

# Tropical Cyclone Modeling and Data Assimilation



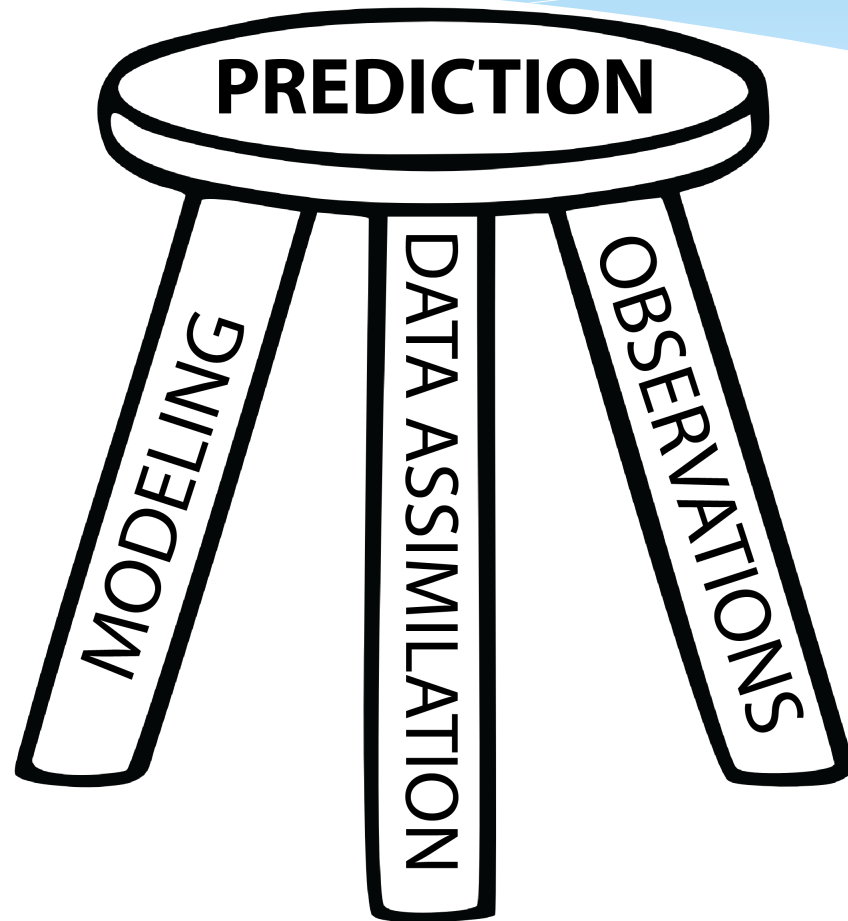
Jason Sippel NOAA AOML/HRD  
2023 WMO Workshop at NHC



# Outline

- Background: Observations, modeling and data assimilation
- History: Improving forecasts by improving NWP
- Now: Recent and ongoing work
- Future: A new path forward

# Background: Improving Forecasts



- Good forecasts require good modeling, data assimilation, and observations
- All of this requires substantial investment – no free lunch!

# Background: Needed Investments

## MODELING

- Computing
- Research
- People

## OBSERVATIONS

- Instruments & platforms
- Research
- People

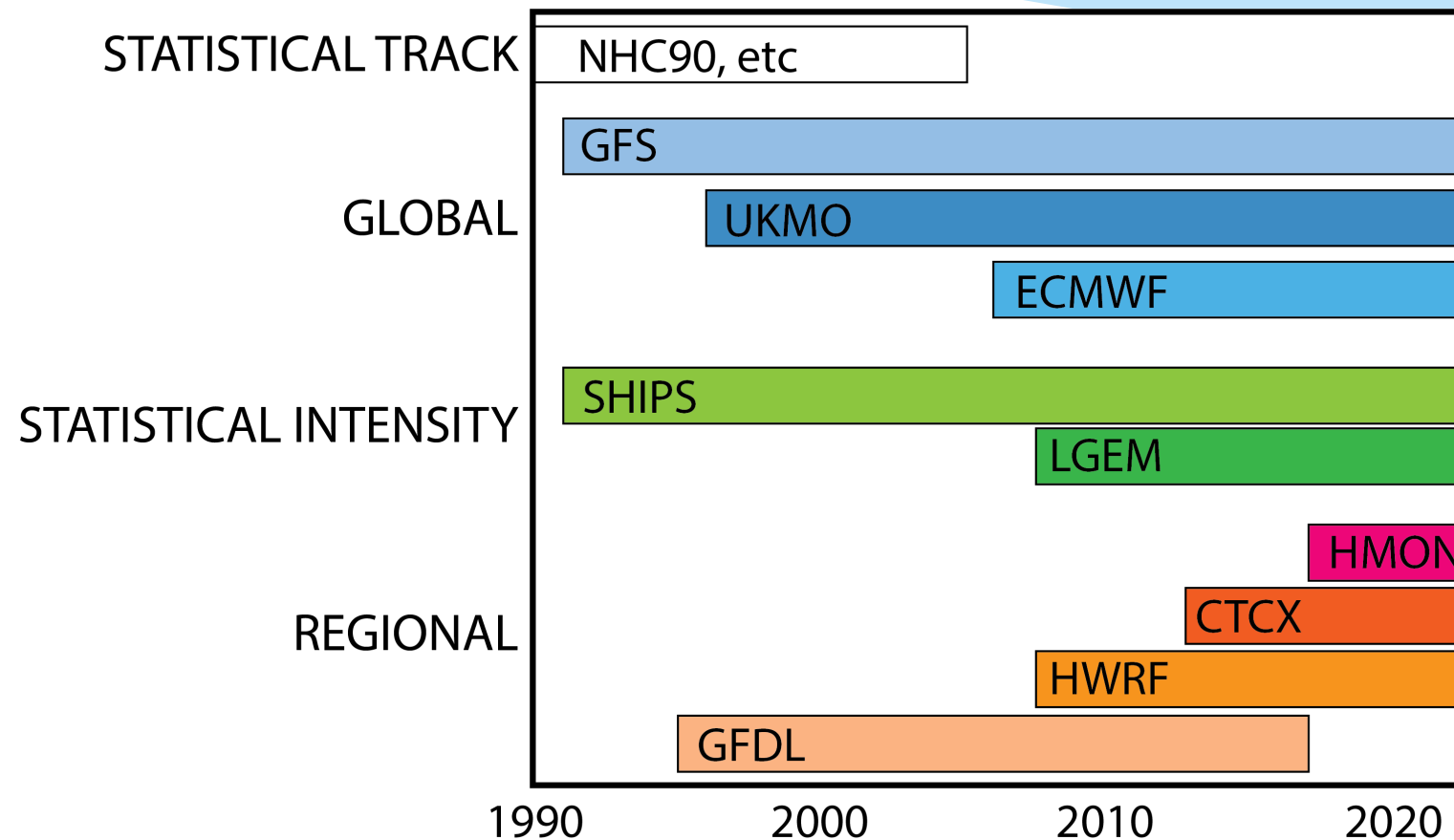
## DATA ASSIMILATION (DA)

- Computing
- Research
- People





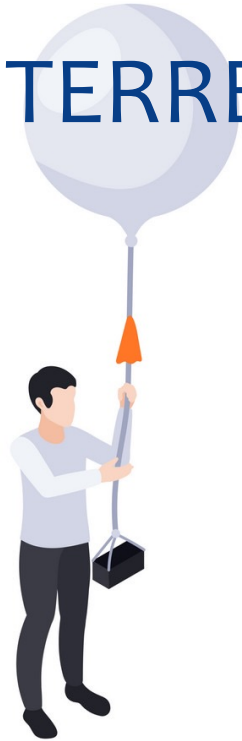
# Background: Modeling



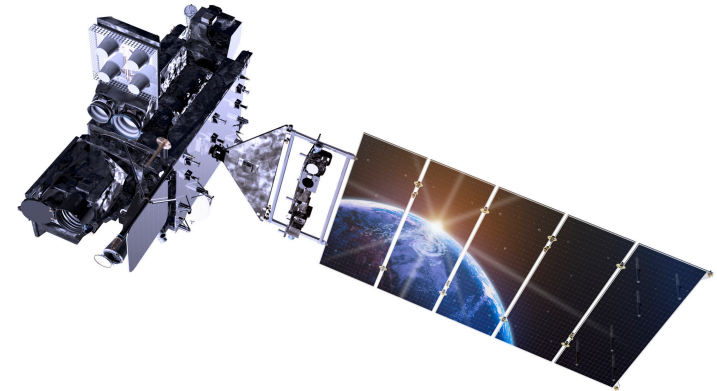
Models used by  
NHC since 1990

# Background: Observations

TERRESTRIAL



SATELLITES



AIRBORNE

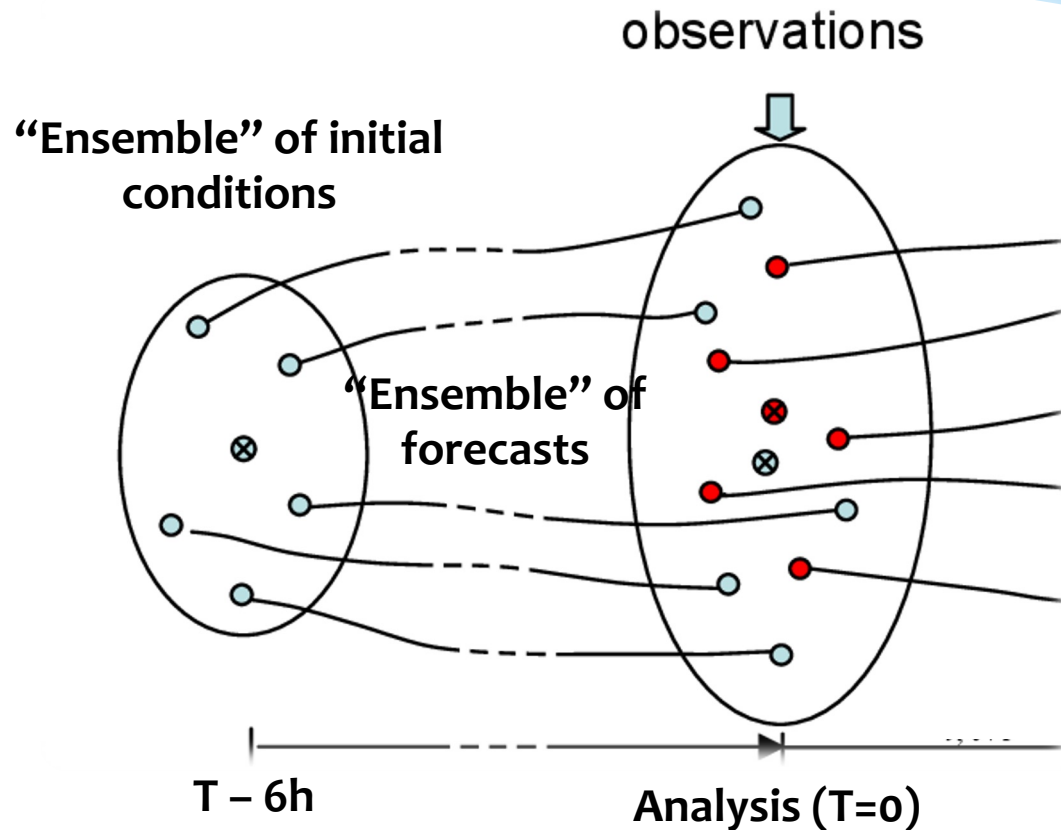


# Background: Observations

- Current generation: Airborne “in situ” measurements are increasingly important
- Next-generation: Figure out how to use more satellite data



# Background: DA Concepts



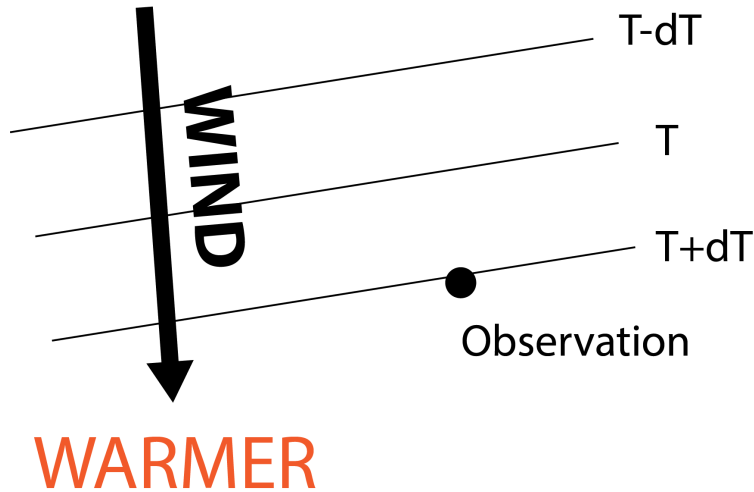
## DA details:

- DA provides initial conditions for a forecast (**analysis**)
- Update relies on **covariance** derived from a prior short-term ensemble forecast

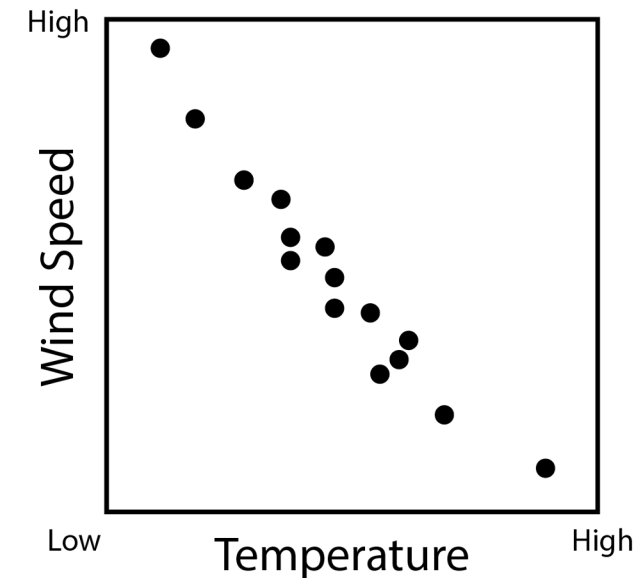
# Background: DA Concepts

## Example 1: Cold air advection

COLDER



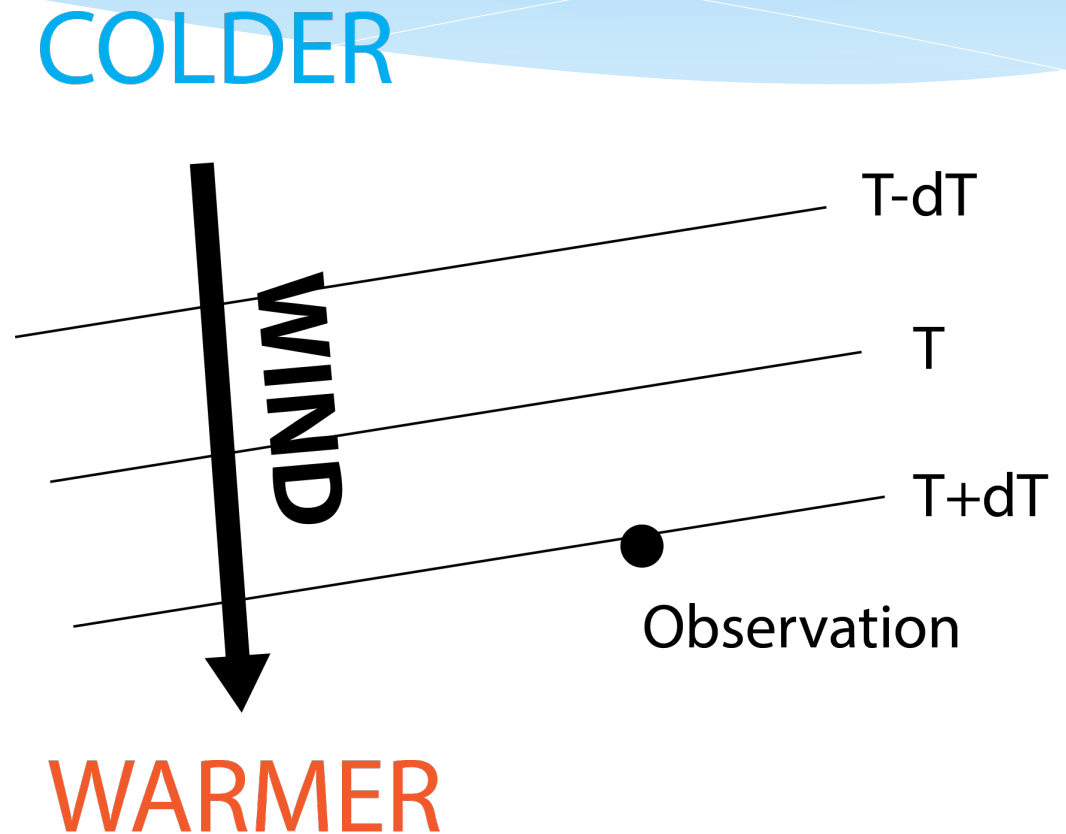
“Ensemble” of short-term forecasts  
provides covariance for DA



# Background: DA Concepts

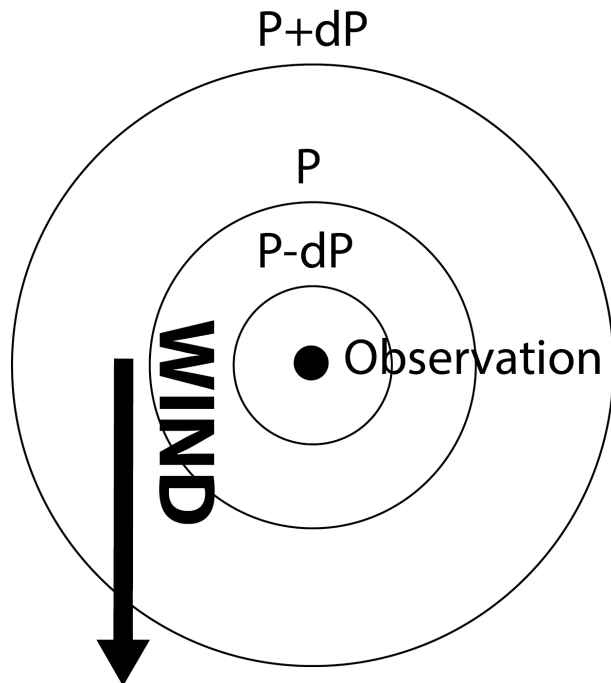
## Example 1:

- Only wind is observed
- Observed wind speed is stronger than the short-term forecast
- How should DA update the regional temperature, even though it's unobserved?

