



NOAA's Atlantic Oceanographic  
and Meteorological Laboratory  
U.S. Department of Commerce

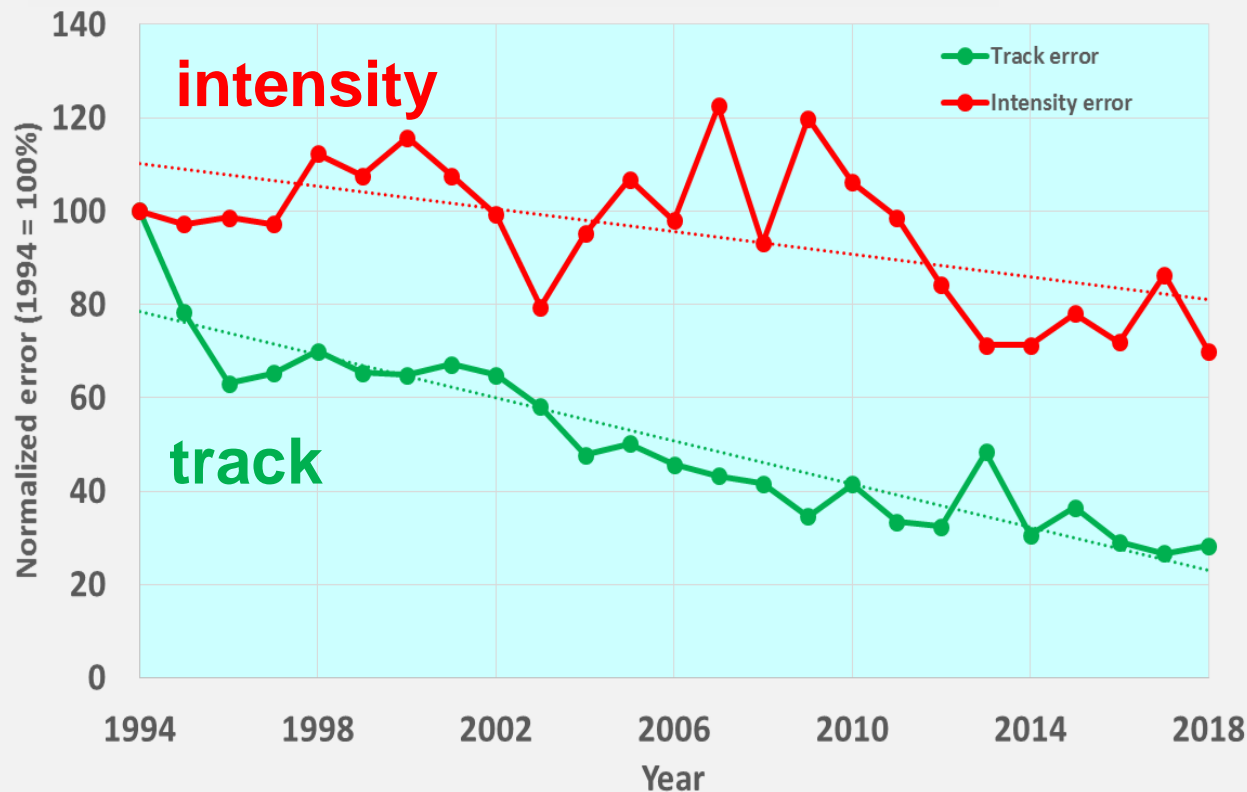
# Aircraft Observations in Tropical Cyclones

Robert Rogers  
NOAA/AOML Hurricane Research Division



# The Challenge: Hurricane Intensity Forecasting

NHC 48-h Track & Intensity Forecast Errors (1994-2018)



Between 1994 and 2018

Track forecast  
errors reduced by

70%

Intensity forecast  
errors reduced by

30%

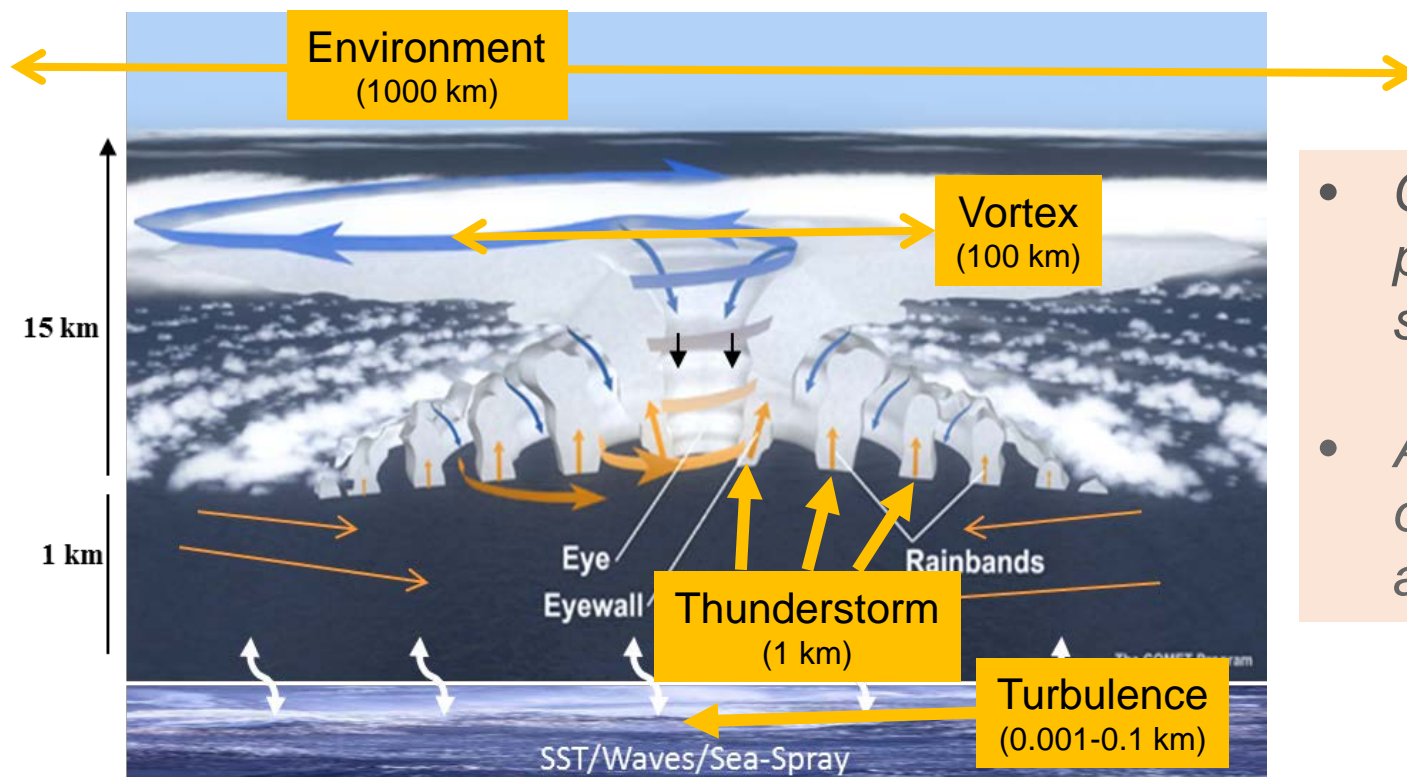
For hurricanes undergoing  
rapid intensification

3 x

Greater intensity error than non-rapidly intensifying

# The Challenge: Hurricane Intensity Forecasting

*Multiscale nature of processes are major reason for this difficulty*



- *Characterizing and understanding these processes and their interactions are key steps in forecast improvement*
- *Airborne observations provide a unique opportunity to study these processes across scales*



# Outline

1. Tools for observing hurricanes
2. Use of observations to improve hurricane forecasts
3. Flight profiles
4. Views from the aircraft
5. Toward the future
6. Quiz



# 1. Tools for observing hurricanes - platforms

## NOAA fleet



"Kermit" Built in 1975 at  
Lockheed-Martin,  
Marietta, Georgia



"Miss Piggy" Built in  
1976 at Lockheed-  
Martin, Marietta, Georgia



"Gonzo" Built in 1994  
at Gulfstream  
Aerospace  
Corporation,  
Savannah, Georgia

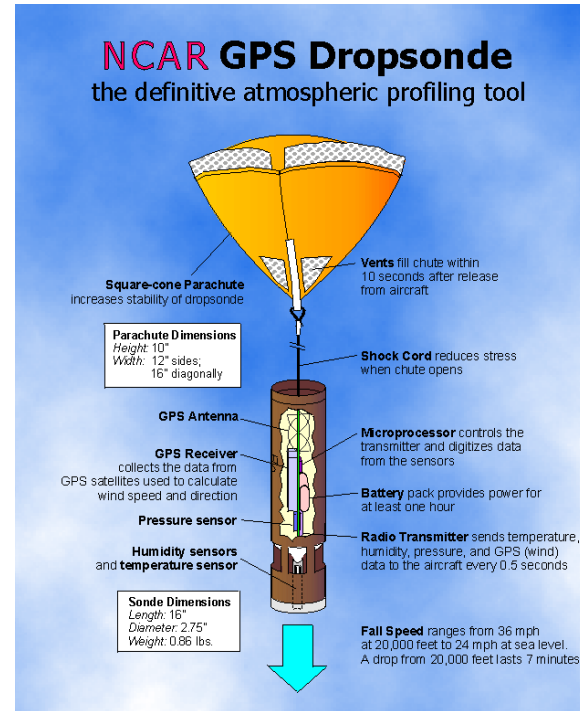


# 1. Tools for observing hurricanes - instruments

- **In-situ**
  - Wind
  - Pressure
  - Temperature
  - Moisture

# 1. Tools for observing hurricanes - instruments

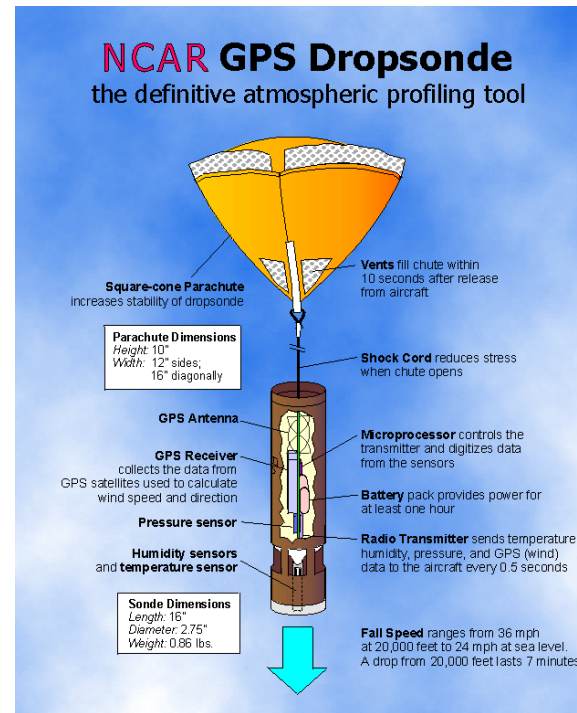
- **In-situ**
  - Wind
  - Pressure
  - Temperature
  - Moisture
- **Expendables**
  - Dropsondes
  - Aircraft-launched ocean probes



GPS Dropsonde

# 1. Tools for observing hurricanes - instruments

- **In-situ**
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- **Expendables**
  - Dropsondes
  - Aircraft-launched ocean probes
- **Remote Sensors**
  - Tail Doppler Radar(TDR)
  - Stepped Frequency Microwave Radiometer (SFMR)
  - Scanning Radar Altimeter (SRA)
  - Doppler Wind Lidar



GPS Dropsonde



Tail Doppler Radar

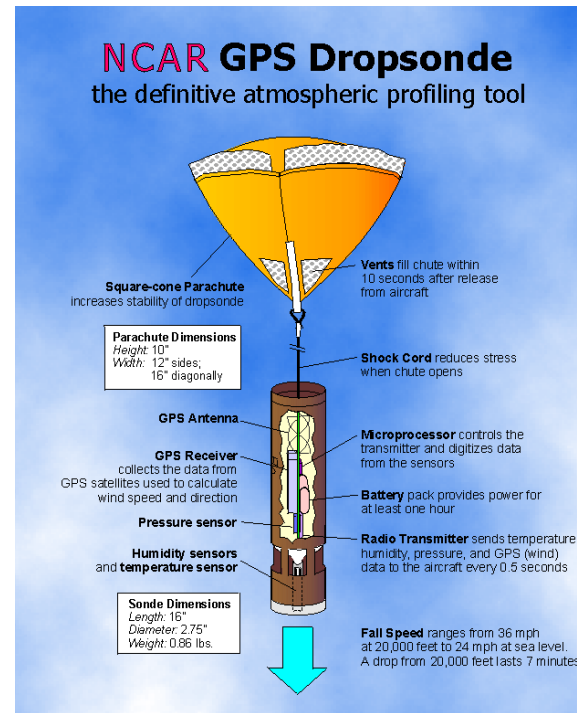


Doppler Wind Lidar



# 1. Tools for observing hurricanes - instruments

- **In-situ**
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  - Pressure
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- **Remote Sensors**
  - Tail Doppler Radar(TDR)
  - Stepped Frequency Microwave Radiometer (SFMR)
  - Scanning Radar Altimeter (SRA)
  - Doppler Wind Lidar
- **Uncrewed**
  - Uncrewed Aerial Systems (UASs) (e.g., Coyote)
  - Autonomous Underwater Vehicles (AUVs) (e.g., Ocean Glider)



