to occur in a few hours
- Si la probabilidad de génesis en el TWO (Tropical Weather Outlook) para 2 y 5 días es la misma, qué significa esto?
- A) CT génesis, si ocurre, es probable que ocurra en los próximos 2 días
- B) CT génesis, si ocurre, es probable que ocurra en los próximos 5 días
- C) CT génesis, si ocurre, es probable que ocurra en los próximos 3 a 5 días
- D) CT génesis, si ocurre, es probable que ocurra en las próximas pocas horas



## NHC "Invest" Systems

- NHC opens "invests" to monitor suspicious weather systems more carefully
- There are no standards for opening invests unlike for initiating a tropical cyclone package – based on forecaster prerogative
- Guidance is typically run when a cloud system center is apparent (but not always!)
- Users are reminded to be extremely cautious about using parameters associated with particular "invests" in decisionmaking







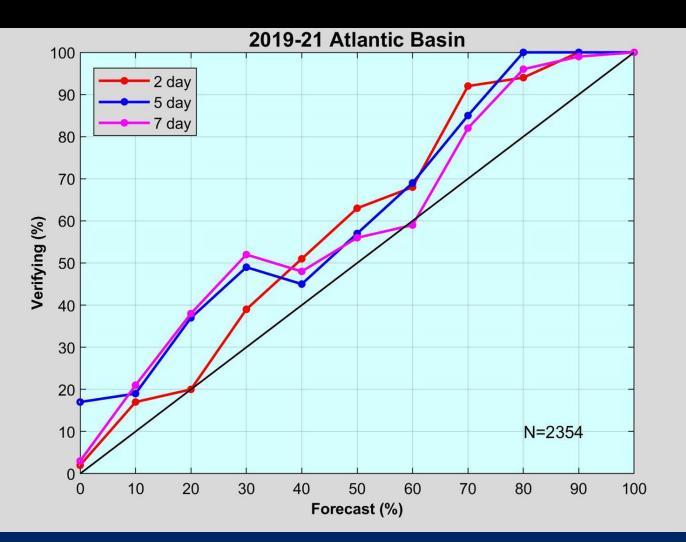


### **Potential Tropical Cyclones (PTCs)**

- PTCs give NHC the option to issue watches and warnings for land areas when the system is not yet a tropical cyclone
- Initial advisory issuance is not directly tied to tropical cyclone formation chance
- Initial issuance criteria include:
  - Likely impacts
  - Need for tropical cyclone watches or warnings for land areas
  - Desire to avoid switching warning types (tropical vs. non-tropical)

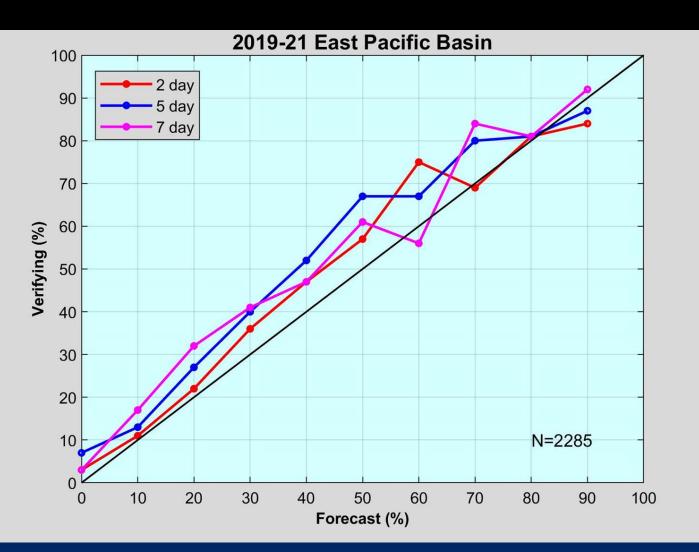


#### Verification Results of 2-, 5-, and 7-Day Genesis Forecasts - Atlantic



Slight low bias, but fairly well calibrated.

#### Verification Results of 2-, 5-, and 7-Day Genesis Forecasts - Pacific



*Well* calibrated results!

## **Any Questions?**