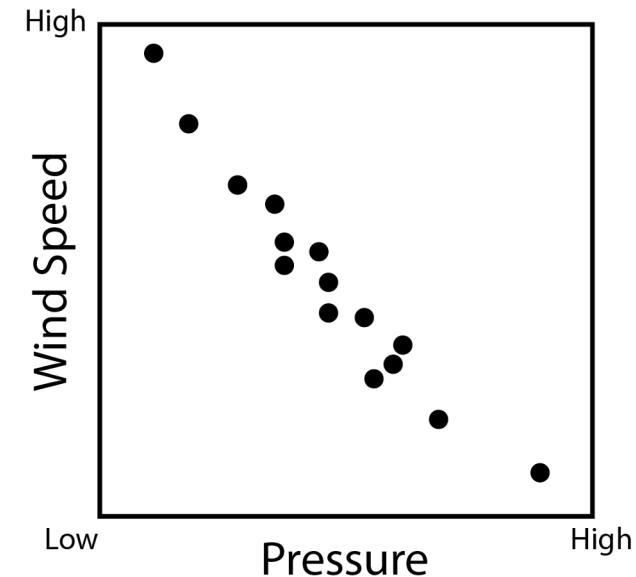


# Background: DA Concepts

## Example 2: Low pressure system



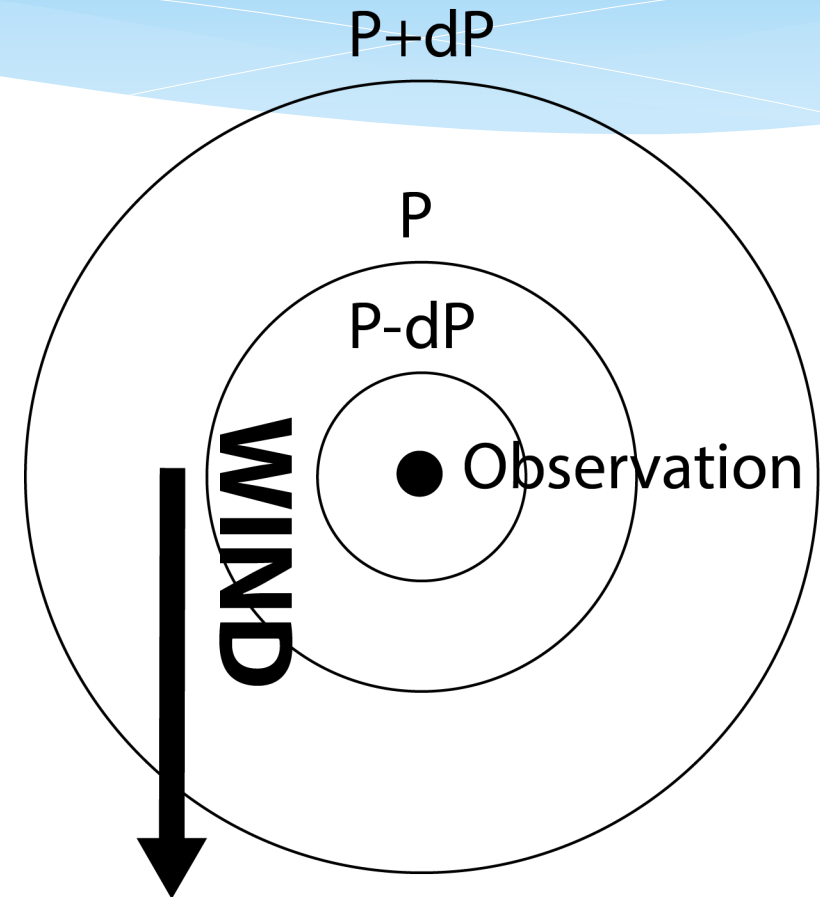
"Ensemble" of short-term forecasts  
provides covariance for DA



# Background: DA Concepts

## Example 2:

- Only pressure is observed
- Observed pressure is lower than the short-term forecast
- How should DA update the vortex-scale wind speed, even though it's unobserved?



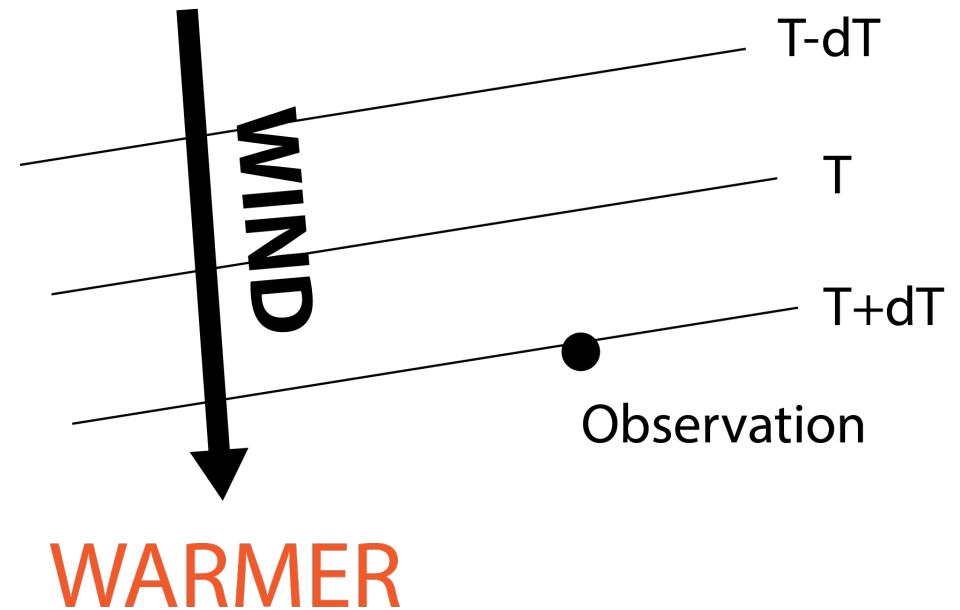


# Background: DA Concepts

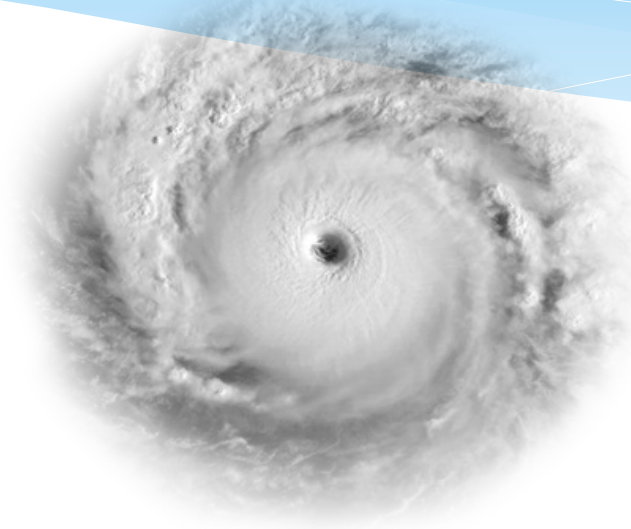
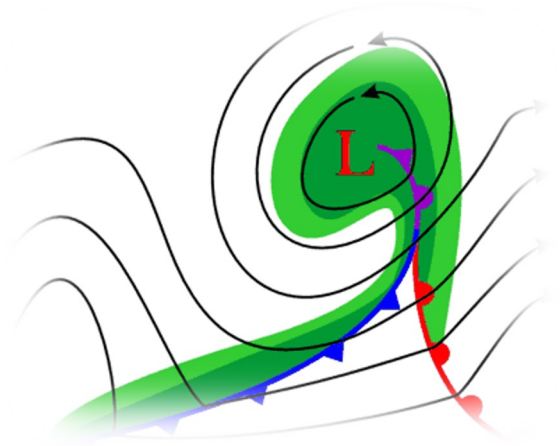
## Review - what DA does:

- Estimates atmospheric state (**analysis**)
- Combines prior forecast and current observations
- Relies on statistical relationships between variables (**covariance**)

COLDER



# Background: DA Concepts



- Accurate analyses require reasonable covariance as well as good observations
- Covariance from a global ensemble is not great for hurricanes and is terrible for tornadoes

# QUIZ!

Which of the below is required for accurate forecasts?

- A – A good model
- B – Good data assimilation
- C – Good observations
- D – All of the above

# QUIZ!

If observations show the hurricane vortex is stronger than predicted, how should the DA system update the temperature in the eye?

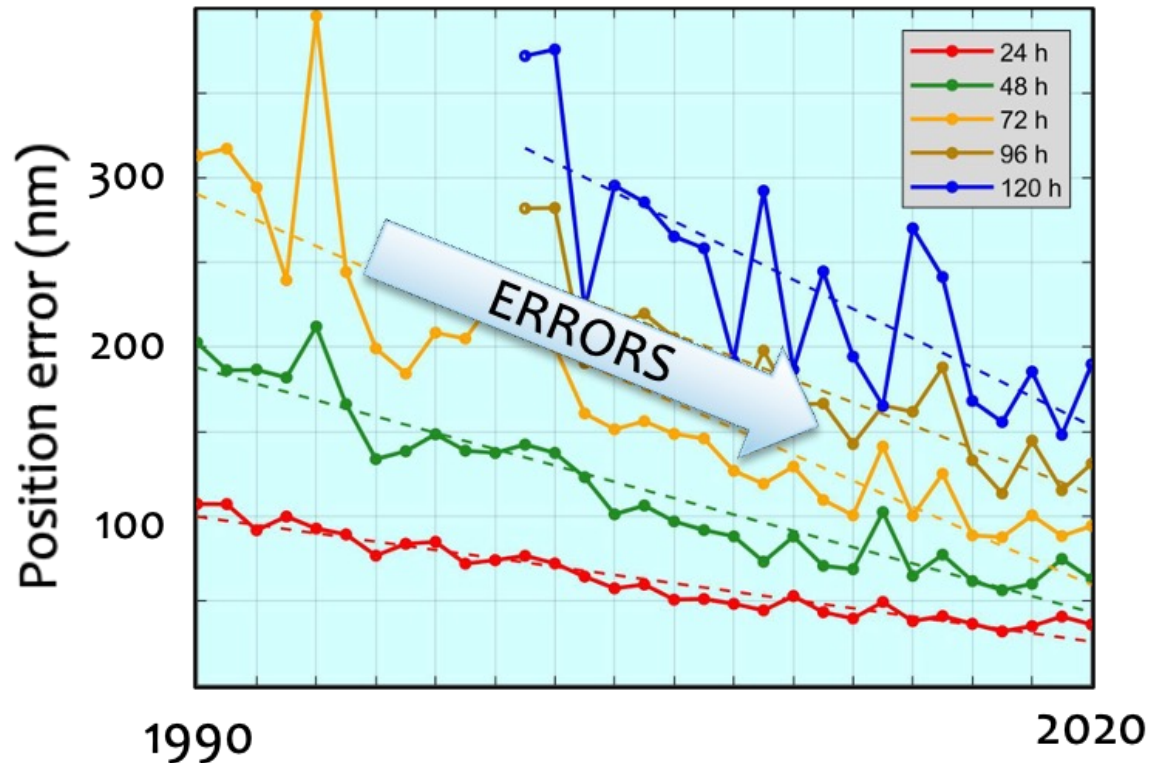
- A – no change
- B – higher
- C – lower

# Outline

- Background: Observations, modeling and data assimilation
- History: Improving forecasts by improving NWP
- Now: Recent and ongoing work
- Future: A new path forward

# History: Improving Errors

Official TC Track Forecast  
Errors: 1990-2020



- Big track forecast improvement!
- Day-1 error in 1990 = Day-3 error now
- This is tied to better large-scale forecasts

# History: Improving Errors

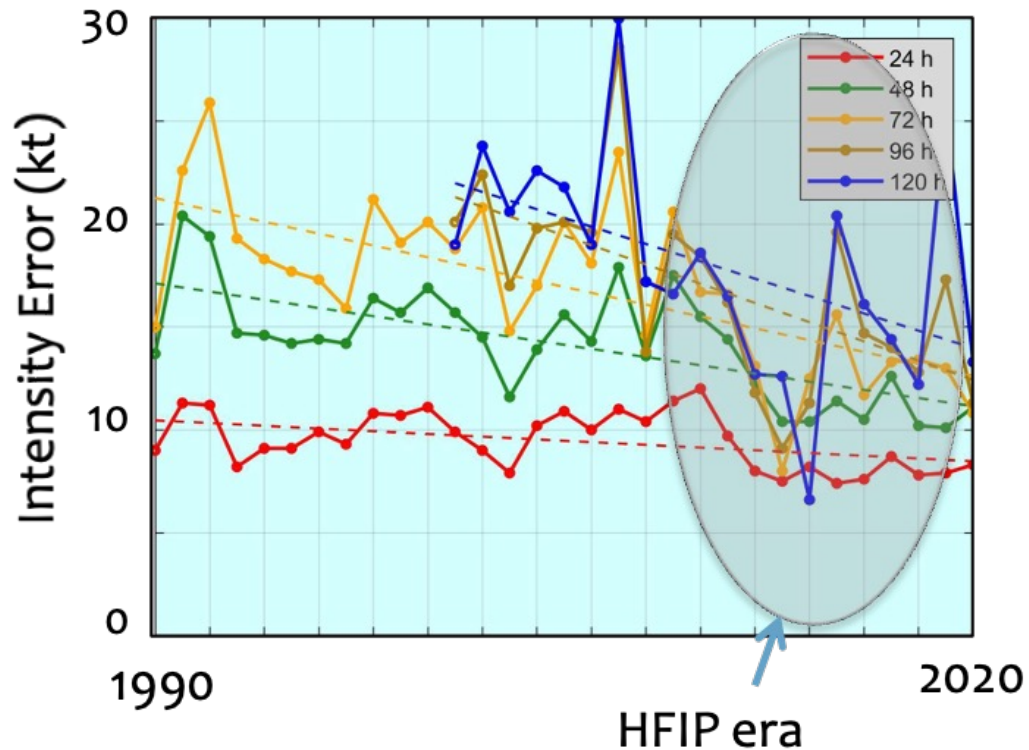
## Synoptic-scale Forecast Quality at NCEP



- Big track forecast improvement!
- Day-1 error in 1990 = Day-3 error now
- This is tied to better large-scale forecasts