GPS Dropsonde



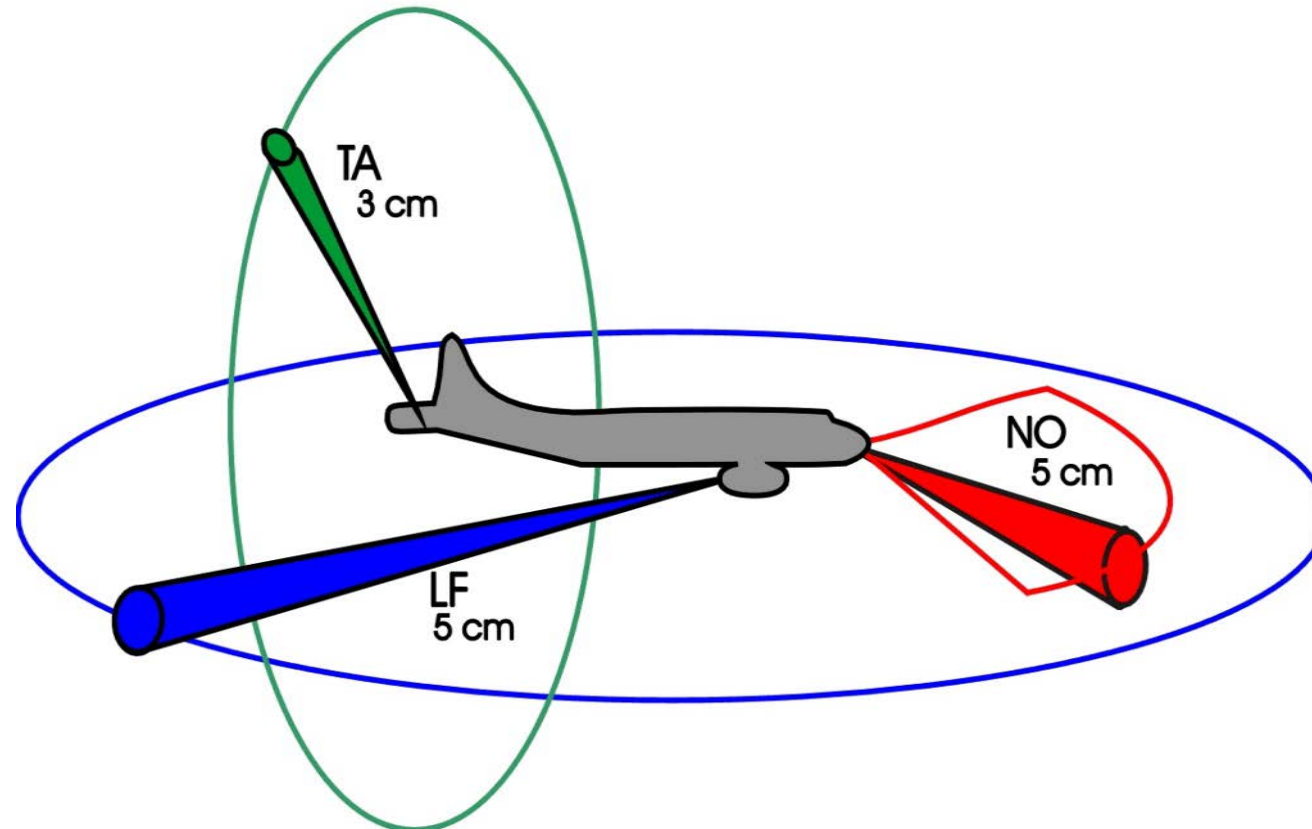
Tail Doppler Radar



Doppler Wind Lidar

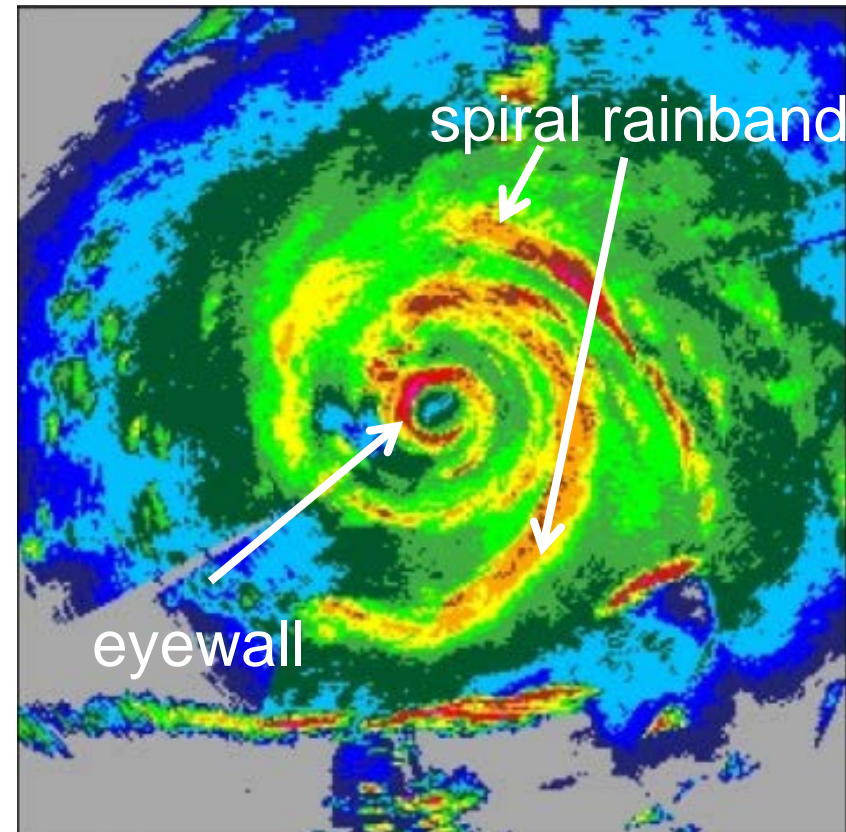
# 1. Tools for observing hurricanes - instruments

## *Airborne Radars on P-3*



# 1. Tools for observing hurricanes - instruments

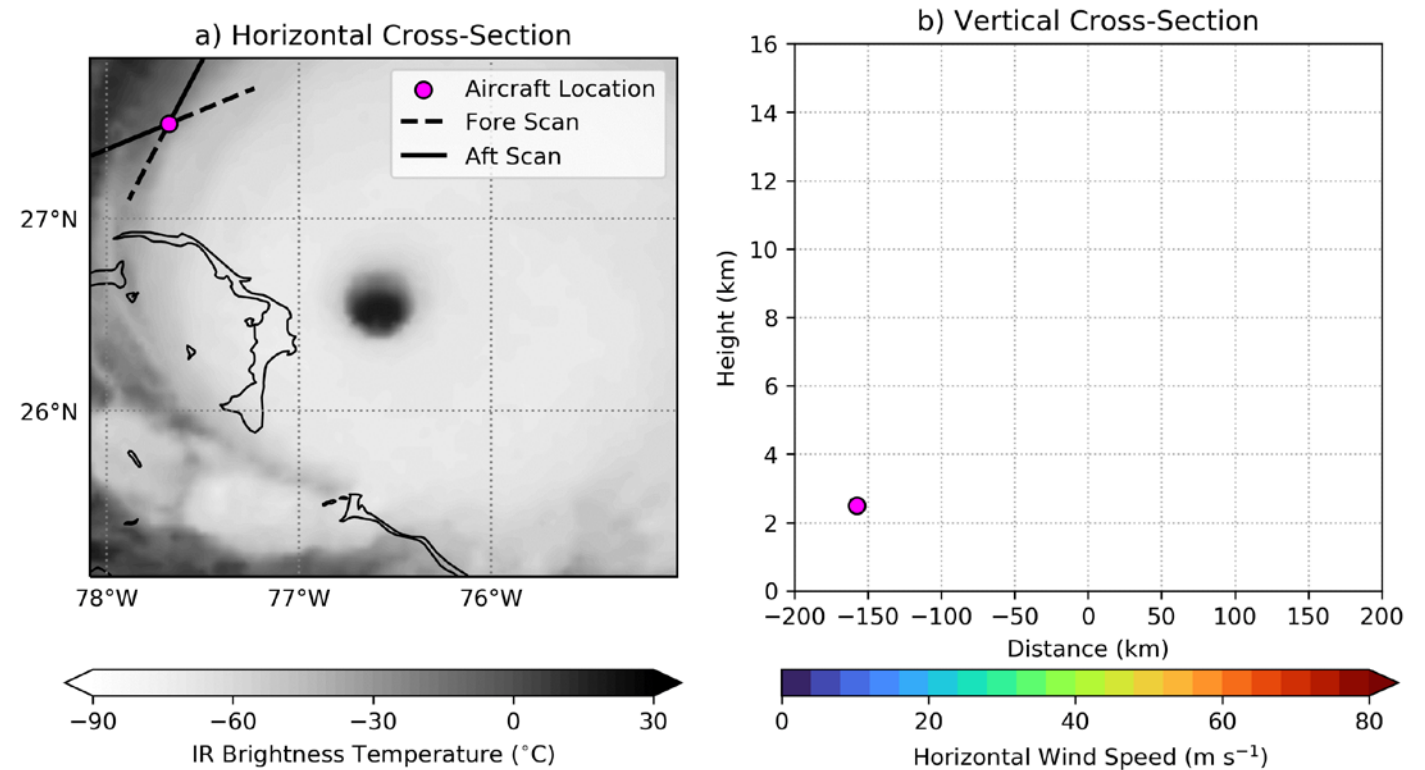
## *Lower Fuselage (LF) Radar*





# 1. Tools for observing hurricanes - instruments

## *Tail Doppler Radar (TDR)*

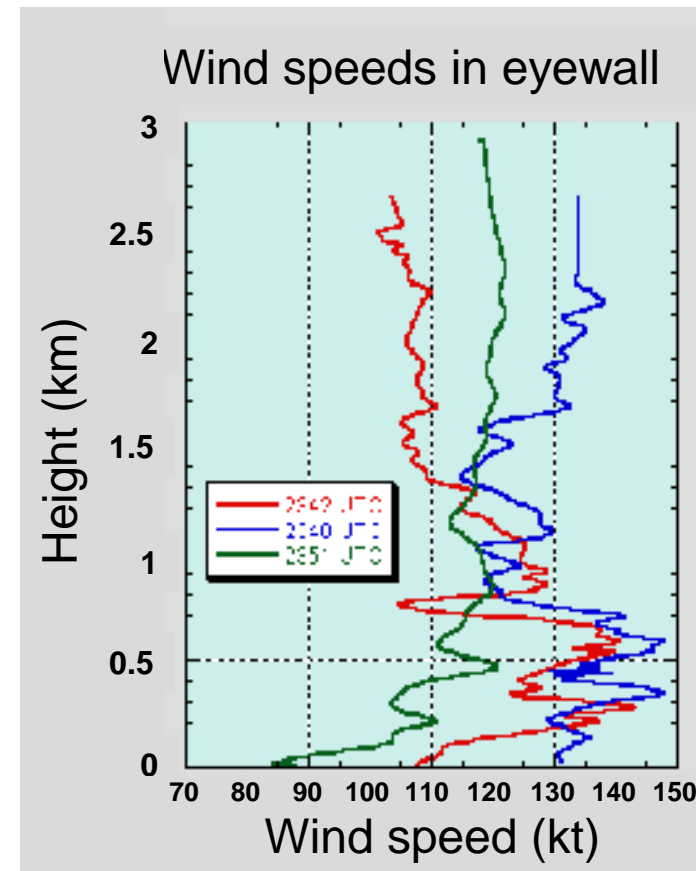
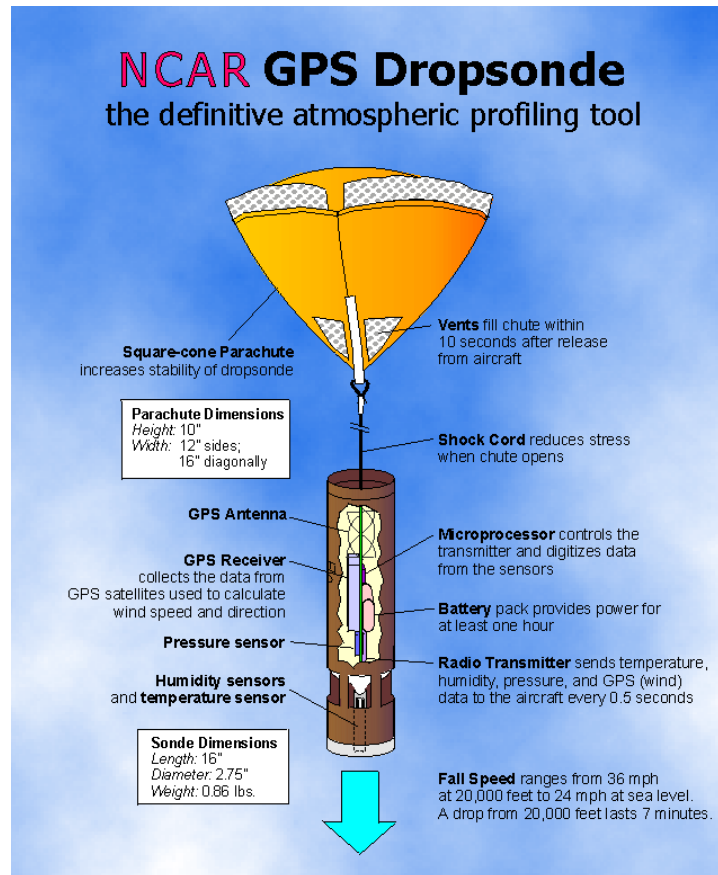