# History: Improving Errors

Official TC Intensity Forecast  
Errors: 1990-2020

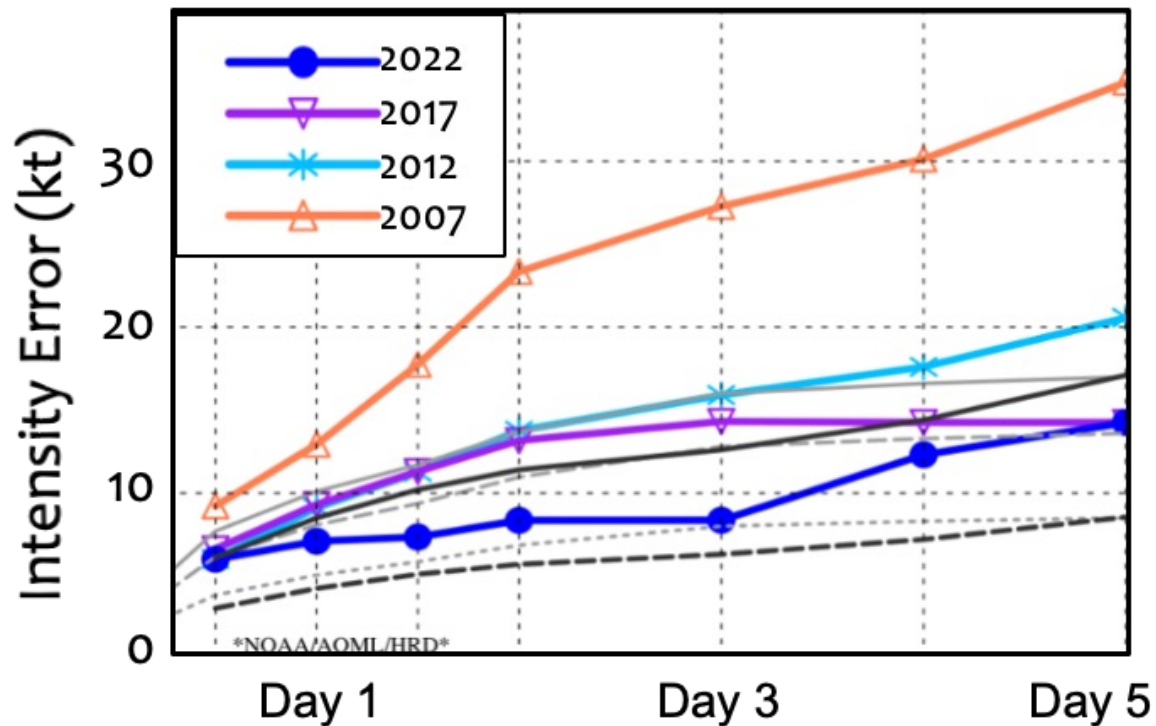


- Hurricane intensity forecasts have only recently improved
- Improvement a result of Hurricane Forecast Improvement Project
- BIG financial investment



# History: Improving Errors

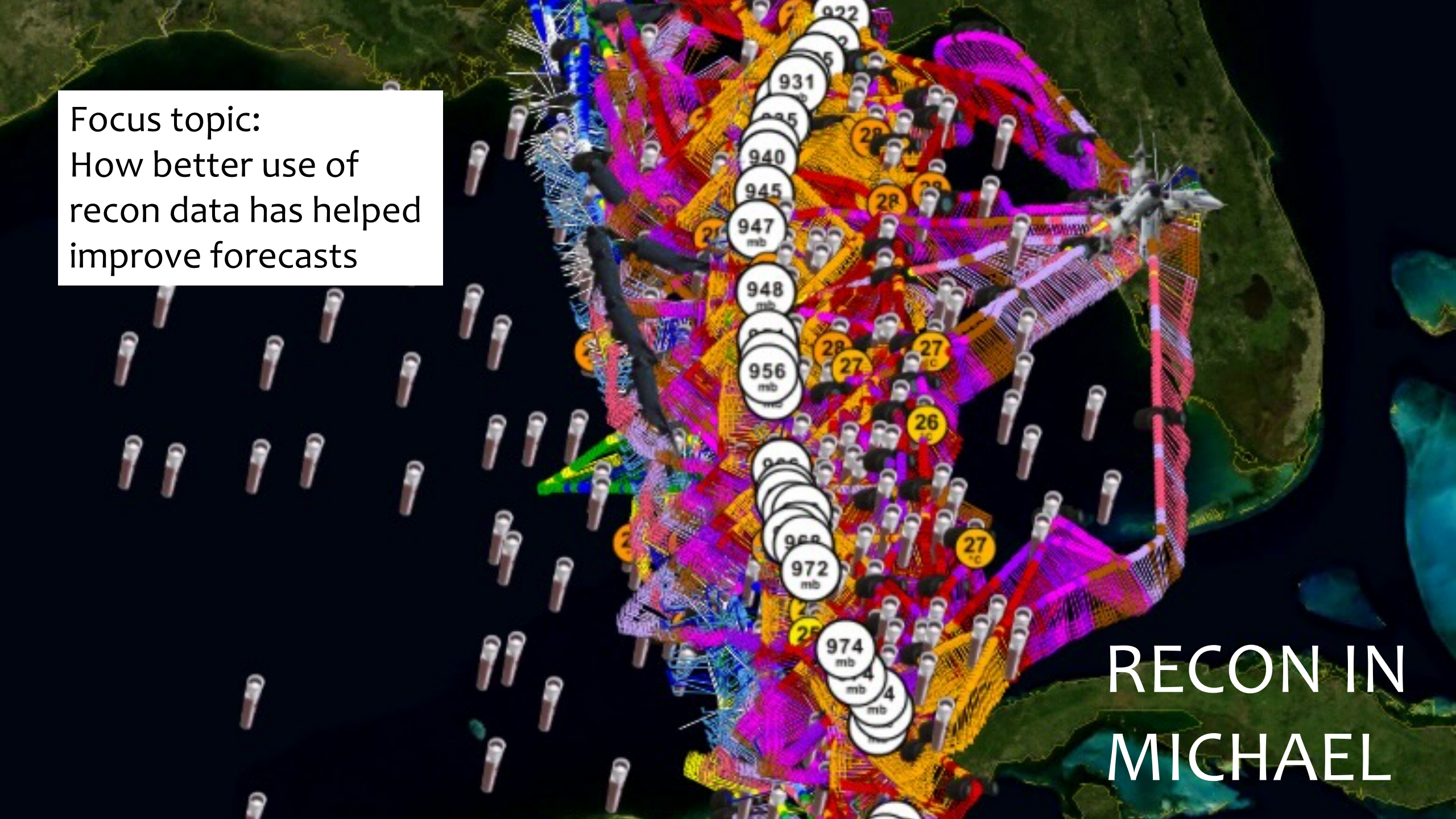
## HWRF Intensity Errors



- Significant focus of HFIP has been developing HWRF
- As a result, HWRF has improved significantly over the past decade
- 60%+ intensity error reduction since 2007!

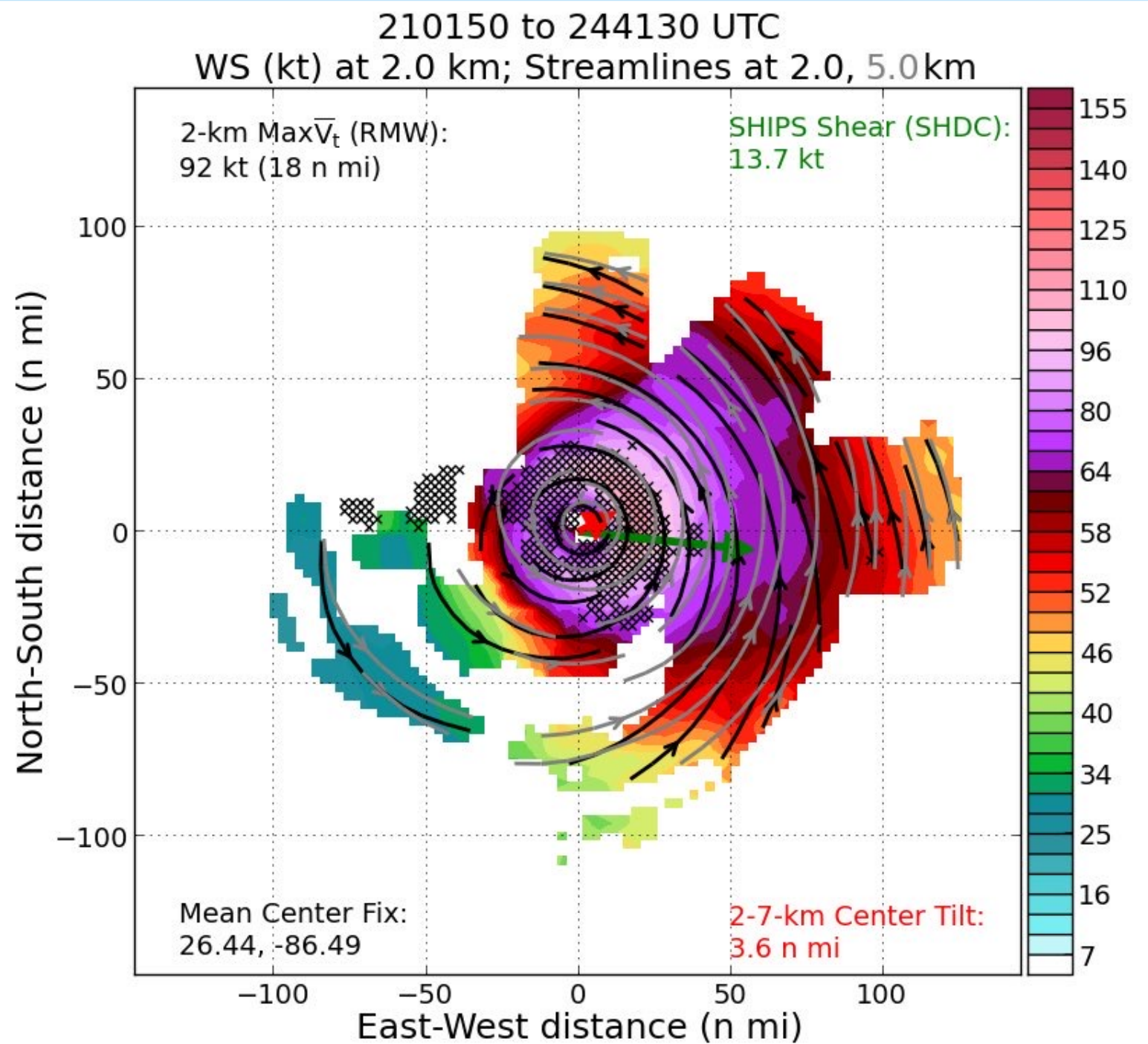
Focus topic:  
How better use of  
recon data has helped  
improve forecasts

RECON IN  
MICHAEL



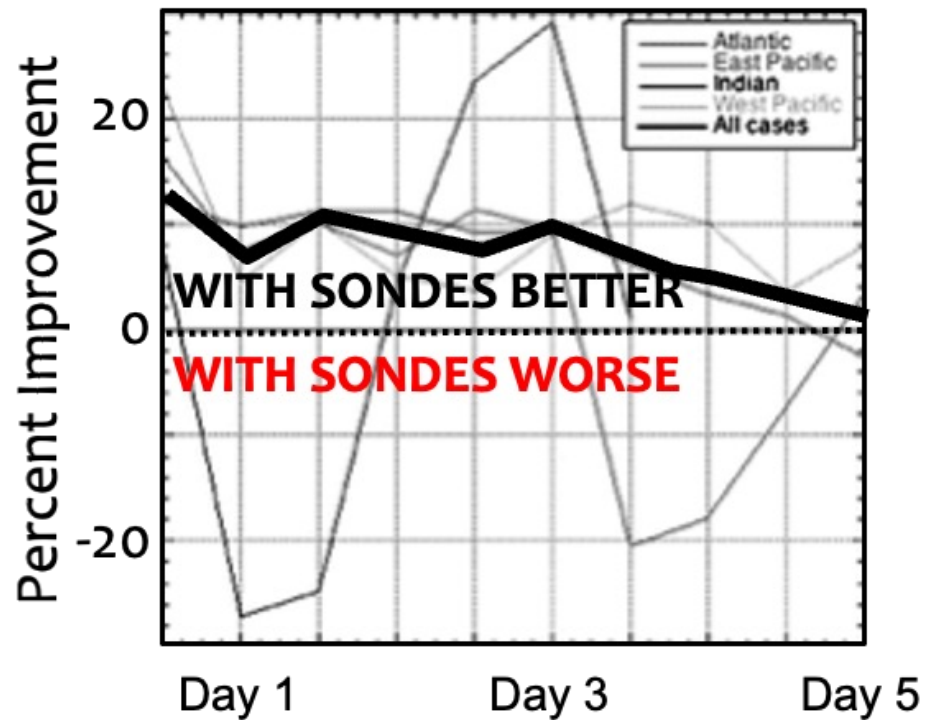


Focus topic:  
How better use of  
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# History: Improving NWP with TC Obs

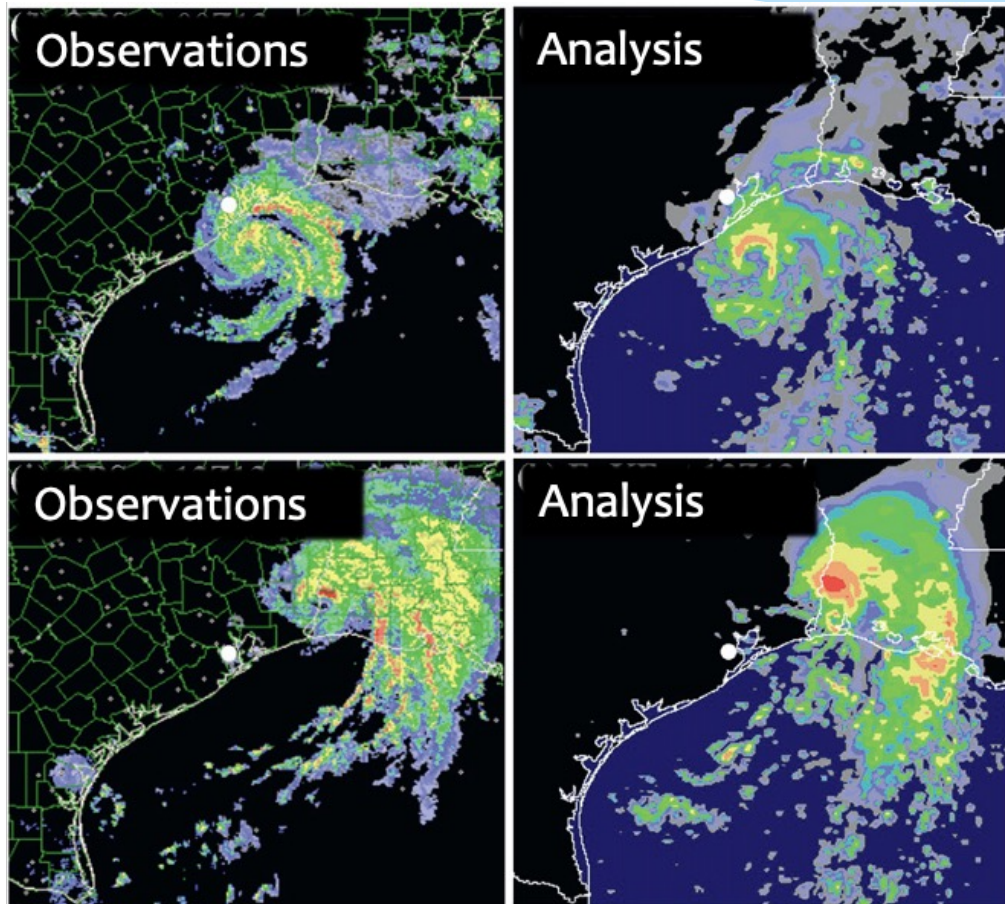
Dropsonde Impact on GFS TC Track



Impact of dropsondes in September 2008

- US has used dropsondes in weather models for ~40 years
- Usage has dramatically increased
- Many studies have shown dropsondes improve track

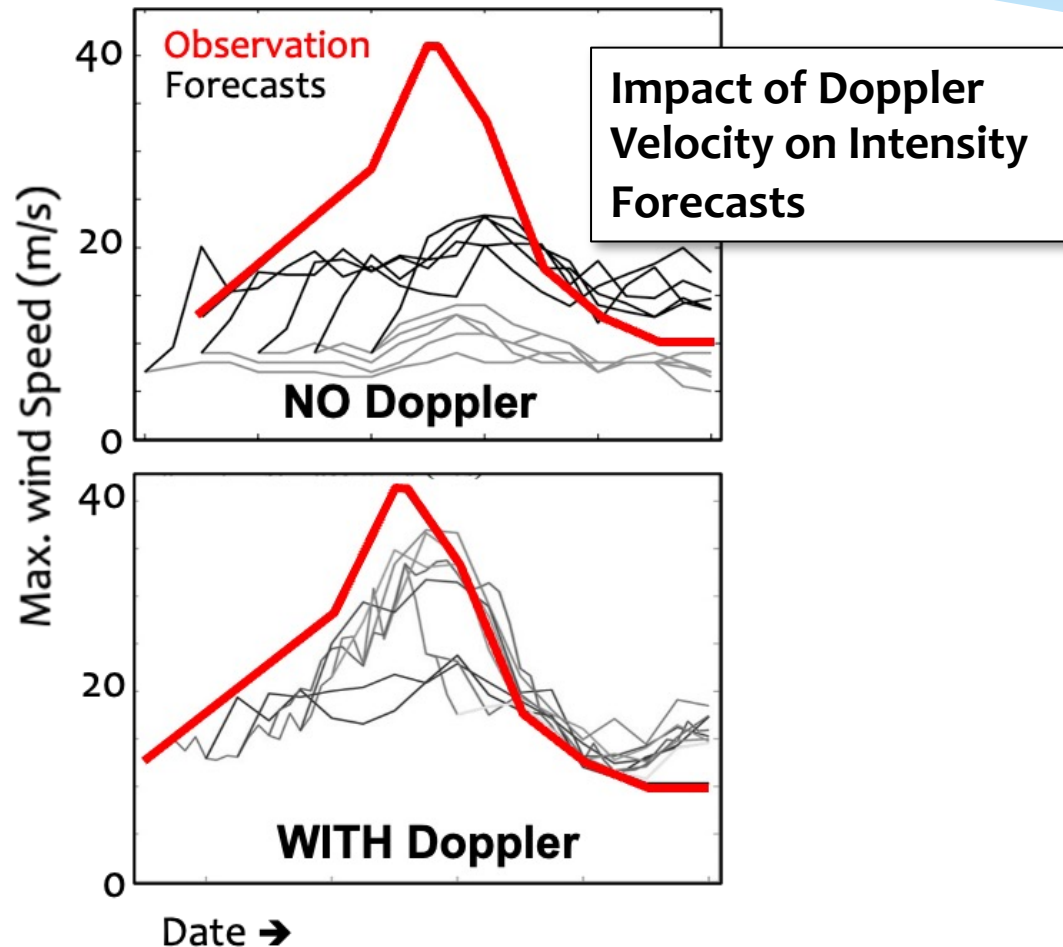
# History: Improving NWP with TC Obs



Experimental PSU DA system: Analyses vs. Obs

- ~15 years ago, we found that 88D Doppler velocity could benefit coastal TC forecasts
- Assimilating radar data significantly improved **experimental** PSU analyses and forecasts

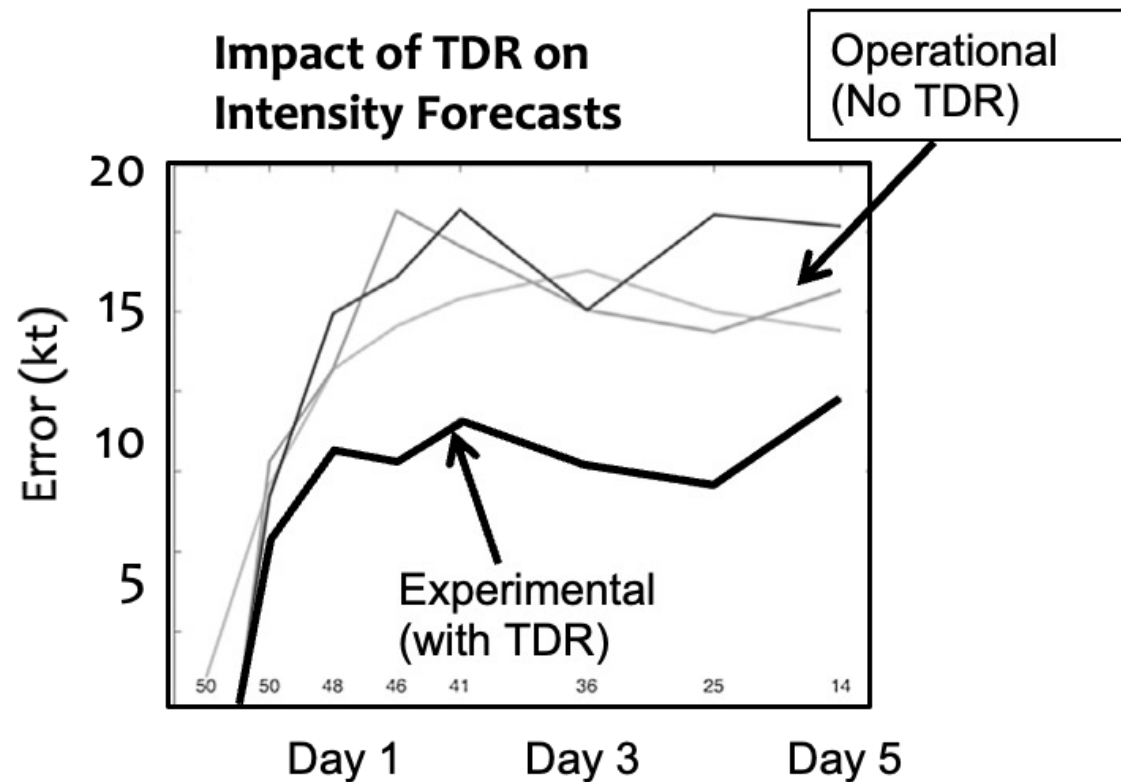
# History: Improving NWP with TC Obs



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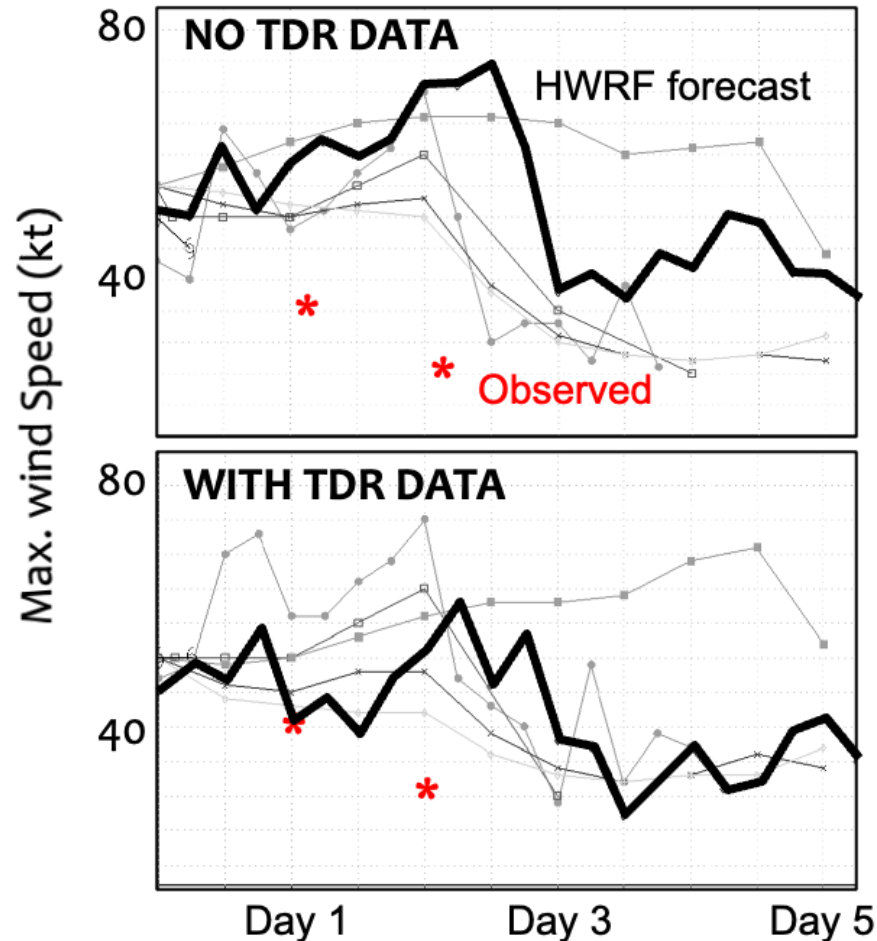
# History: Improving NWP with TC Obs



- Assimilating tail Doppler radar (TDR) velocity from NOAA recon also improved **experimental** PSU forecasts
- These results led to operational assimilation of TDR (**research to operations**)

# History: Improving NWP with TC Obs

Impact of TDR on HWRF Intensity



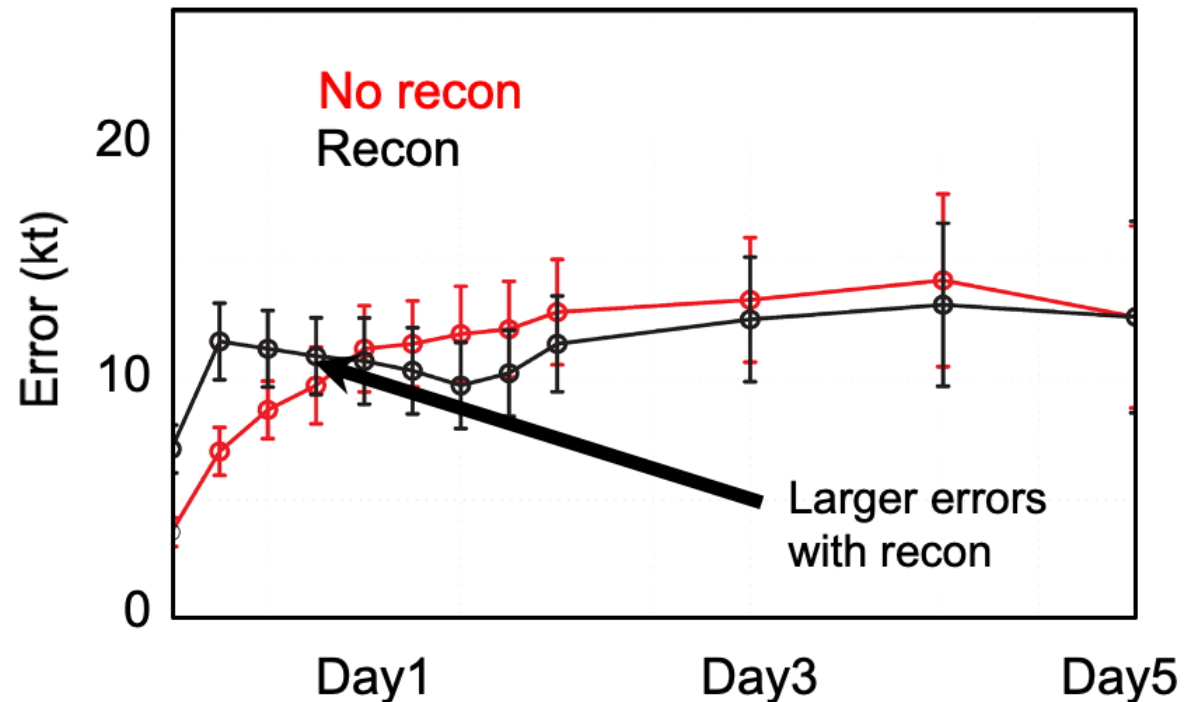
- **Operational** HWRF began assimilating TDR data in 2013
- For weak storms, HWRF substantially improved with TDR



# History: Improving NWP with TC Obs

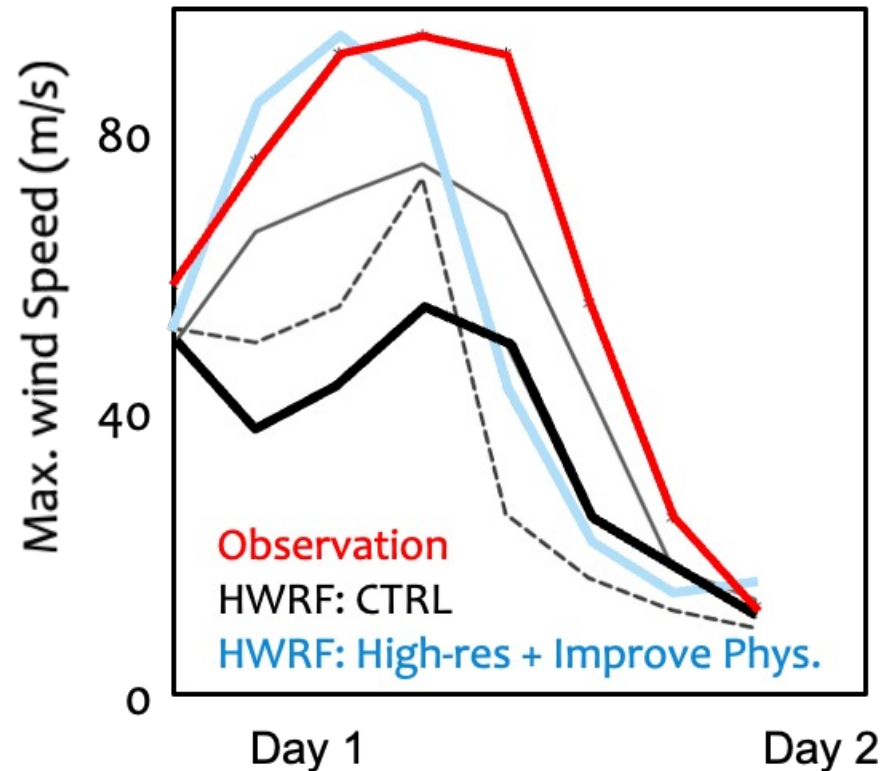
- Results worse over larger sample
- Short-term forecast degradation
- Physics and DA deficiencies for strong storms

## Impact of Recon Data in 2013 HWRF: Intensity Errors



# History: Other NWP Improvements

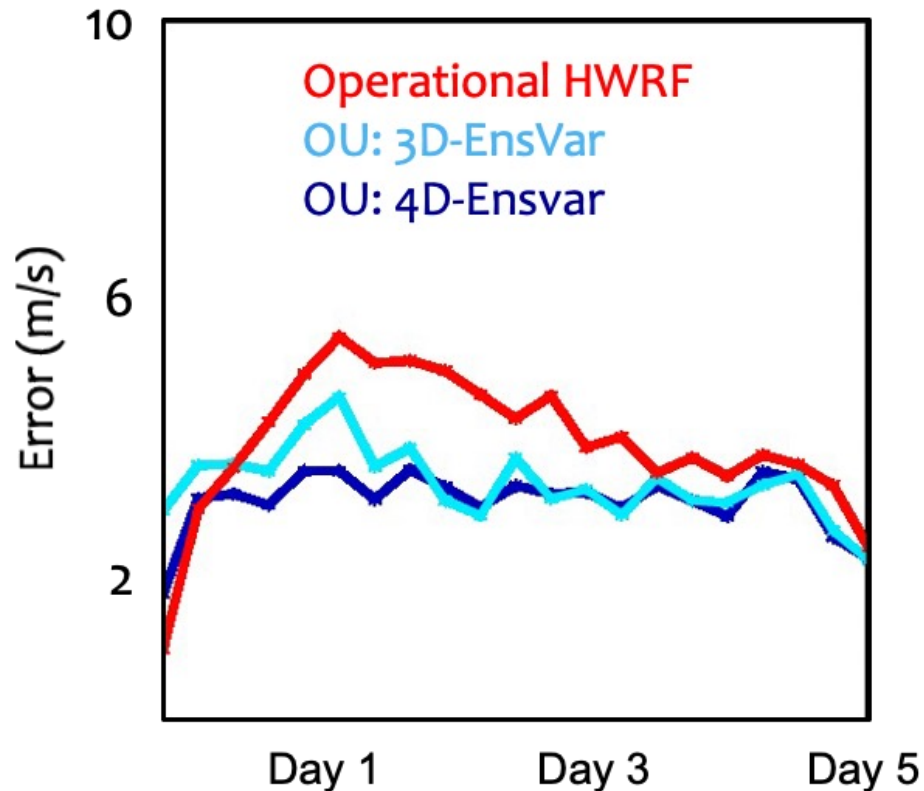
**Impacts of Model Changes  
on Intensity Forecasts**



- Necessity: Increasing resolution AND improving physics
- Challenge: make physics changes that don't make every TD a Cat 5