Vertical slice shows eyewall, eye structure

- Measurements of reflectivity and three-dimensional winds in inner core, from 0.5 km to ~18 km altitude

# 1. Tools for observing hurricanes - instruments

## *GPS dropsonde*

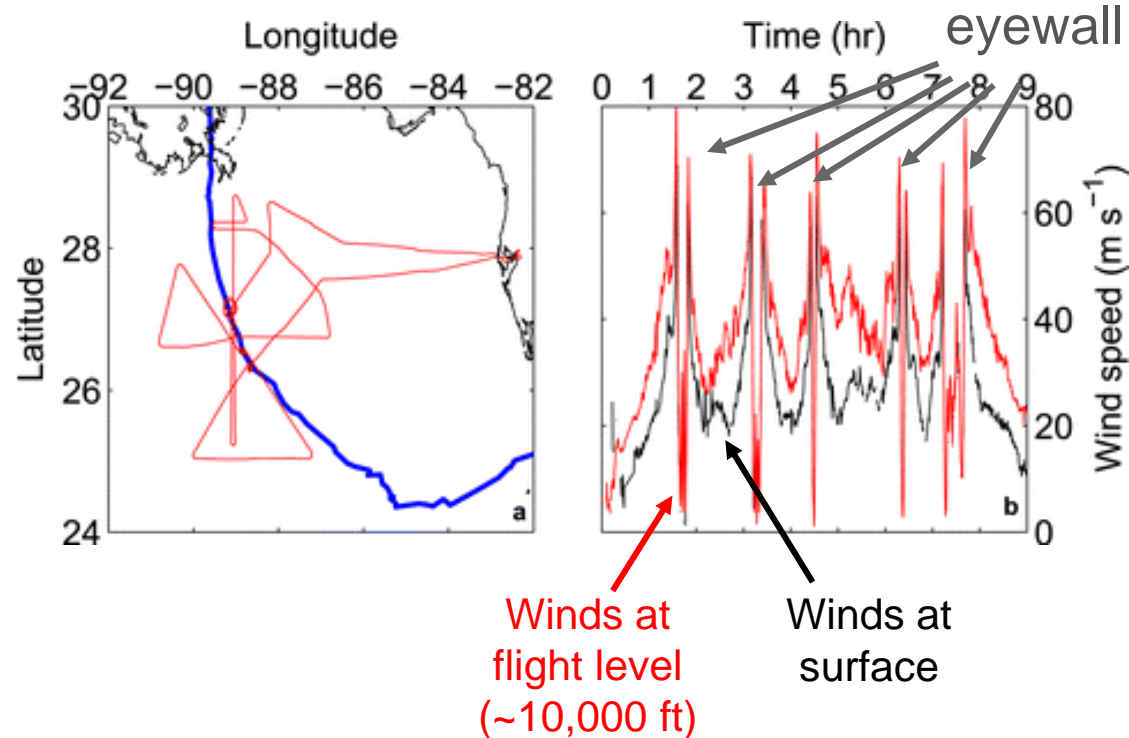
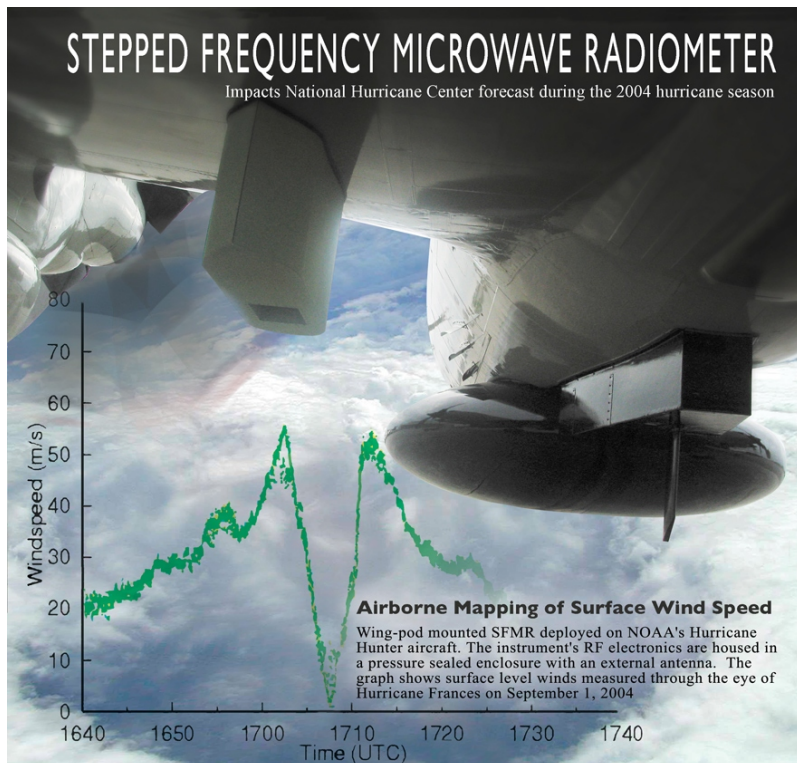


- Profiles of wind speed and direction, temperature, moisture, pressure
- Uses GPS for accurate wind speed and direction
- High-frequency 4 Hz sampling
- Cat-5 wind speeds in lowest 1500 ft



# 1. Tools for observing hurricanes - instruments

## *Stepped Frequency Microwave Radiometer (SFMR)*



Winds at surface usually a little weaker than winds at flight level

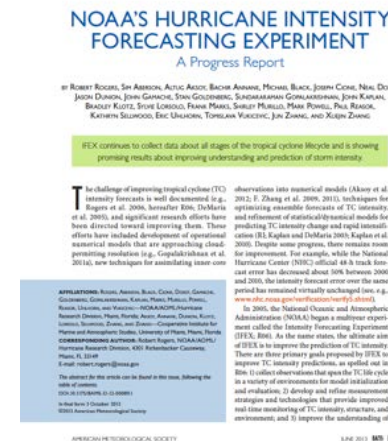
- Uses brightness at ocean surface to retrieve surface wind speed underneath aircraft
- Helpful in assessing storm intensity, compare with flight-level reductions

# 2. Use of observations to improve hurricane forecasts

## *Intensity Forecasting Experiment (IFEX): 2005-2021*



Rogers et al., BAMS, 2006

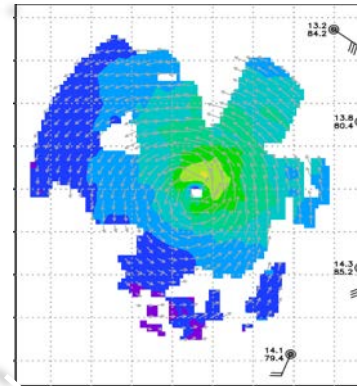


Rogers et al., BAMS, 2013

IFEX intended to improve prediction of TC intensity change by addressing three goals:

- 1) **FORECASTS:** Collecting observations that span TC life cycle across scales for model initialization, evaluation
- 2) **NOWCASTS:** Developing and refining measurement technologies that provide improved real-time monitoring of TC intensity, structure, and environment
- 3) **RESEARCH:** Improving understanding of physical processes important in intensity change for a TC at all stages of its life cycle

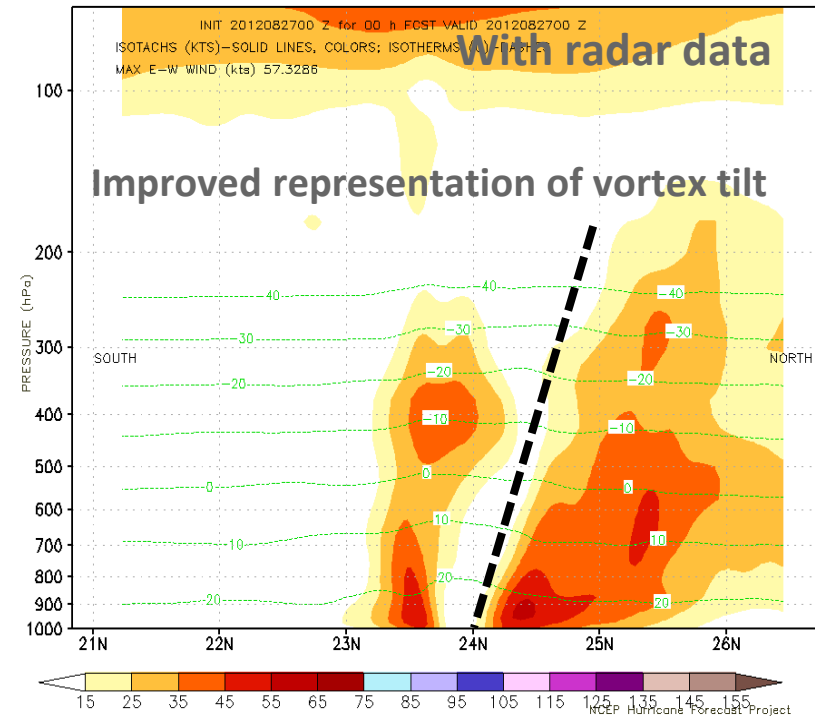
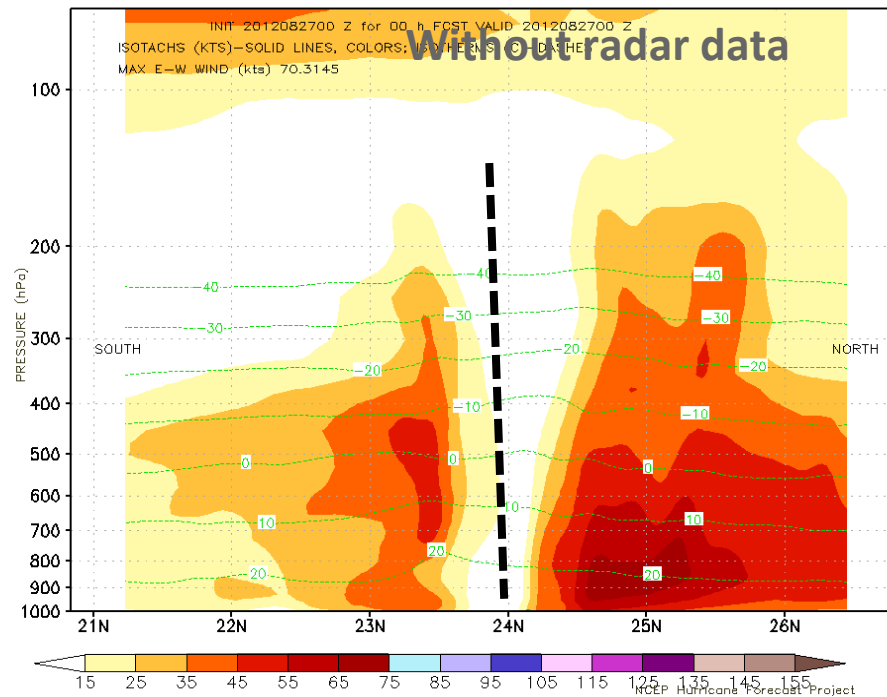
- NOAA P-3 transmitted Tail Doppler radar data in real-time for assimilation into HWRF





# IFEX FORECASTS: Assimilation of data into numerical models

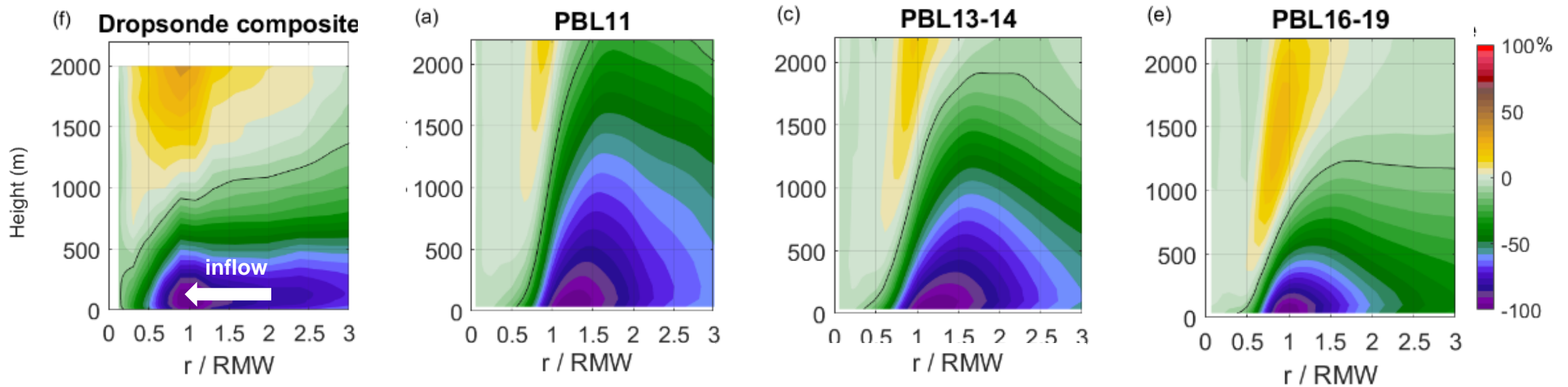
Vertical cross section of wind speed in Isaac (2012) at start of model forecast