# History: Other NWP Improvements

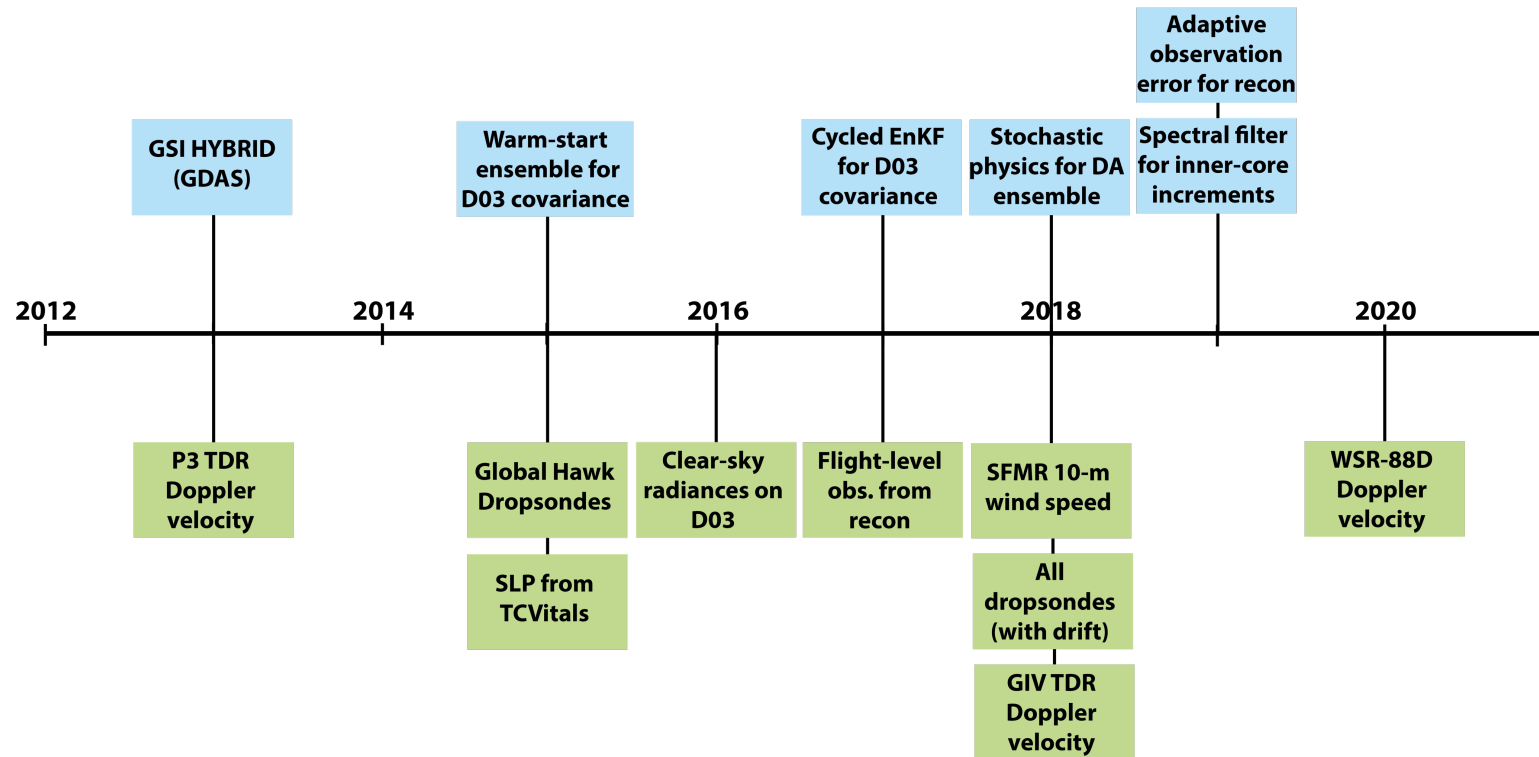
Impact of DA Advances on  
Intensity Errors



- DA improvements are also necessary
- **Experimental** OU HWRF system with better DA performs much better

# History: HWRF Improvements

## DA INFRASTRUCTURE ADVANCES

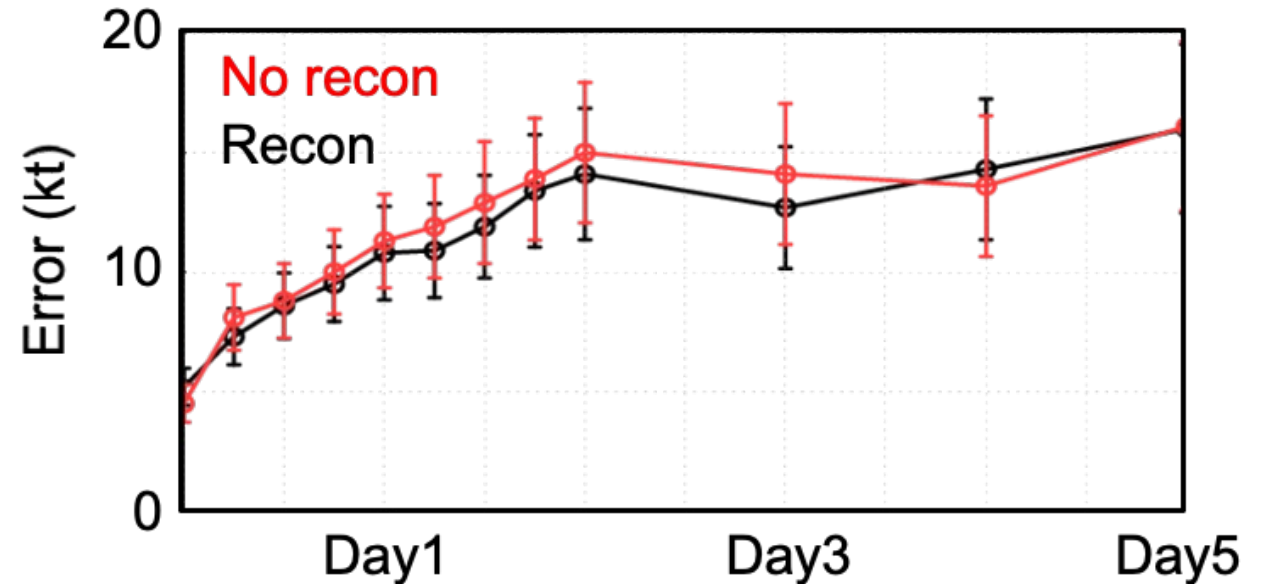


## DA DATA ADDED

# History: HWRF Improvements

- Recon benefit assessed in 2016-2018 high impact storms
- Many major hurricanes in this sample
- Recon improved intensity 10-15% through 72h

**Impact of Recon Data in 2019 HWRF:  
Intensity Errors**





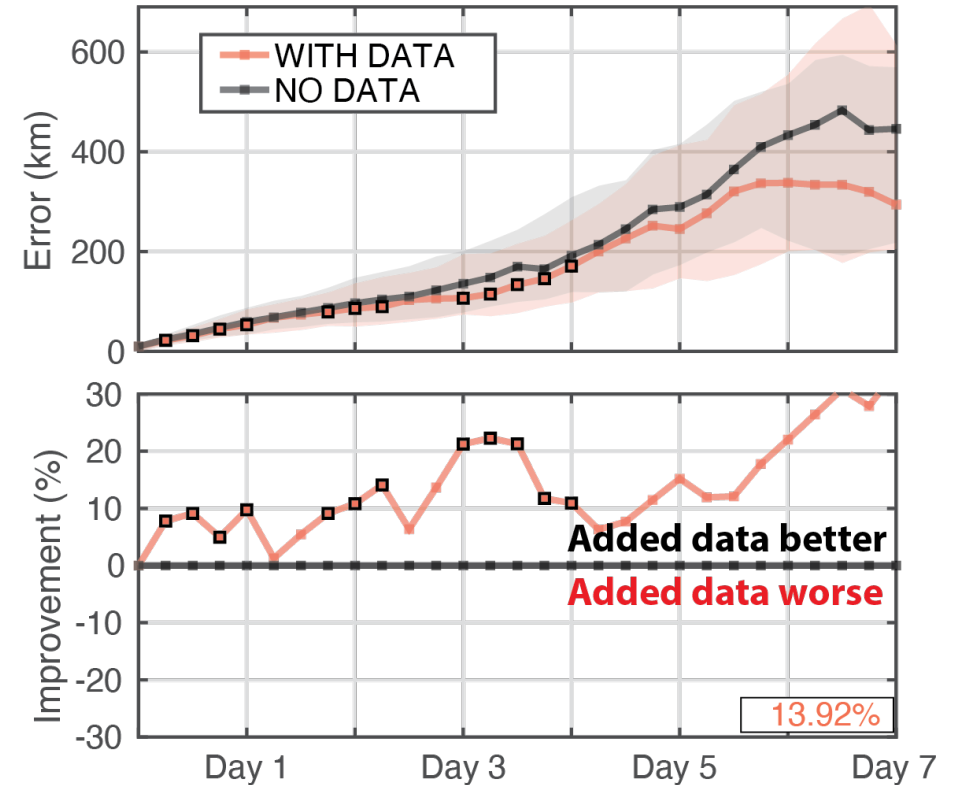
BUT WAIT...

THERE'S MORE!

# History: GFS Improvements

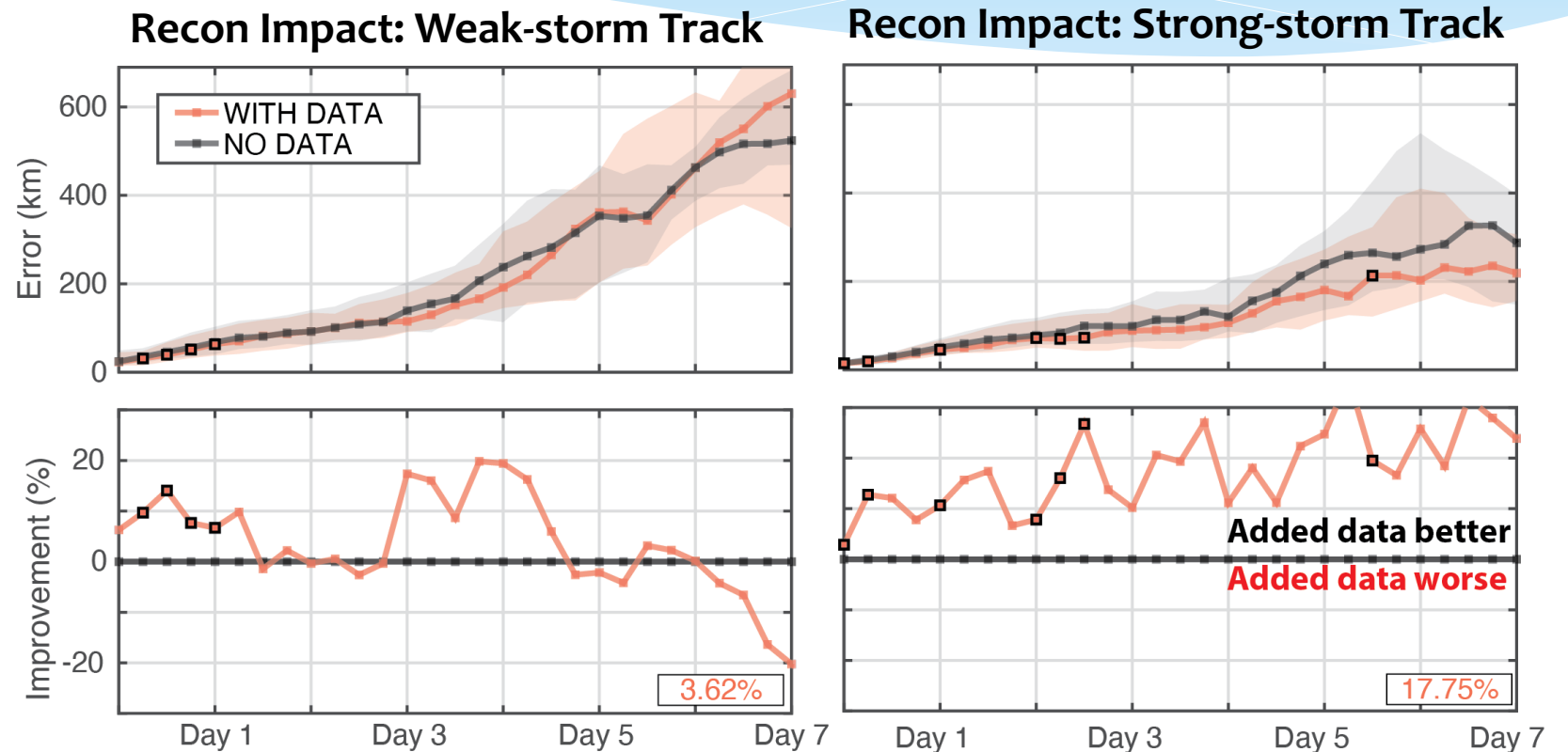
- GFSV16 upgrade in March 2021 included better use of dropsondes and flight-level data
- Added data improves track in sampled storms 10-20%

Additional recon impact on GFS track



# History: GFS Improvements

- Less positive impact of recon on tracks of weaker storms
- Could suggest DA system problem





# QUIZ!

When did hurricane intensity forecasts REALLY begin to improve?

- A – 1990
- B – 2000
- C – 2010
- D – 2020

# QUIZ!

Why did reconnaissance data initially degrade short-term intensity forecasts in HWRF?

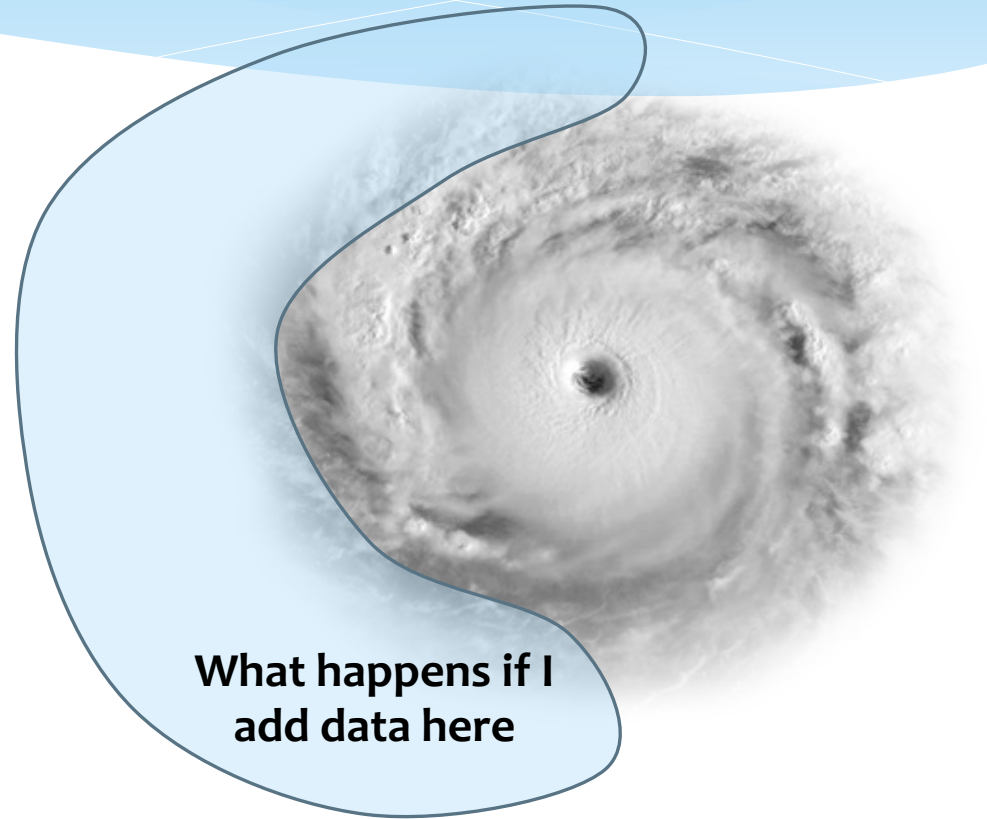
- A – Model physics problems in HWRF
- B – Data assimilation problems in HWRF
- C – All of the above
- D – None of the above

# Outline

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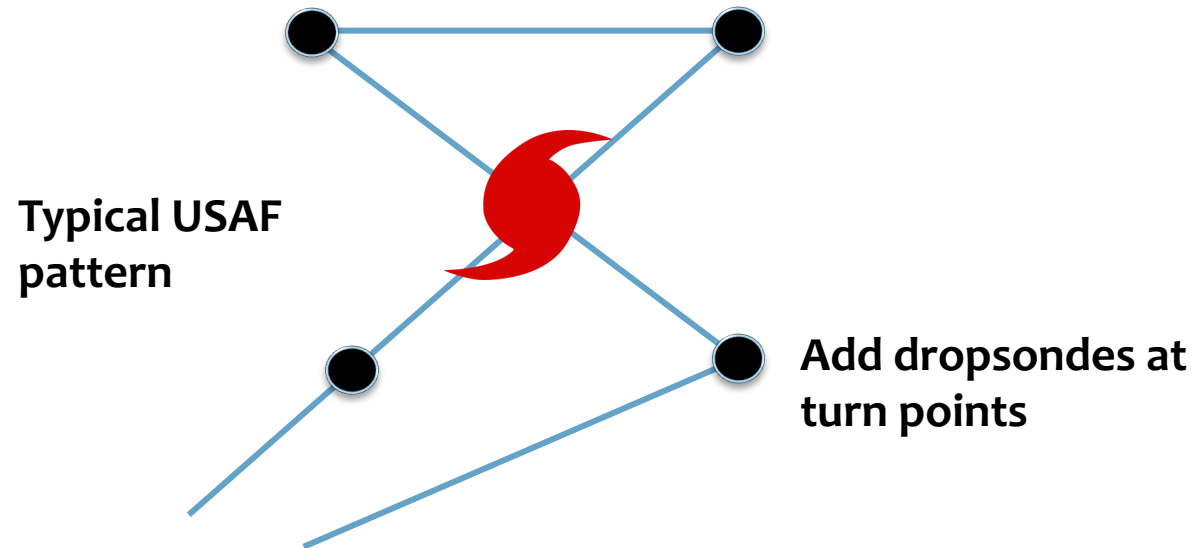
# Now: Intro to OSEs

With a model that performs well, one can more effectively evaluate observing-system experiments (**OSEs**)