# IFEX FORECASTS: Model evaluation

## *Sensitivity of radial wind to mixing processes in low levels*

### Radial inflow for different HWRF mixing formulations from 2011-2019



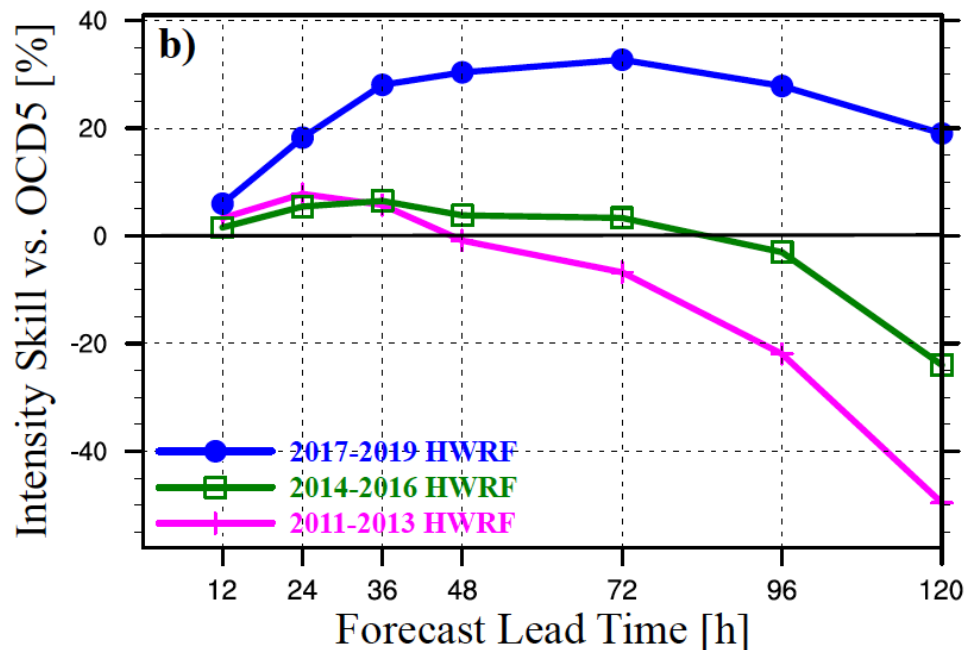
Zhang et al. 2020

- PBL structure (depth of inflow layer, outflow channel) more consistent with dropsonde composites using mixing based on observations (more recent versions of model)



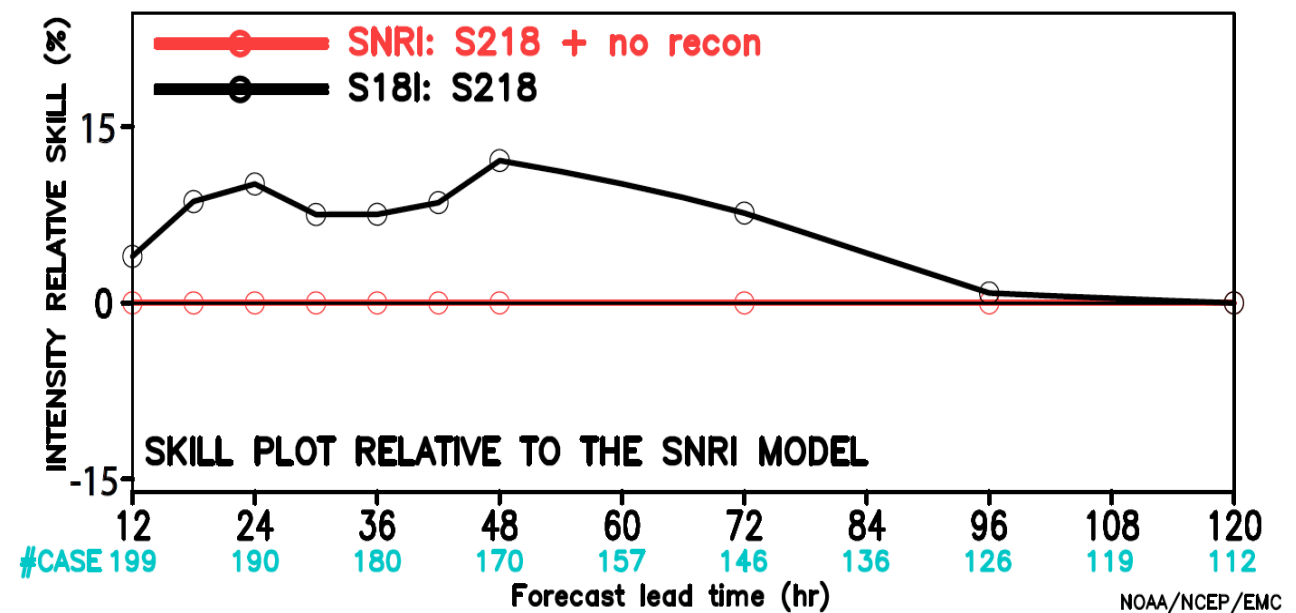
# IFEX FORECASTS: Improvements to numerical model forecasts

HWRF Intensity forecast skill



- HWRF intensity forecast improved steadily from 2011-2019

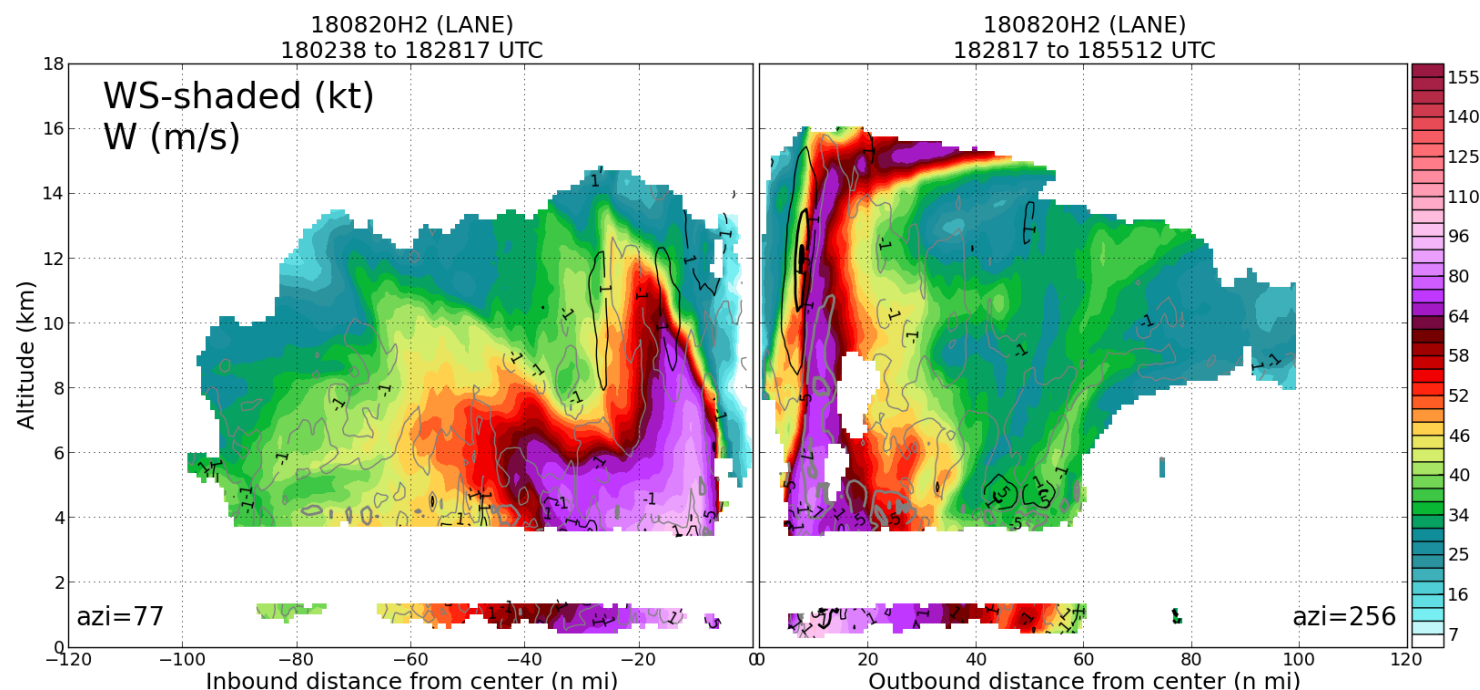
INTENSITY RELATIVE SKILL (%) STATISTICS  
H218 DA test



- Use of aircraft reconnaissance improves HWRF intensity forecast by ~15% at 48 h

# IFEX NOWCASTS: Improved representation of TC structure

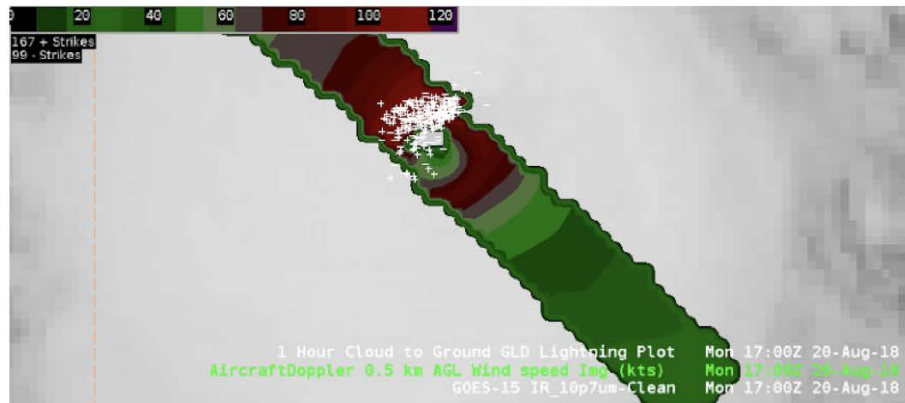
*Real-time vertical cross section of wind speeds in Hurricane Lane*



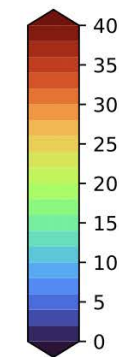
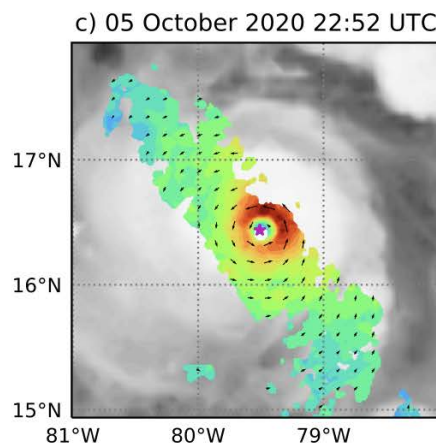
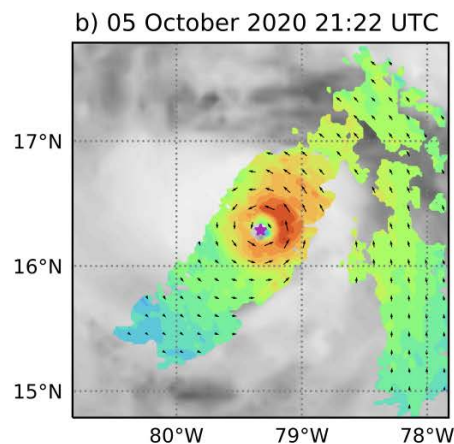
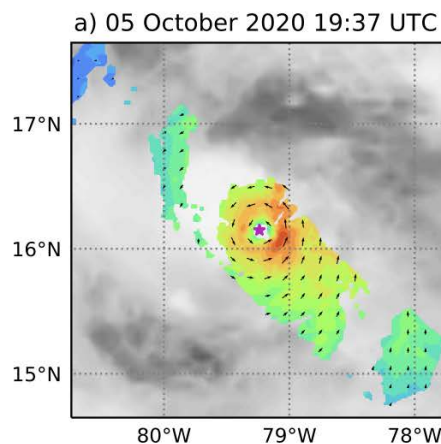
- Noteworthy across-storm asymmetries in strength, radial, and vertical structure of winds evident

# IFEX NOWCASTS: Improved representation of TC structure

*Real-time incorporation of aircraft data into operational visualization tools*



The "first look" of TDR and lightning data in AWIPS-II during Hurricane Lane (2018) flights



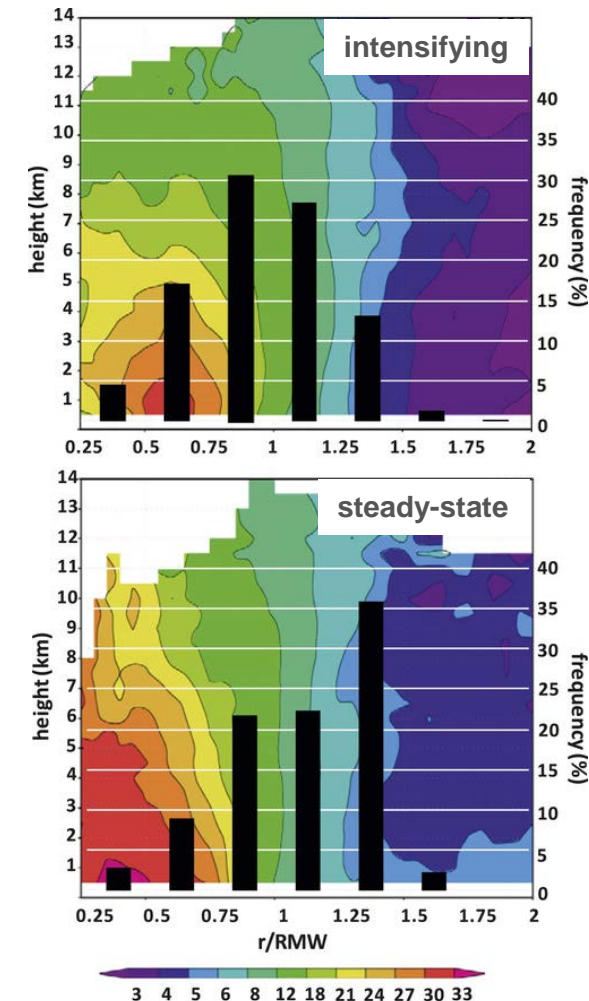
Sequence of passes in Delta (2020) while rapidly intensifying



# IFEX RESEARCH: Improved understanding of intensity change

- Characterizing TC Inner-core Structure and Intensity Change

*Azimuthally-averaged vorticity (shaded,  $\times 10^{-4} \text{ s}^{-1}$ )  
and radial distribution of convective bursts*

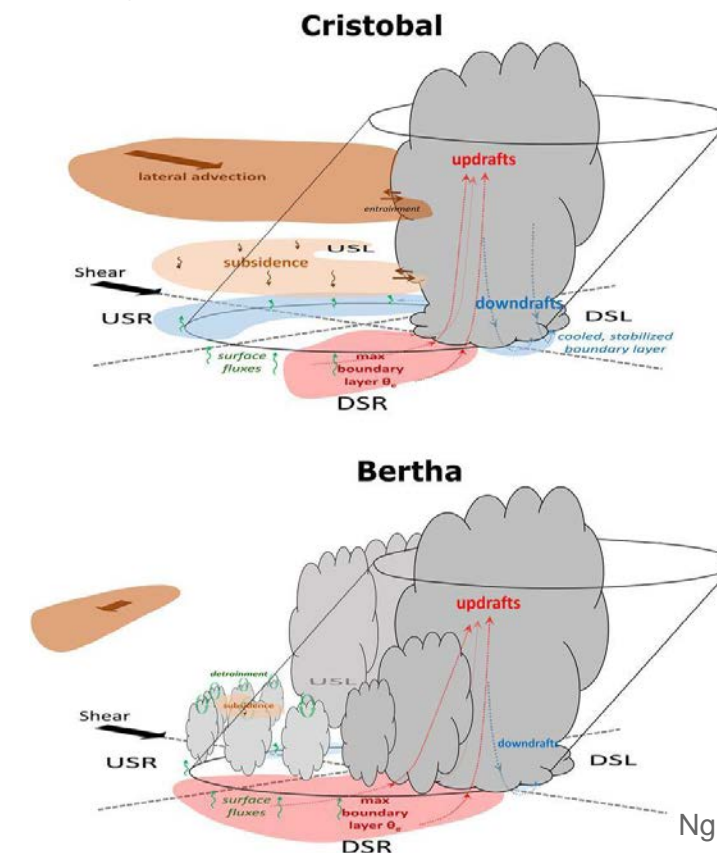


- Peak in distribution of strong convection inside RMW for intensifying TCs, outside for steady-state

# IFEX RESEARCH: Improved understanding of intensity change

- Characterizing TC Inner-core Structure and Intensity Change
- TC Intensity Change in Vertical Wind Shear

*Schematic of intensifying (Bertha) and steady-state (Cristobal) TC structure in shear*



Nguyen et al 2017

- Symmetric distribution of precipitation, more moist boundary layer right of shear for intensifying case

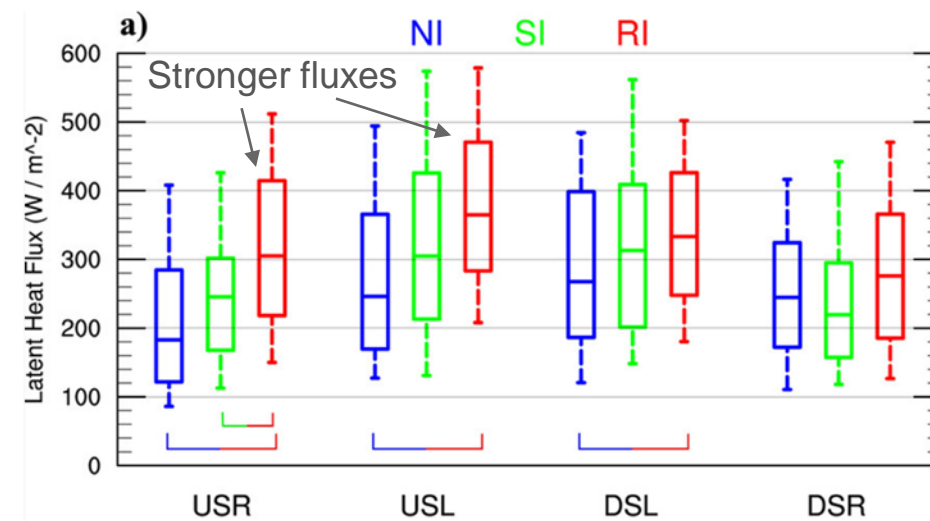




# IFEX RESEARCH: Improved understanding of intensity change

- Characterizing TC Inner-core Structure and Intensity Change
- TC Intensity Change in Vertical Wind Shear
- Boundary Layer Processes and Air-sea Interactions

*Boxplots of surface latent heat flux in shear-relative quadrants for non-intensifying (blue), slowly intensifying (green) and rapidly intensifying (red) hurricanes from dropsondes.*



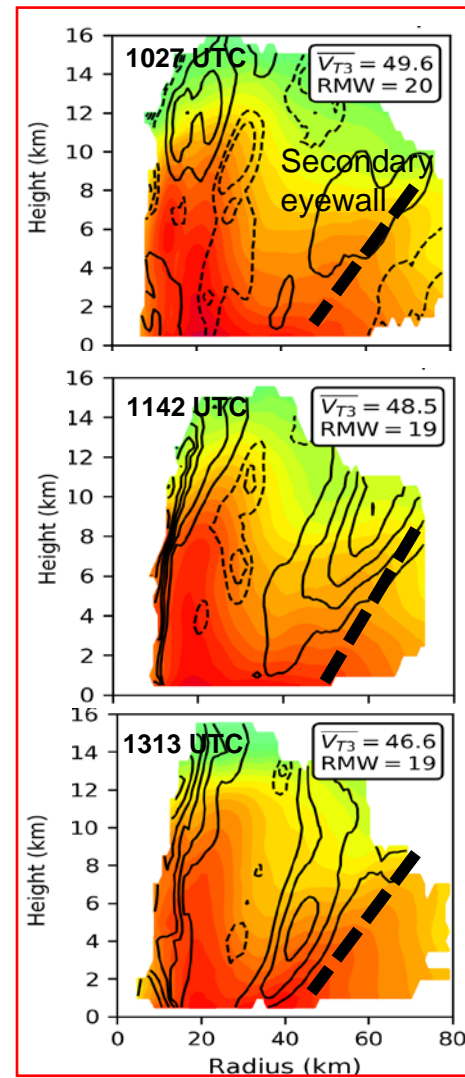
Nguyen et al 2019

- Stronger surface heat fluxes upshear distinguish RI TCs from non-intensifying TCs



# IFEX RESEARCH: Improved understanding of intensity change

- Characterizing TC Inner-core Structure and Intensity Change
- TC Intensity Change in Vertical Wind Shear
- Boundary Layer Processes and Air-sea Interactions
- Secondary Eyewall Formation and Eyewall Replacement Cycles



*Azimuthally-averaged tangential wind (shaded, m/s) and vertical velocity (contour, m/s) during consecutive center passes in Hurricane Irma (2017)*

- Clear evidence of secondary eyewall formation over ~3 h period
- Anomaly first appears in midlevels, then surface
- Likely midlevel inflow initiated process