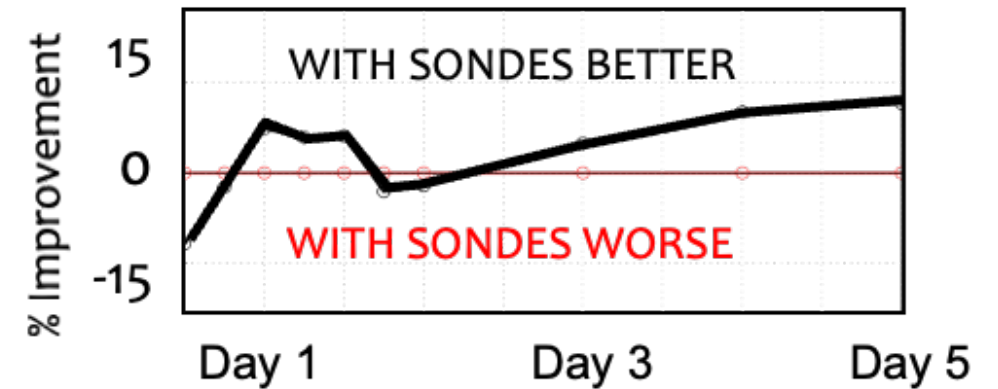


# Now: Recent OSE Work

Example 1: “End-point” dropsondes added to C-130 missions in 2018



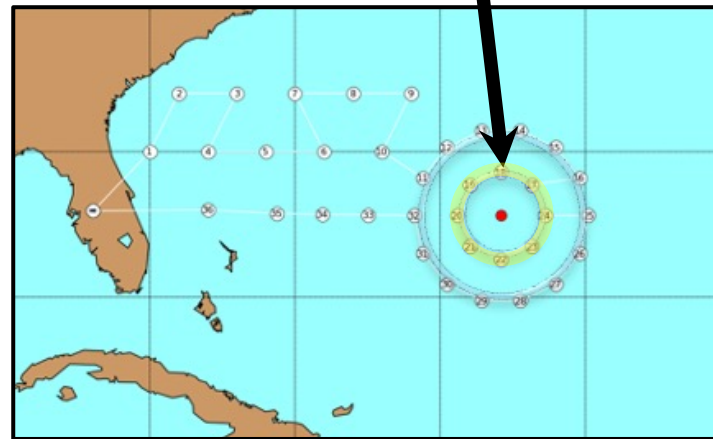
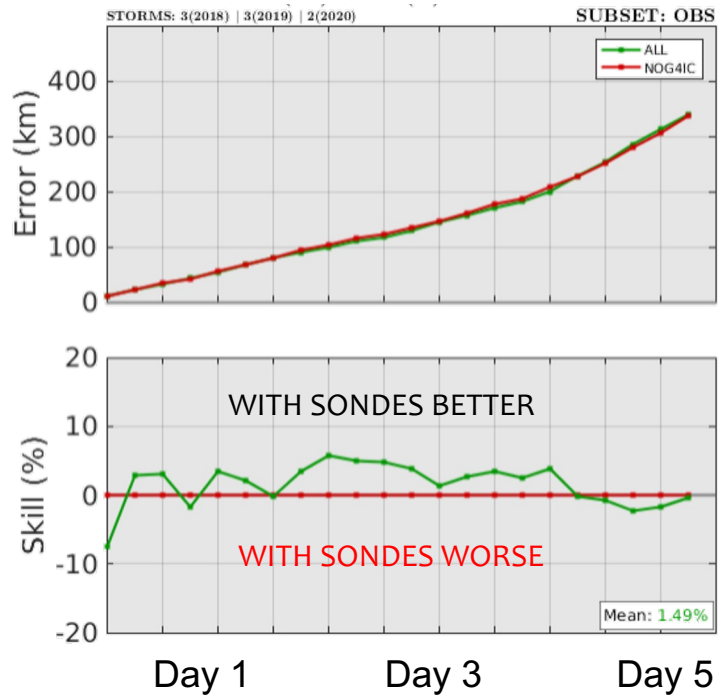
## Dropsonde Impact on HWRF Intensity



# Now: Recent OSE Work

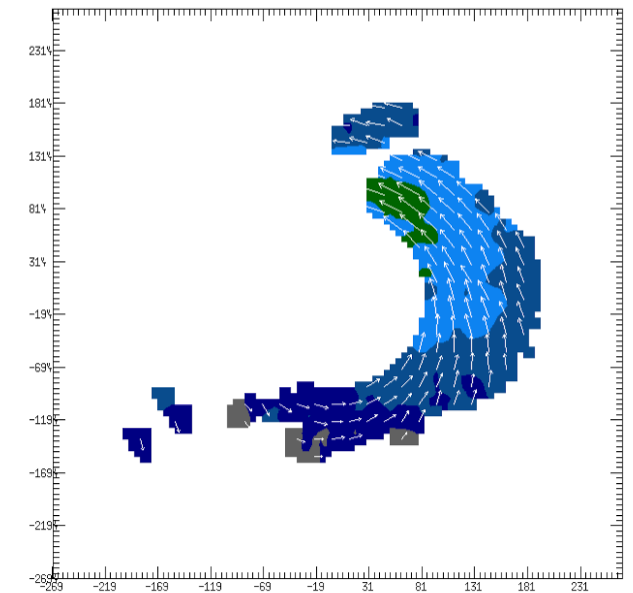
## Example 2: G-IV “Inner circumnav” added in 2018

### Inner Circumnav Sondes: Impact on HWRP Track



G-IV dropsondes for Hurricane Florence

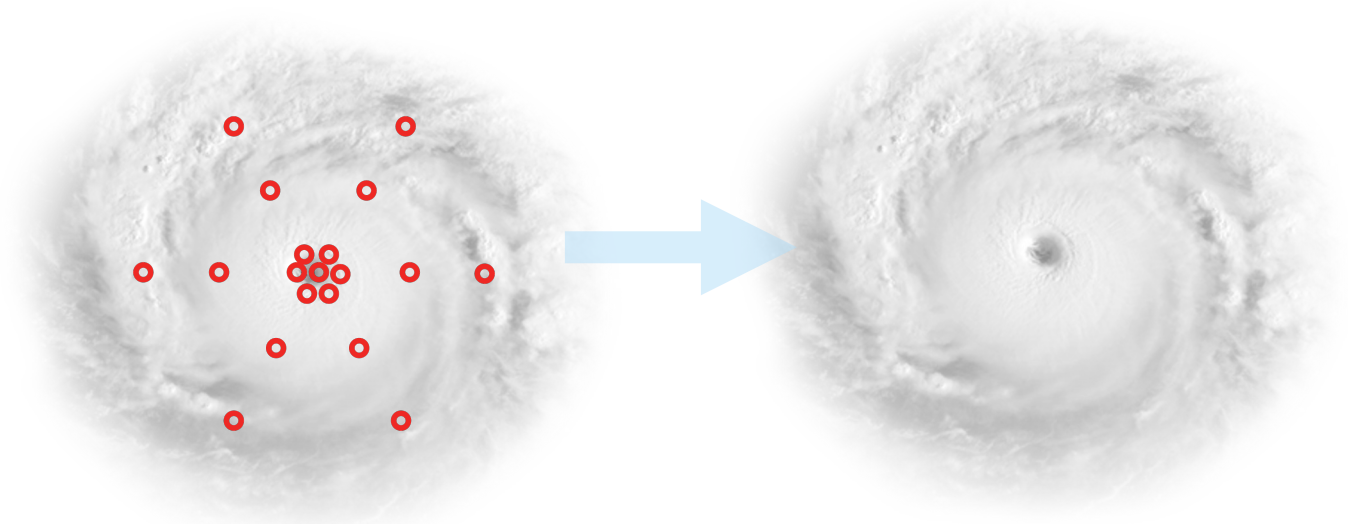
### Inner Circumnav Bonus: Additional TDR Data



# Now: Recent OSE Work

*OK, but how do dropsondes affect the forecast... overall?*

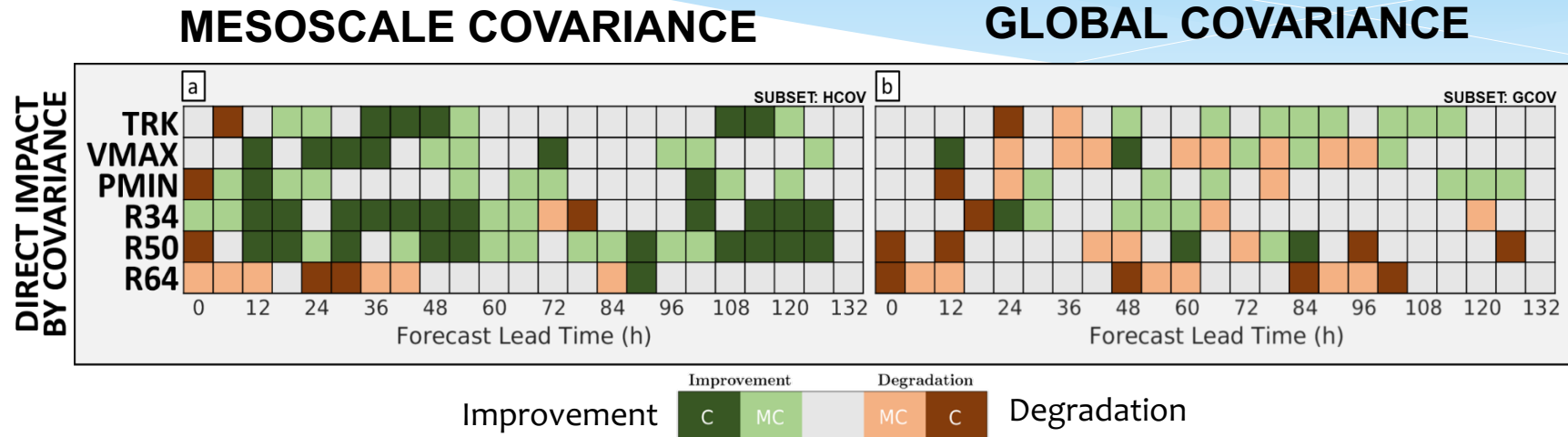
- We know they improve the track forecast
- We also know they cost \$
- A lot of unknowns



What happens in HWRF if we take away ALL dropsondes?



# Now: Ongoing OSE Work

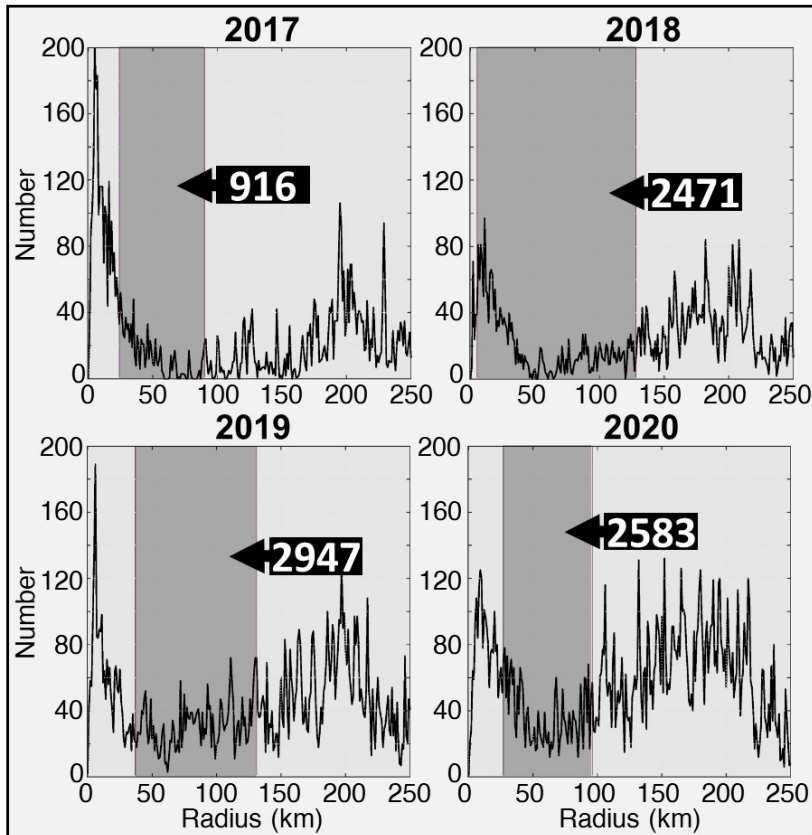


Overall impact of dropsondes in HWRF:

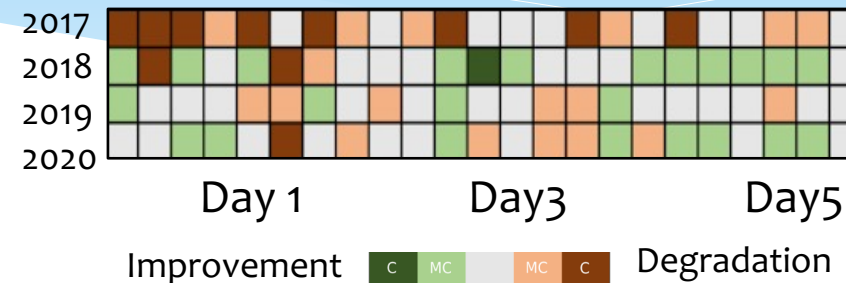
- Benefits mainly when using mesoscale covariance
- Big benefits for significant wind radii(!)
- What's up with R64?

# Now: Ongoing OSE Work

Dropsondes in R64 region



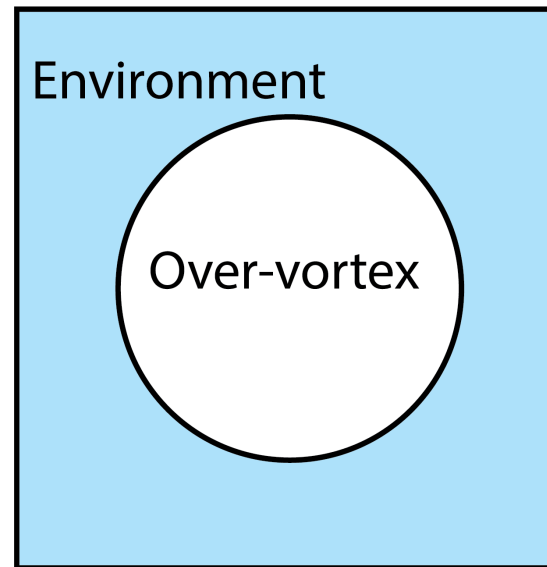
Dropsonde Impact on R64



- R64 degraded in 2017 only
- Degradation corresponds with poor near-core coverage
- Improved coverage and impacts starting in 2018

# Now: Ongoing OSE Work

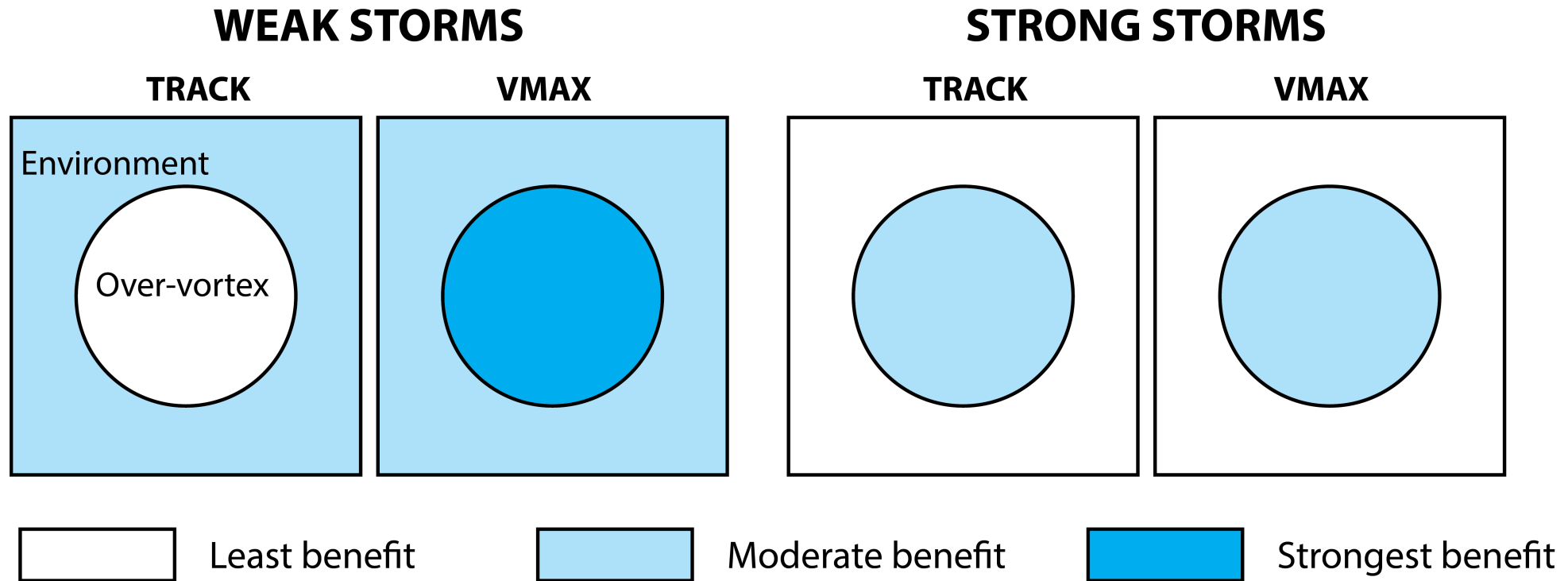
*Can we say anything about how different dropsondes affect the forecast differently? **YES!***