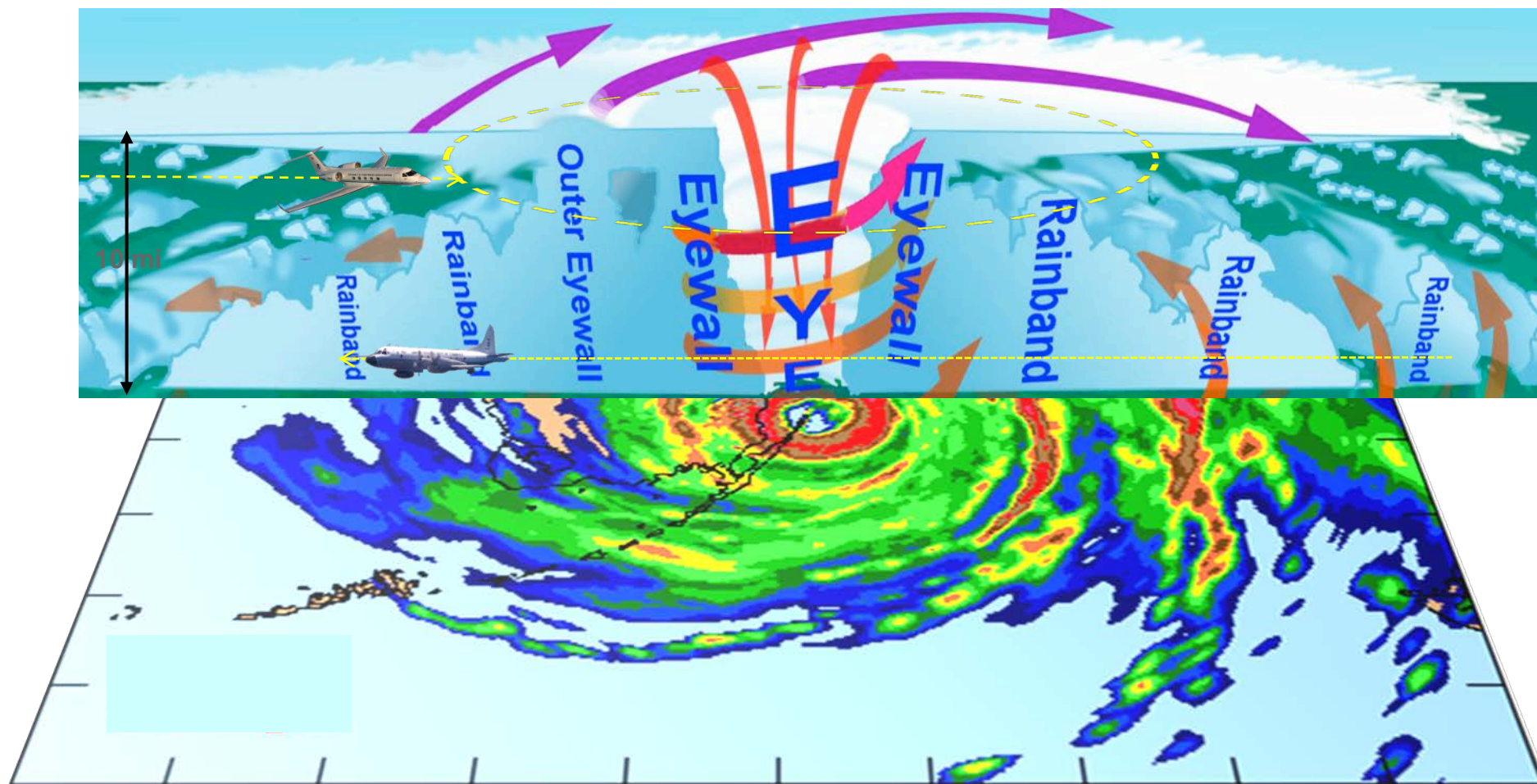
Fischer et al 2020

## 3. Flight profiles



# 3. Flight profiles

*Aircraft sampling of TCs*

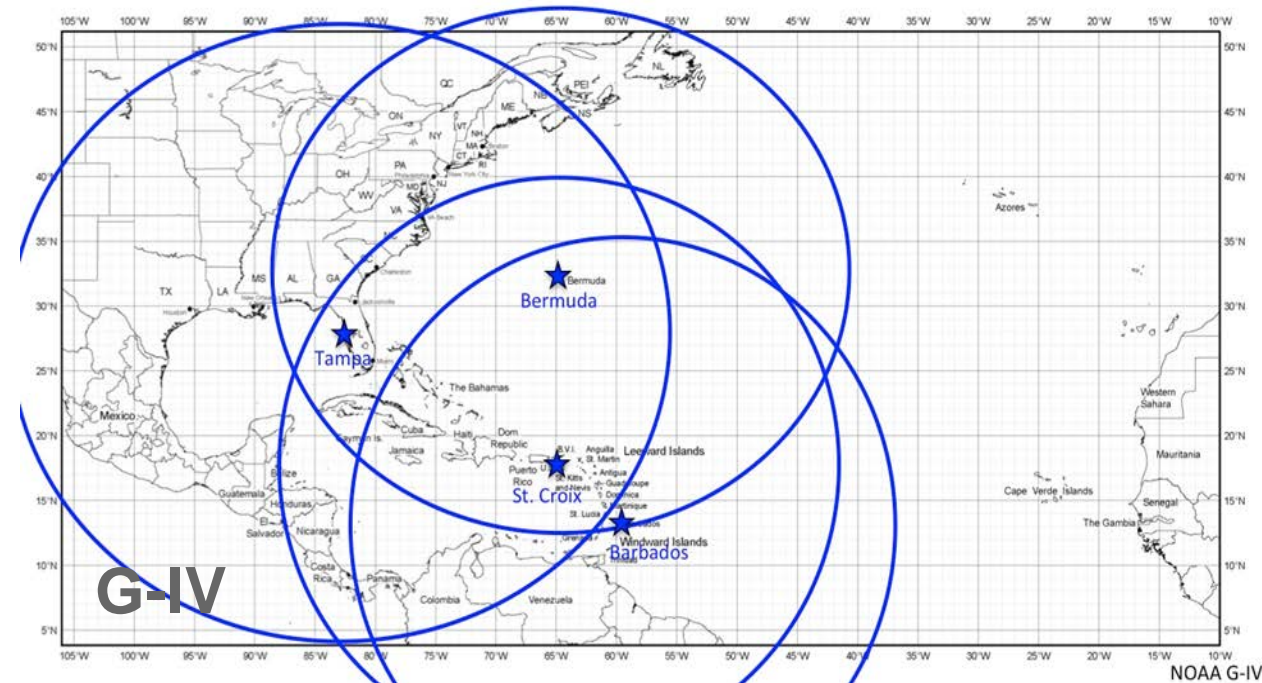
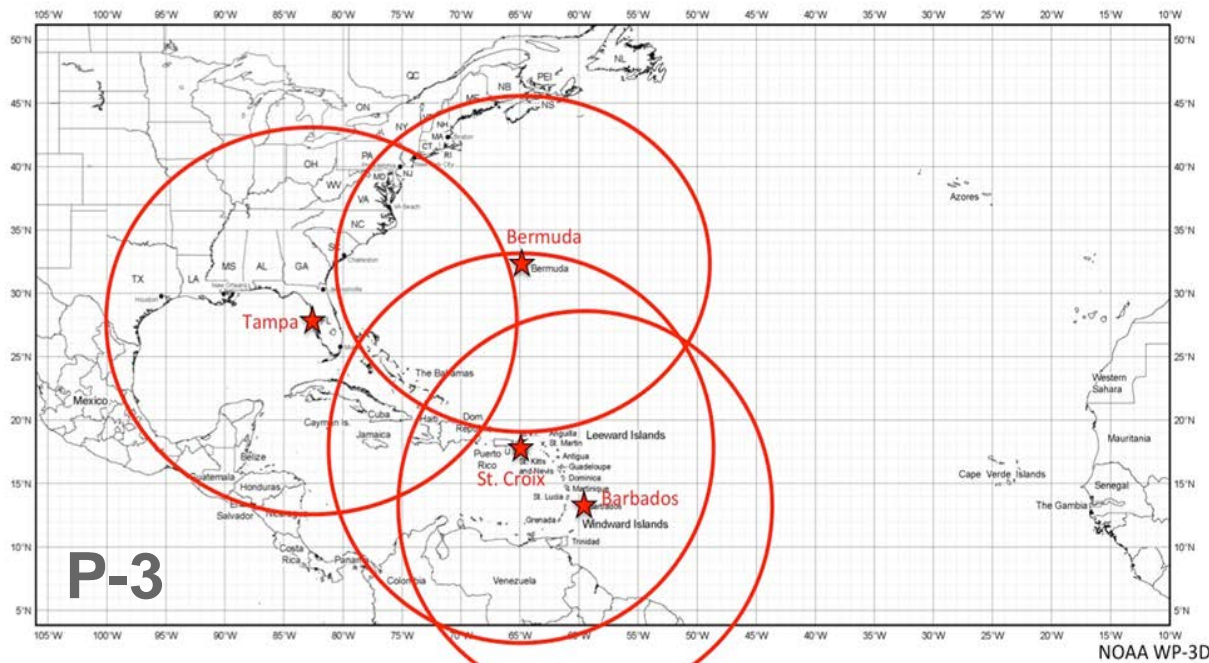




# 3. Flight profiles

## P-3 and G-IV Atlantic bases of operations

Assuming 2 hours of on-station time





# 3. Flight profiles

## Typical flight patterns

P-3

G-IV

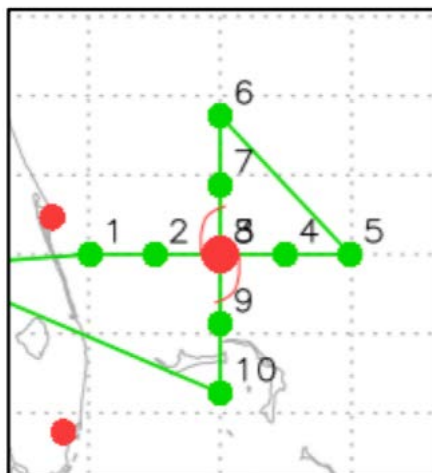
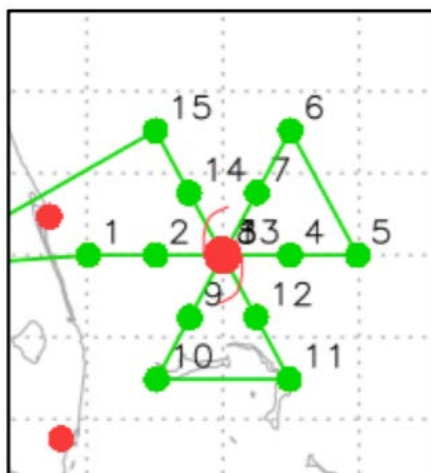
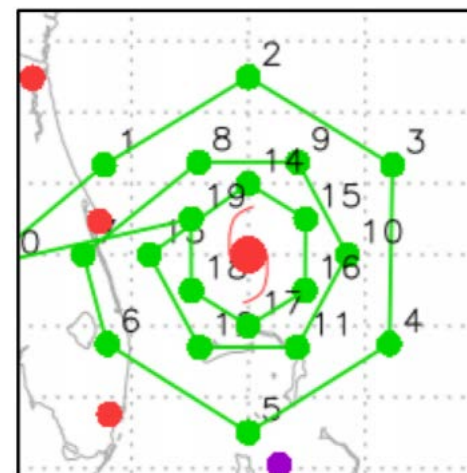