**Example:** *What are the relative impacts of environmental and over-vortex dropsondes?*

# Now: Ongoing OSE Work

Comparing environmental vs. over-vortex dropsonde impacts



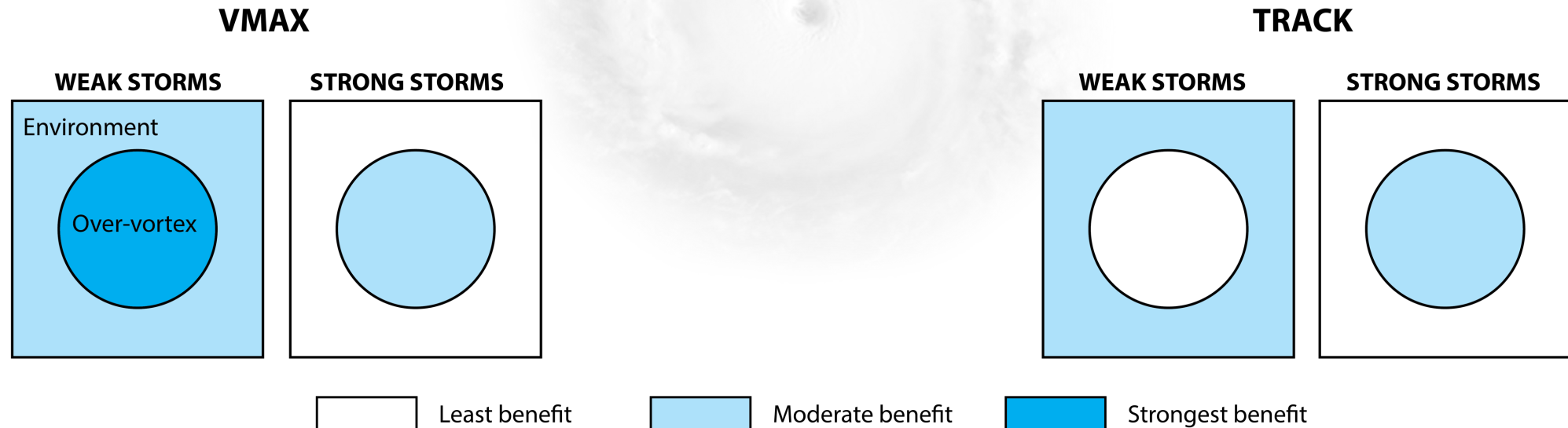
# Now: Ongoing OSE Work

## FOR INTENSITY (VMAX):

- Over-vortex in all storms (HUGE benefit for weak storms)
- Environment in weak storms

## FOR TRACK:

- Environment in weak storms
- Over-vortex in strong storms

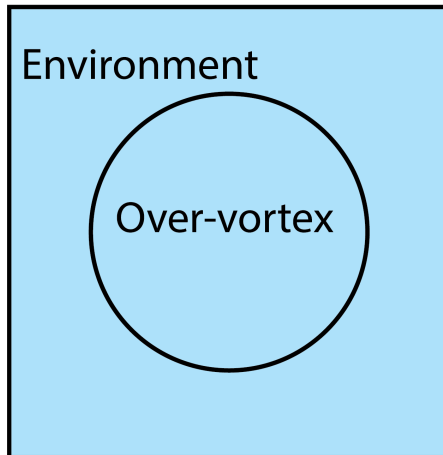


# Now: Ongoing OSE Work

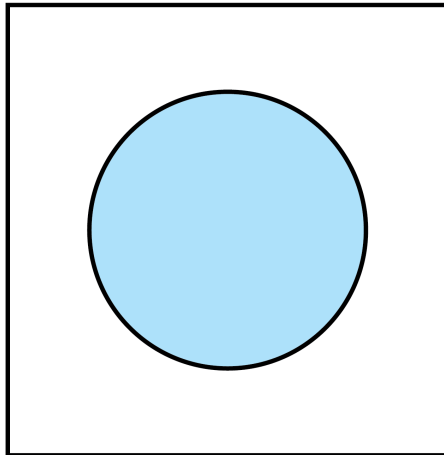
Comparing environmental vs. over-vortex dropsonde impacts

## WEAK STORMS

R34

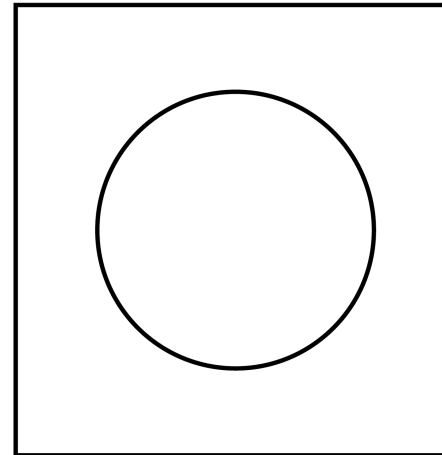


R50

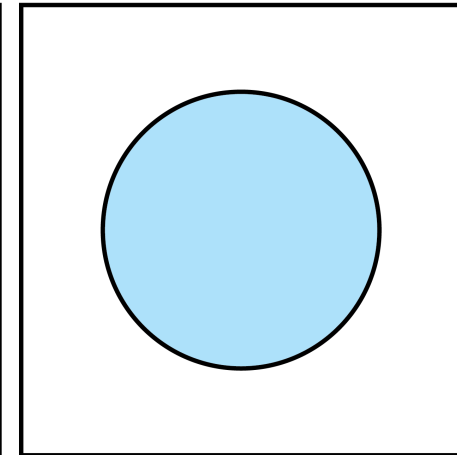


## STRONG STORMS

R34



R50



Least benefit



Moderate benefit

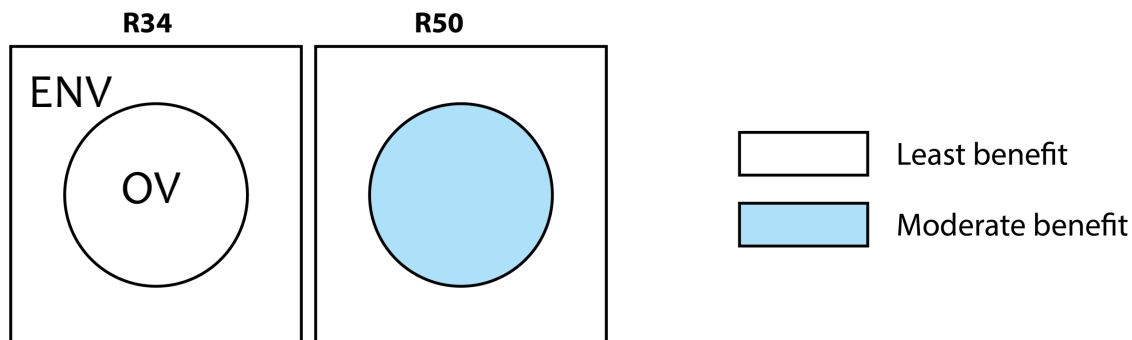


Strongest benefit

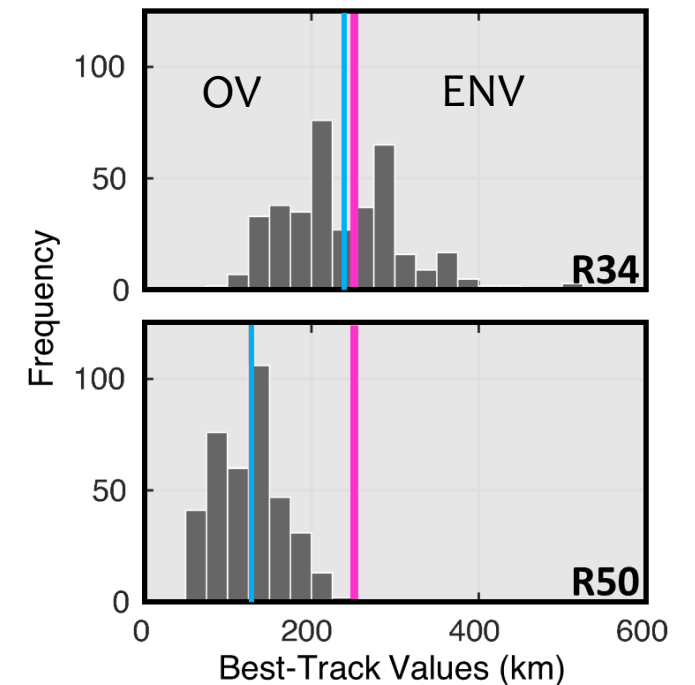
# Now: Ongoing OSE Work

## A closer look at strong storms:

- Observed R50 lies in the “over vortex” region
- Observed R34 near the vortex/environment border
- Sondes *either* in environment or over-vortex can improve R34, but neither region dominates



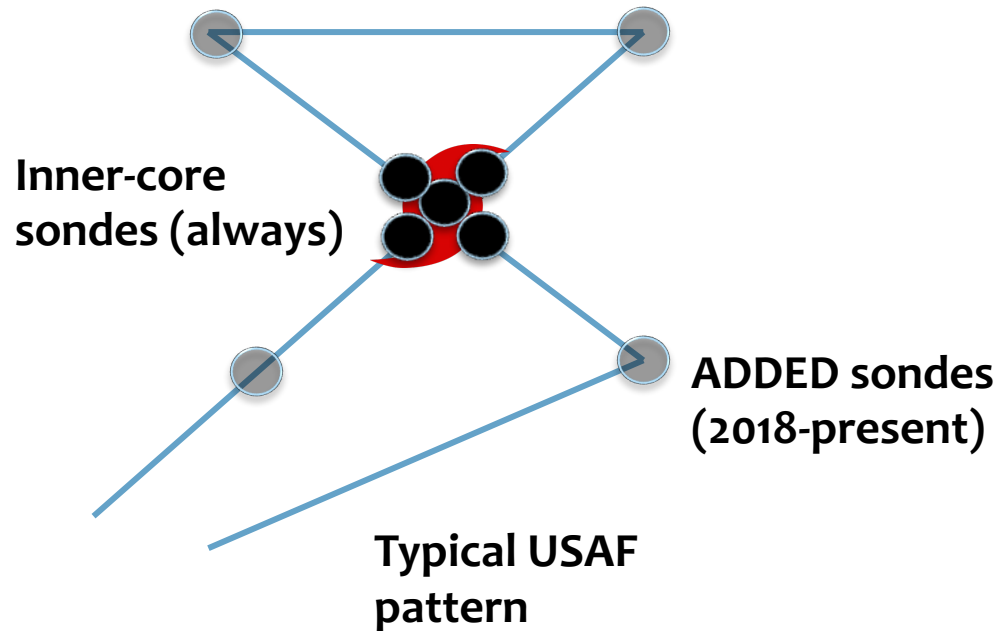
Observed R34 and R50





# Now: Ongoing OSE Work

Turning back to that USAF pattern....

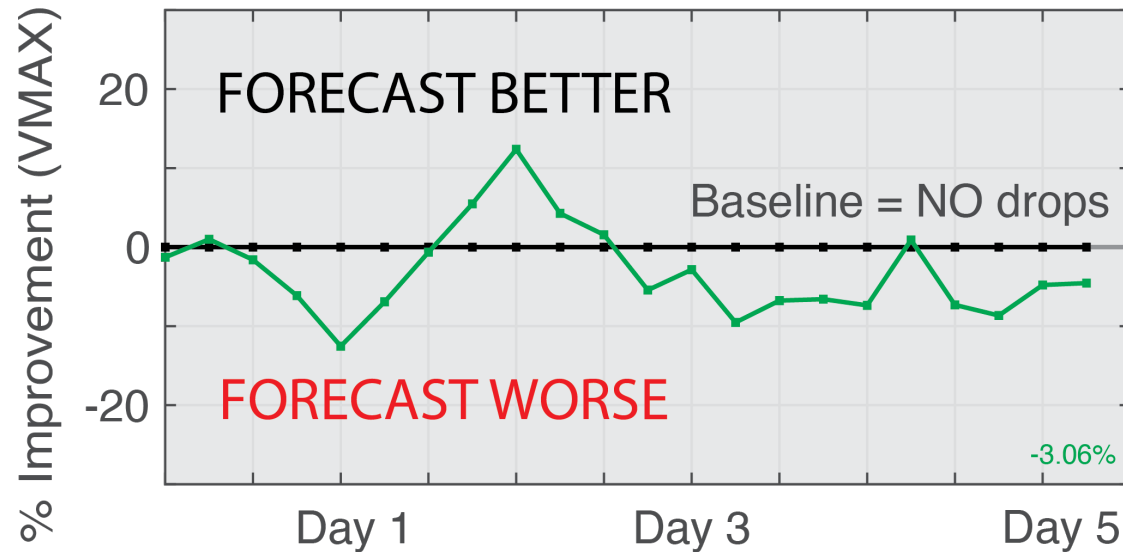


TWO QUESTIONS:

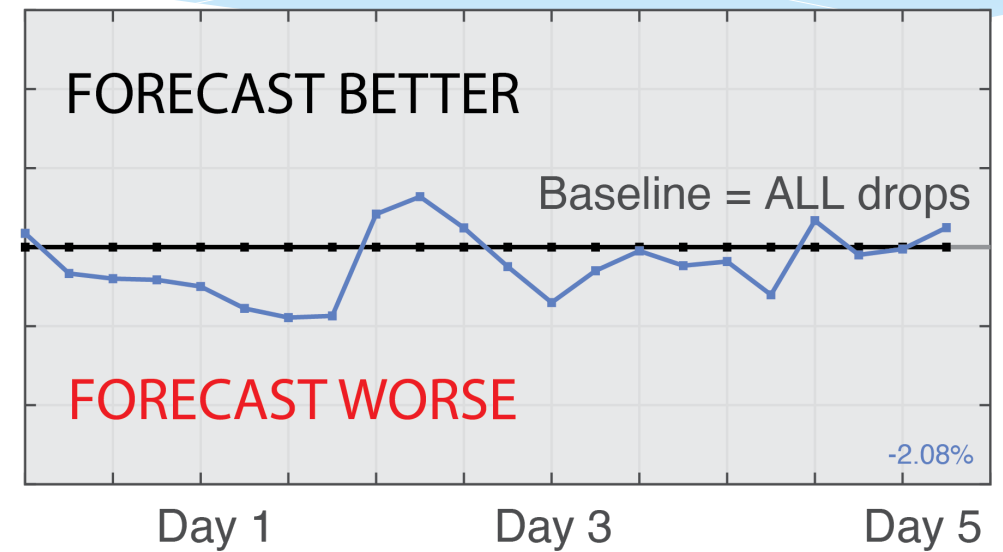
1. What happens if we **ONLY HAVE** inner-core dropsondes
2. What happens if we **TAKE AWAY** inner-core dropsondes

# Now: Ongoing OSE Work

**ONLY HAVE Inner-core (Major Hurr.)**



**REMOVE Inner-core (Major Hurr.)**



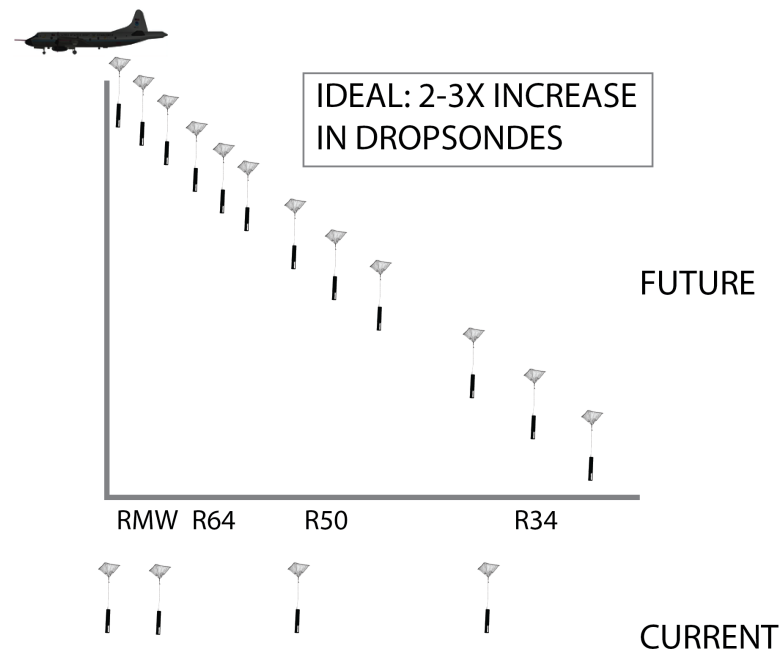
1. ONLY inner-core sondes: Inner-core sondes **degrade** forecast (left)
2. Good sonde sampling: Inner-core sondes improve forecast (right)

# Now: Ongoing OSE Work

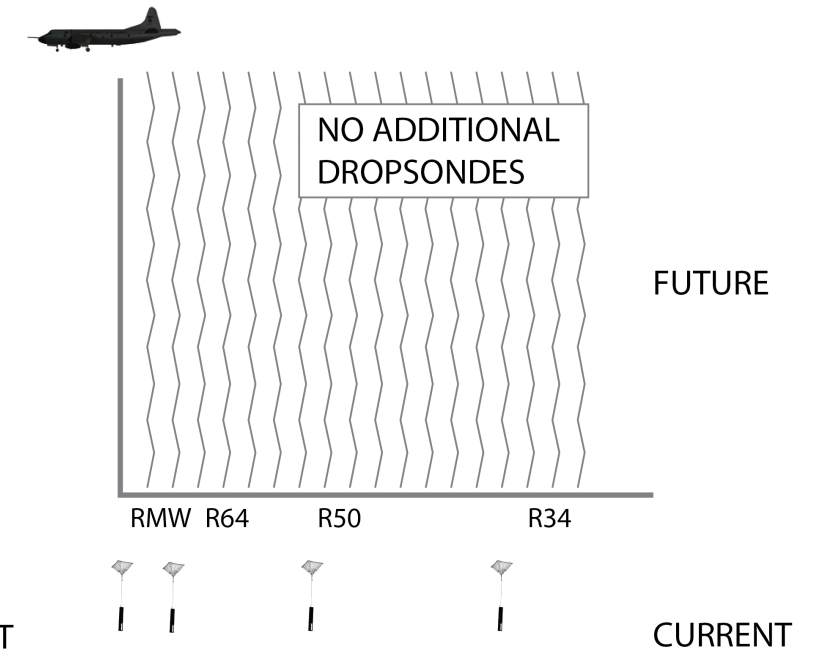
For optimal sampling,  
we need either:

- Significantly more dropsondes; or
- Remote sensing

a) DROPSONDES ONLY



b) DROPSONDES + REMOTE SENSING



# QUIZ!

Where should reconnaissance sample to most benefit a TC intensity forecast?

- A – In the TC vortex
- B – In the TC environment
- C – Ahead of the TC
- D – None of the above

# QUIZ!

True or false: Sampling in a TC vortex can improve the track forecast.

- A – True
- B – False

# Brief summary

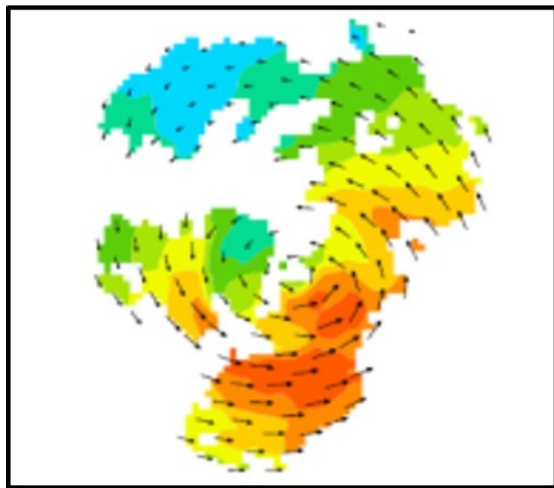
- Track and intensity errors are both improving
- DA & physics improvements jointly improve model performance
- Significant improvements in HWRF, GFS, and approach to reconnaissance

# Outline

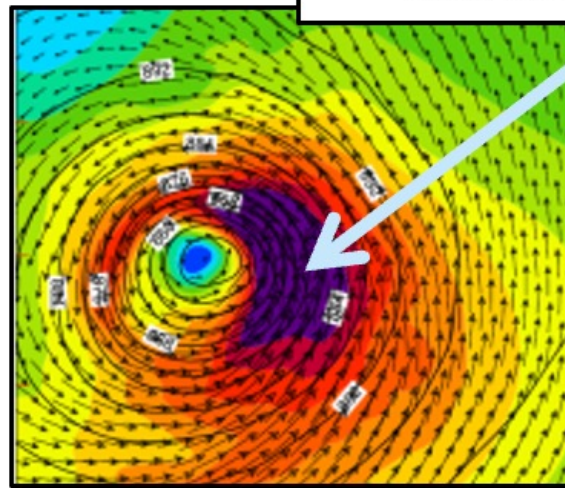
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# Future Direction: Improving DA

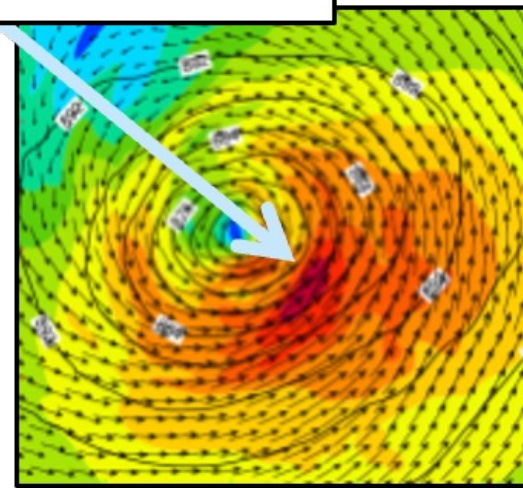
Improving the DA system improves analyses of TCs



Observed Winds



Operational HWRF DA



3DnVAR - 1h

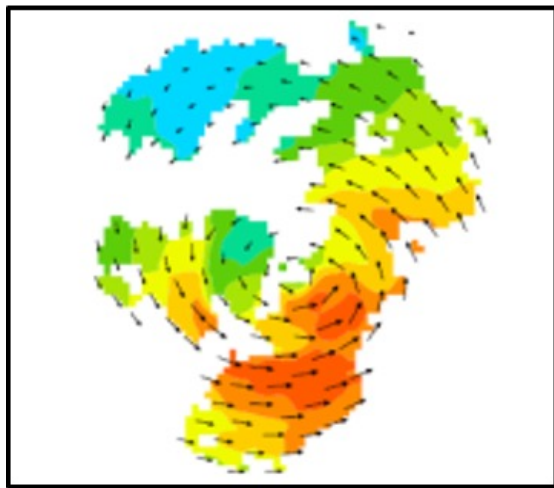
High-Frequency 3DnVAR  
alleviates imbalances

**Observations vs DA:  
Analyses Using  
Experimental OU  
HWRF System**

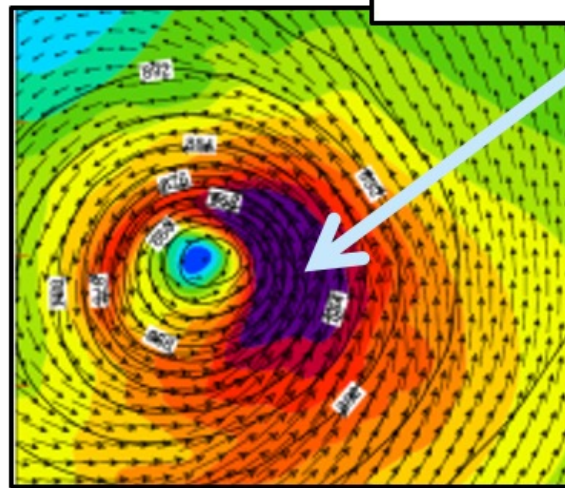


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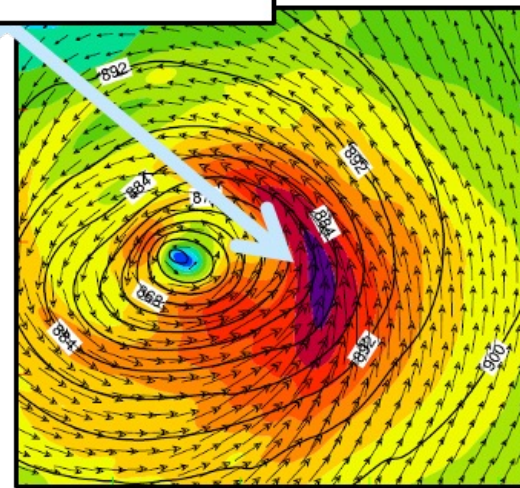
Improving the DA system improves analyses of TCs



**Observed Winds**



**Operational HWRF DA**



**4DEnVAR - 6h**

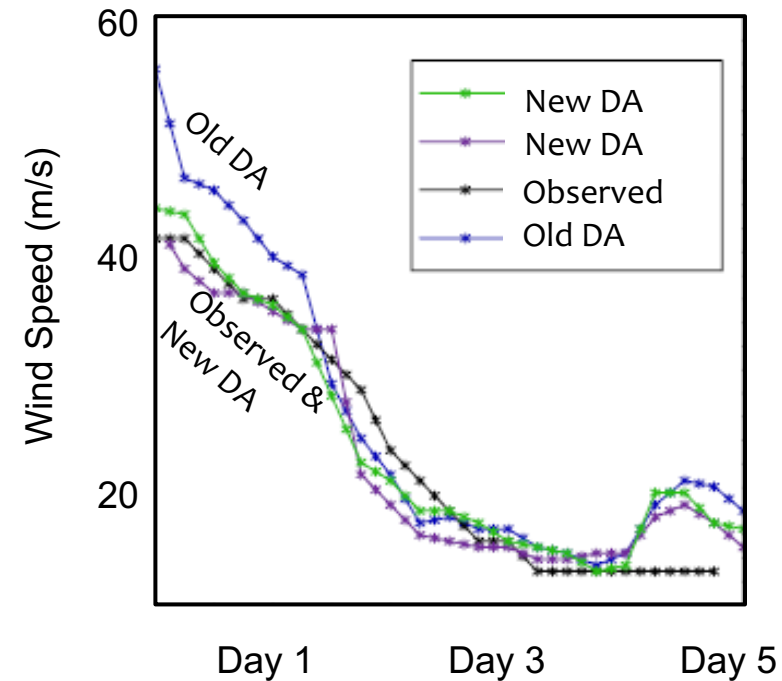
4DEnVAR reduces  
imbalances too

**Observations vs DA:  
Analyses Using  
Experimental OU  
HWRF System**

# Future Direction: Improving DA

- Better analyses means better forecasts!
- Better DA is being being developed for next-generation hurricane model
- This appeals to researchers

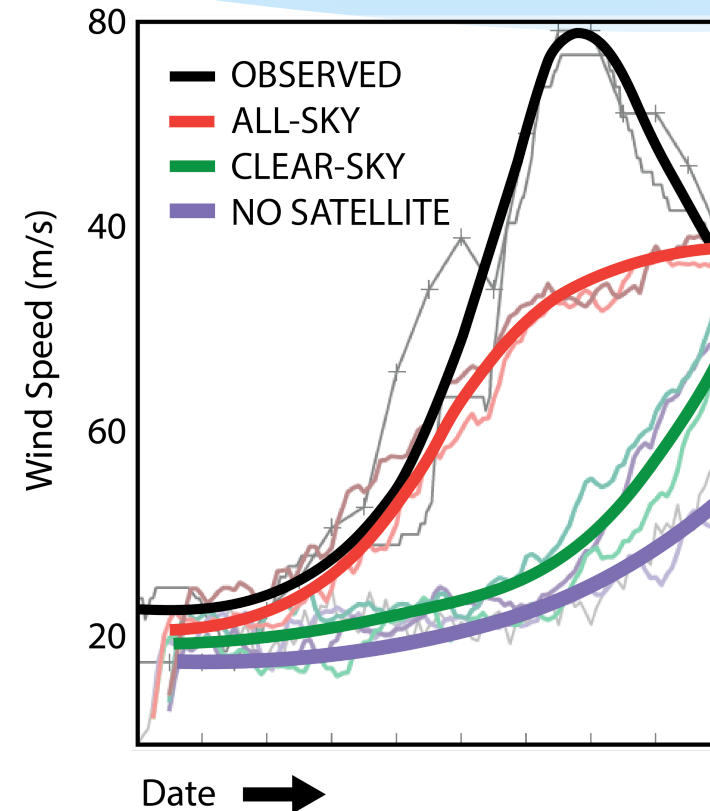
Intensity forecasts initialized from various analyses



# Future Direction: Improving DA

- Most storms do NOT have recon
- Satellite DA needs to help!
- Satellite DA for TCs is where recon DA was 10 years ago
- Initial results are showing amazing possibilities

Impact of Satellite DA on a TC Forecast



# Future Direction: HAFS

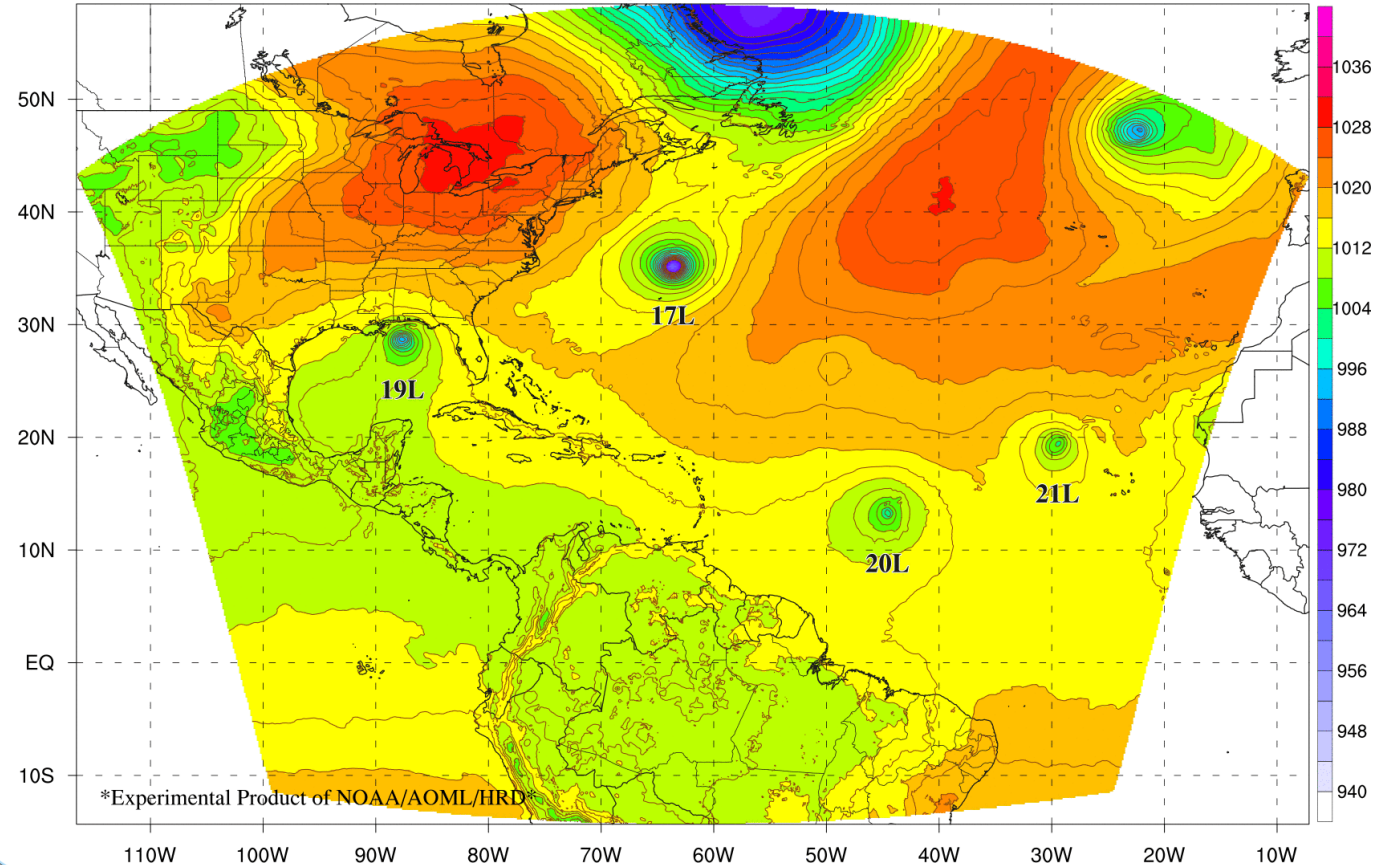
(Hurricane Analysis and Forecast System)

## Hurricane Analysis and Forecast System V0.1A

Mean Sea-Level Pressure (mb; shaded, lines)

Init: 00z Tue, Sep 15 2020 Forecast Hour:[000] valid at 00z Tue, Sep 15 2020

VICKY21L, TEDDY20L, PAULETTE17L, SALLY19L



# Future Direction: HAFS

(Hurricane Analysis and Forecast System)

## MAJOR POTENTIAL BENEFITS OF HAFS:

- More flexible / capable data assimilation system than HWRF
- Much better use of satellite data than HWRF
- Realistic storm interaction, not possible in HWRF

## RESULT:

- Better initialization of vortex and environment
- Improved track and intensity forecasts

# Conclusions

- NOAA TC prediction is undergoing dramatic advancements
- We are using more of the available data in DA
- Long term plans address ongoing issues and allow for greater data usage
- The above factors should contribute to forecast improvement... BUT

# Conclusions

- This will all be contingent upon how much our society wants to invest into it...