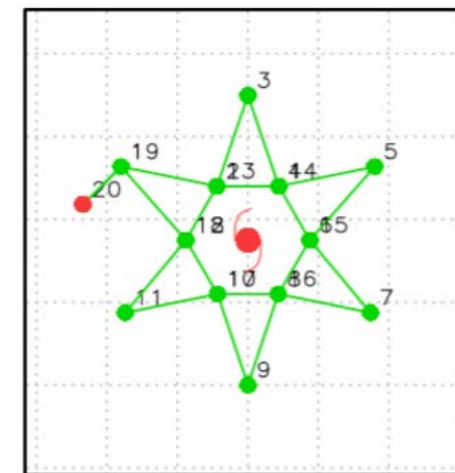
Figure-4



Butterfly



Circumnavigation



Star

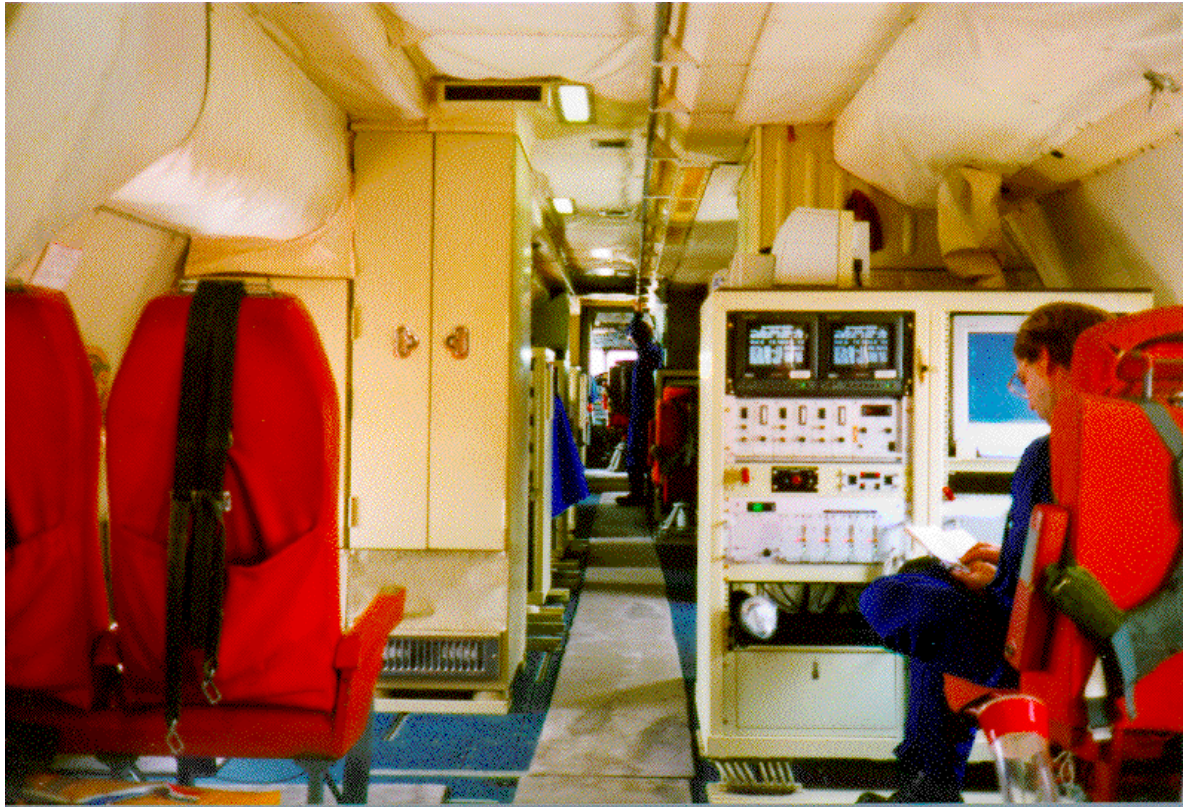
## 4. Views from the aircraft





## 4. Views from the aircraft

*Inside the P-3 Aircraft*



*Inside the G-IV Aircraft*



## 4. Views from the aircraft

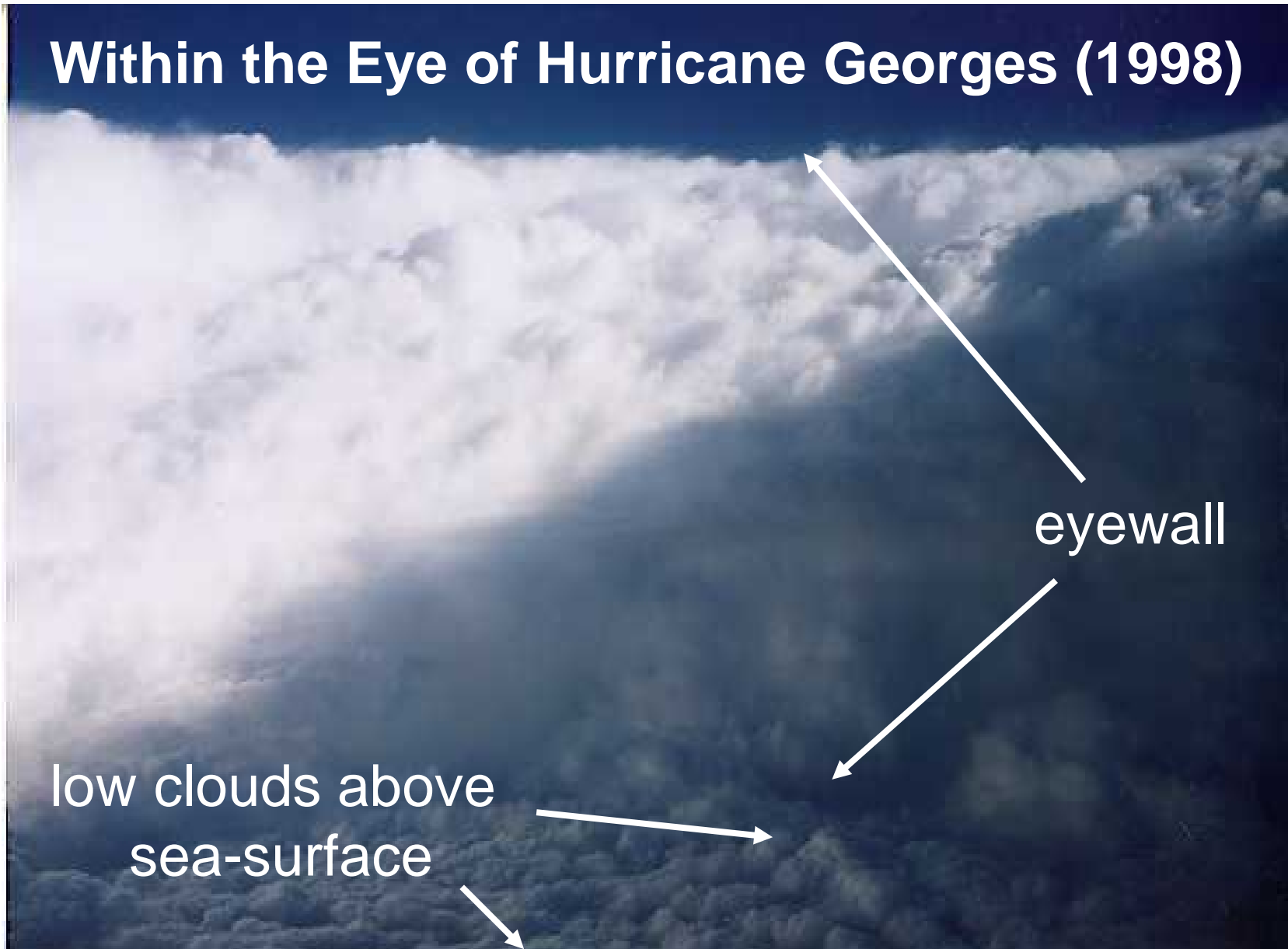
### *Dropsonde release on P-3*







## Within the Eye of Hurricane Georges (1998)



eyewall

low clouds above  
sea-surface



# Low-level flight





# Stadium effect



## 5. Toward the future (APHEX)

- Over the past several years there have been *multiple billion dollar TC-related disasters* (NOAA/NCEI)
- Almost every one of these storms had *at least one RI period*
- Storm-surge inundation, extreme rainfall, high surf, and tornadoes are significant contributors to damage, in addition to high winds
- Water (inland flooding from rainfall and surge) is responsible for most deaths (Rappaport 2000)
- Emphasizes the importance of hazards**

Storm (year)	Landfall location	Rainfall (in.)	Surge inundation (ft)	Wind (kt)	U.S. tornadoes
Matthew (2016; Stewart 2017)	Haiti	23.80	Unknown	130	2
	Cuba	26.40	13	115	
	Bahamas	19.70	8	115	
	South Carolina	18.95	7.7	75	
Harvey (2017; Blake and Zelinsky 2018)	Barbados	Unknown	Unknown	40	52
	Saint Vincent	Unknown	Unknown	40	
	Texas <sup>a</sup>	60.58	10	115	
Irma (2017; Cangialosi et al. 2018)	Barbuda	Unknown	8	155	25
	Saint Martin	Unknown	Unknown	155	
	British Virgin Islands	Unknown	Unknown	155	
	Bahamas	Unknown	Unknown	135	
	Cuba	23.90	10	145	
	Florida Keys	6–10	8	115	
	Florida	21.66	10	100	
Maria (2017; Pasch et al. 2019)	Dominica	22.80	Unknown	145	3 <sup>b</sup>
	Puerto Rico	37.90	9	135	
Florence (2018; Stewart and Berg 2019)	North Carolina	35.93	11	80	44
Michael (2018; Beven et al. 2019)	Florida	11.45	14	140	16
Dorian (2019; Avila et al. 2020)	Barbados	Unknown	Unknown	45	21
	Saint Lucia	Unknown	Unknown	45	
	Saint Croix	Unknown	Unknown	65	
	Saint Thomas	Unknown	Unknown	70	
	Bahamas <sup>c</sup>	22.84	20 <sup>d</sup>	160	
	North Carolina	15.21	7	85	
Imelda (2019; Latto and Berg 2020)	Texas	44.29	2	40	2
Isaias (2020; Latto et al. 2021)	Dominican Republic	8	Unknown	55	39
	Bahamas	Unknown	Unknown	70	
	North Carolina	9.15	6	80	
Laura (2020; Pasch et al. 2021)	Louisiana <sup>e</sup>	11.74	18	130	16
Sally (2020; Berg and Reinhart 2021)	Alabama <sup>f</sup>	29.99	7	95	16



## 5. Toward the future (APHEX)

- Focus on “intensity forecasting” at the inception of IFEX now a narrow scope within a broad expanse of forecast challenges and knowledge gaps that must be addressed at all stages of the TC life cycle
- IFEX priorities broadened beyond intensity to include **structure and hazards**, and with the focus of **improving model analyses** with observations

### APHEX (Advancing the Prediction of Hurricanes Experiment)

Goal 1: Collect observations that span the TC life cycle in a variety of environments for model initialization and evaluation

Goal 2: Develop and refine measurement strategies and technologies that provide improved real-time **analysis** of TC intensity, structure, environment, **and hazard assessment**

Goal 3: Improve the understanding of physical processes **that affect TC formation, intensity change, structure, and associated hazards**

## 5. Toward the future (APHEX)

### *New Airborne Platforms and Instruments*

#### Small uncrewed aerial systems (sUAS)



- released from P-3 like a dropsonde, can be controlled for ~2 h
- new versions have 3-4 h duration, range of ~200 nm
- APHEX 2023 will see continuation of sUAS missions, tests of new platforms (dropsonde swarm)



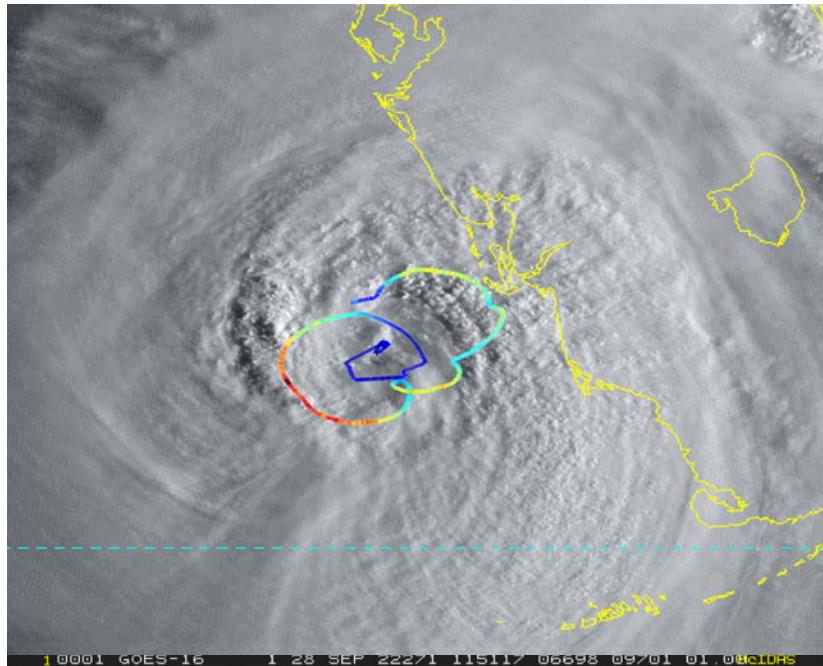
- depiction of sUAS launch

## 5. Toward the future (APHEX)

### *New Airborne Platforms and Instruments*

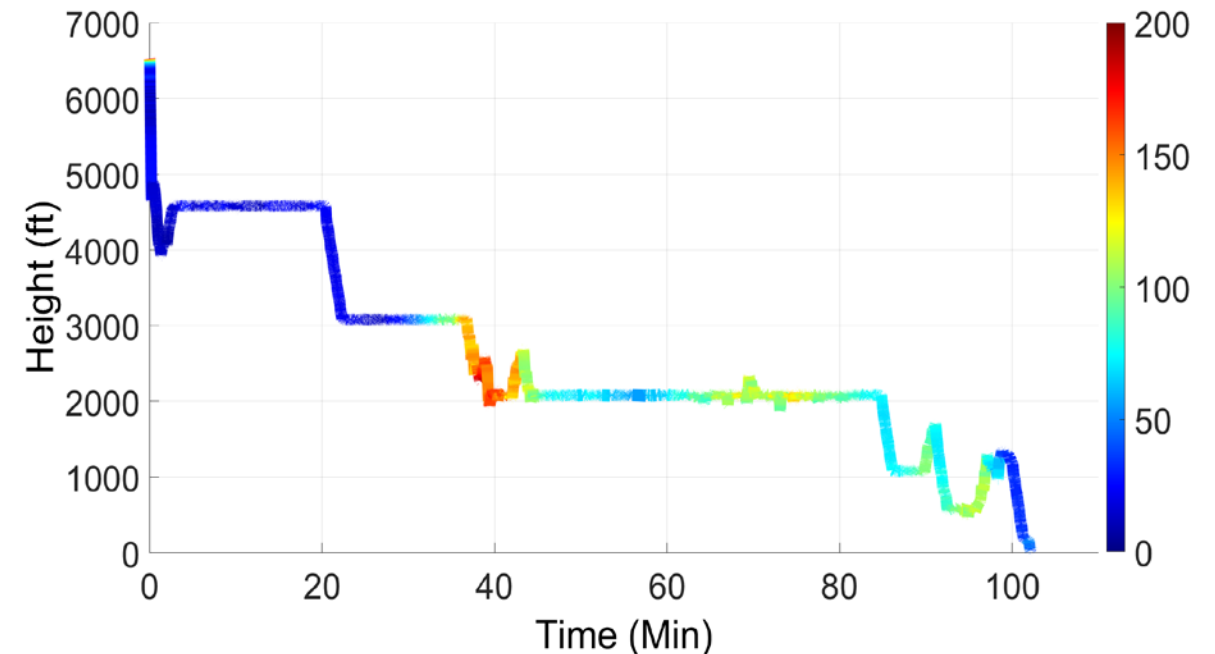
#### Small uncrewed aerial systems (sUAS)

Altius track and wind speed (shaded, kt)



- Altius measurements in Hurricane Ian (2022)

Altius altitude (ft) and wind speed (shaded, kt)

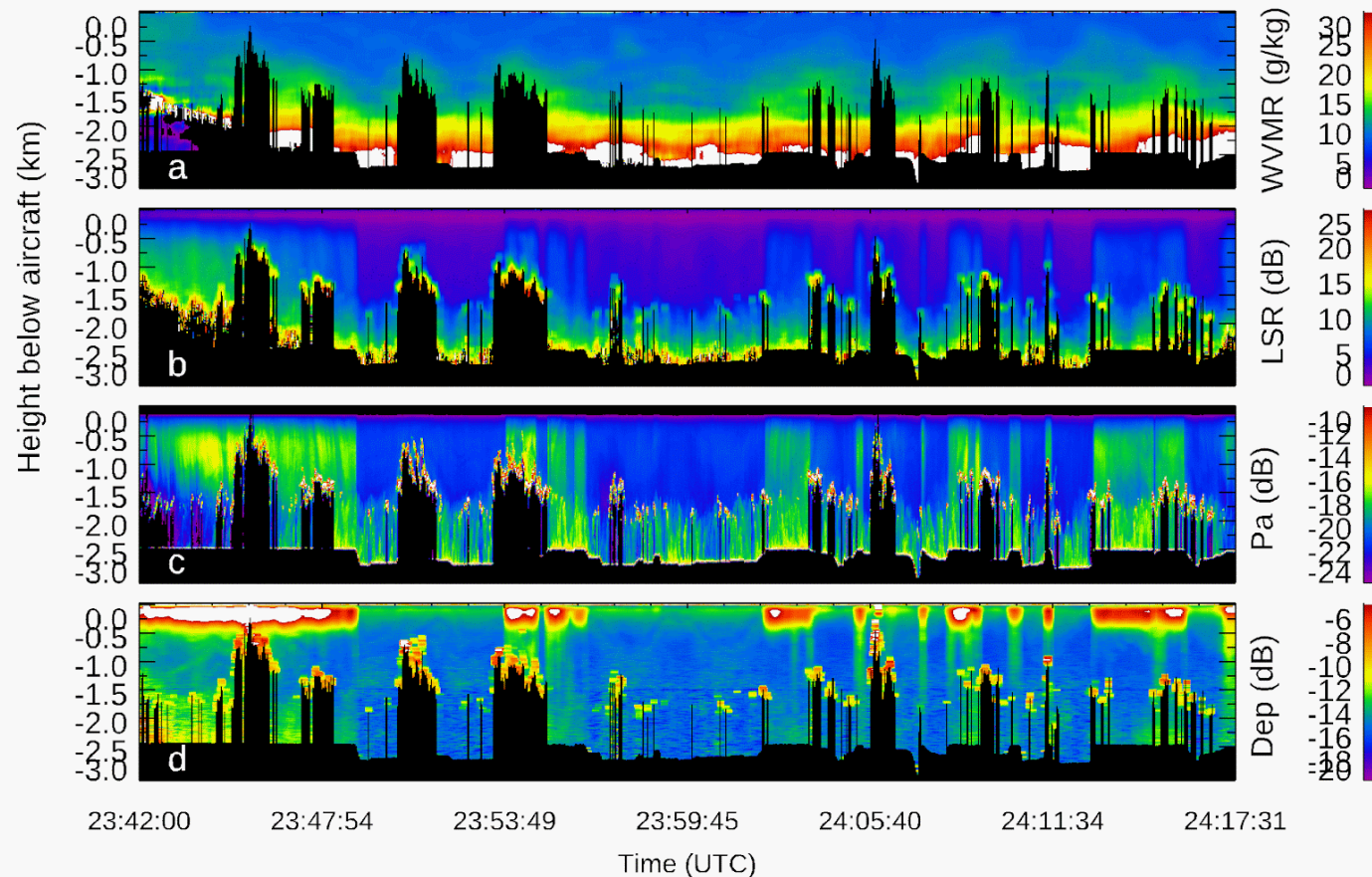


- Can get measurements down to surface, where crewed aircraft can not reach

## 5. Toward the future (APHEX)

### *New Airborne Platforms and Instruments* Compact Raman Lidar (CRL)

20200918 Preliminary Results



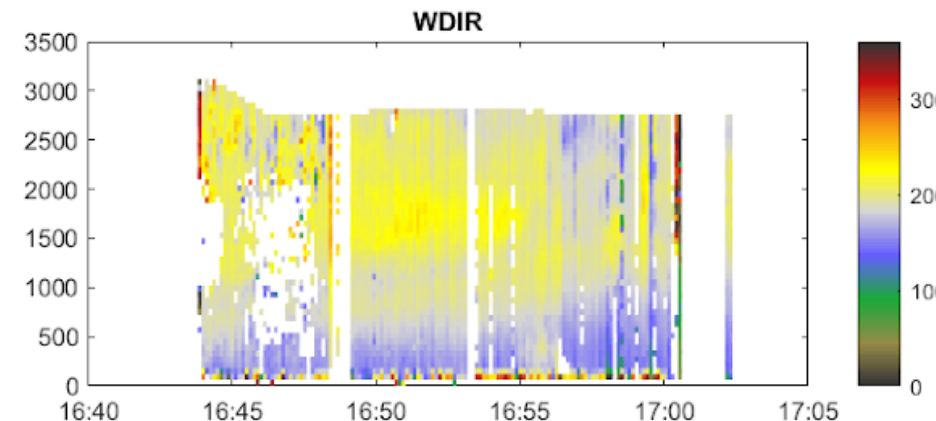
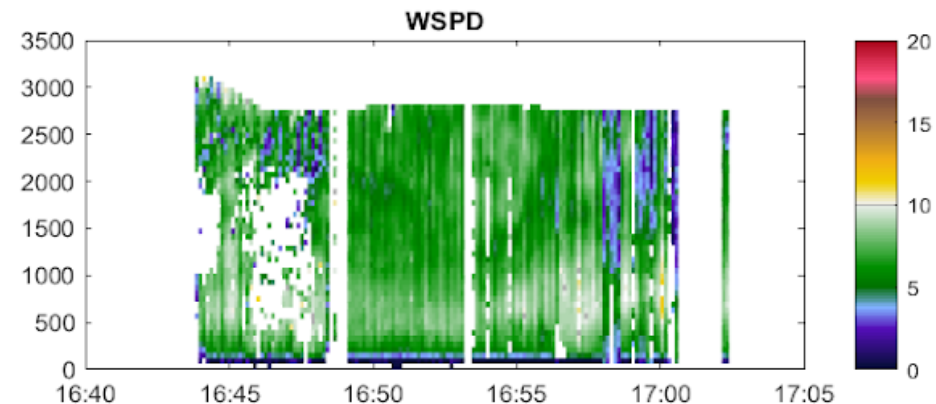
- Lidar retrievals of three-dimensional fields of temperature, water vapor, clouds, and aerosols below flight level
- 45 m vertical, 100-1000 m horizontal resolution
- Provide valuable thermodynamic information in boundary layer



# 5. Toward the future (APHEX)

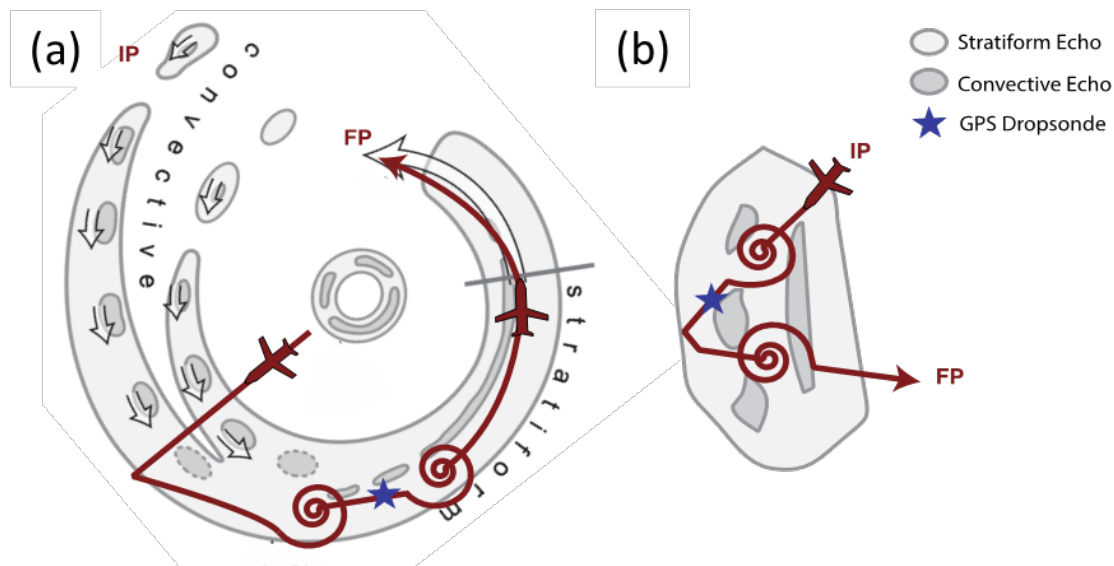
## *New Airborne Platforms and Instruments*

### Micro-Pulse Doppler (MicroDop) Lidar

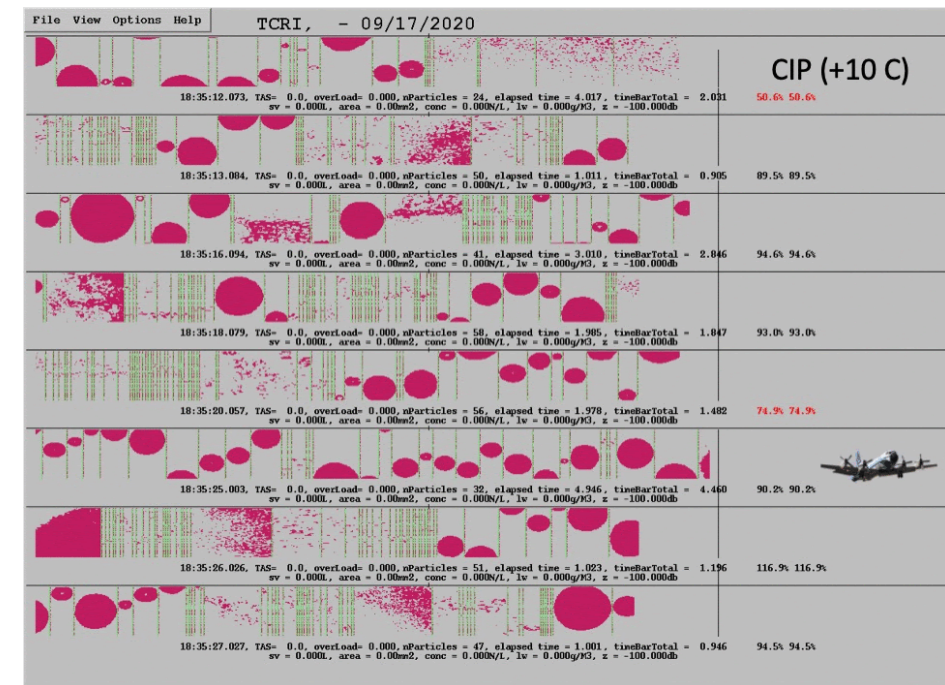


- Lidar retrievals of three-dimensional fields of winds and aerosol backscatter below flight level
- Complements tail Doppler radar by providing winds in absence of precipitation scatterers

# 5. Toward the future (APHEX) *New airborne sampling strategies*



- P-3 Stratiform Spiral module: a spiral ascent and descent across the freezing level in the stratiform portion of a primary rainband is shown in (a)
- Microphysics measurements can help with rainfall, possibly intensity forecasts from numerical models



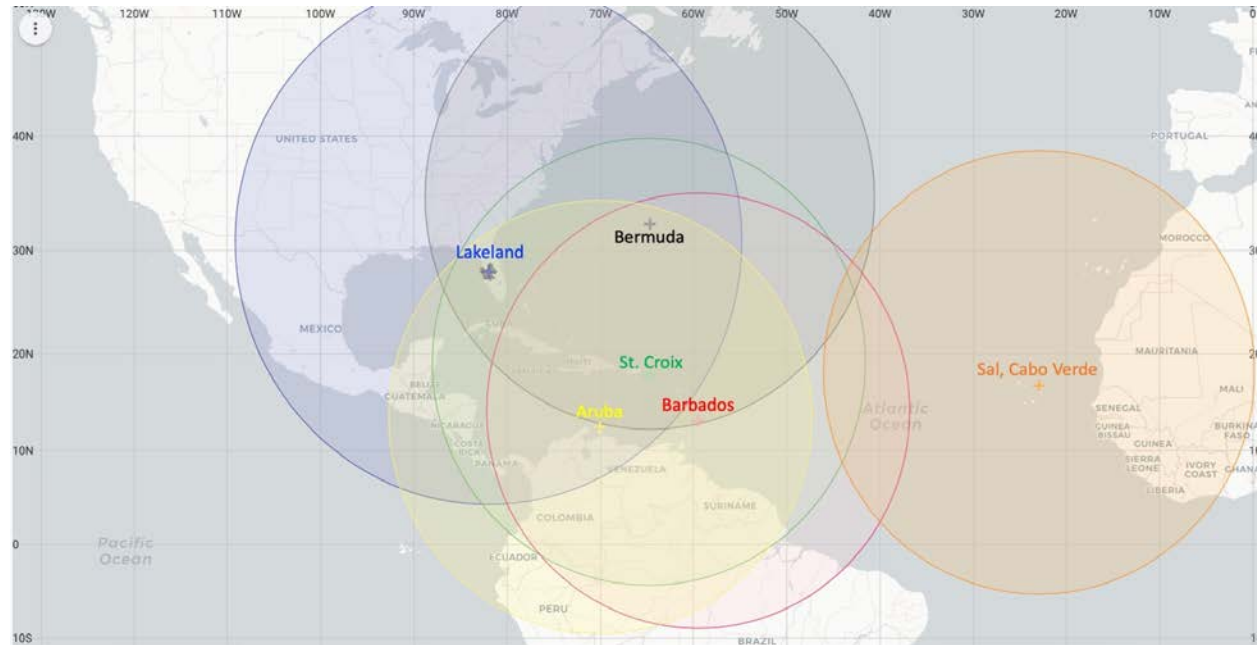
- Hurricane Teddy Cloud Imaging Probe (CIP) measurements of rain droplets, ice crystals, and snow. Hydrometeors transition from water to ice as the P-3 flies through and above the freezing level.



## 5. Toward the future (APHEX)

*A global approach*

ITOFS-East



- G-IV operating out of Cabo Verde sampled environment of pre-genesis disturbances emerging off Africa in August 2022

## 6. Quiz

What is the difference in intensity forecast error for TCs undergoing RI compared with TCs not undergoing RI?

- a) Half the forecast error for RI TCs
- b) About the same forecast error
- c) 3x the forecast error for RI TCs
- d) 5x the forecast error for RI TCs





## 6. Quiz

Which airborne instrument provides a measurement of surface wind speed?

- a) Tail Doppler Radar (TDR)
- b) Compact Raman Lidar (CRL)
- c) Lower Fuselage Radar (LF)
- d) Stepped-Frequency Microwave Radiometer (SFMR)



## 6. Quiz

What is the name of the new Hurricane Field Program run by the NOAA/AOML Hurricane Research Division?

- a) Intensity Forecasting Experiment (IFEX)
- b) Convective Processes Experiment (CPEX)
- c) Advancing the Prediction of Hurricanes Experiment (APHEX)
- d) Rapid Updates on the Mesoscale Experiment (RUMEX)



NOAA's Atlantic Oceanographic  
and Meteorological Laboratory  
U.S. Department of Commerce

THANK YOU  
QUESTIONS?



# Why are observations important?

- Provide real-time information on position, intensity, and structure of TCs
- Assess performance of models, and provide a check on theories
- Many important physical processes within hurricanes span scales that cover many orders of magnitude, ranging from thousands of kilometers to millionths of meters
- Observations can span these scales, and are a key component of a balanced approach toward advancing understanding and improving forecasts of hurricanes (observations, modeling, theory)
- Three primary platform types: airborne, spaceborne, and land-based
- Focus here on airborne – in situ sampling of fields that can not be done by other platform types, a form of ground-truthing important for forecasters